

## Regular Programs

Fischer / Ladner 1979 (Propositional dynamic logic)  
 Dexter Kozen 1977 (Kleene algebras with tests)

12-6

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June 30, 2005

## Example

$\text{if } X \leq 0 \text{ then } Z := 0 \text{ else } (Z := X * Y; X := 0)$

$Z := 0; \text{ while } X > 0 \text{ do } (Z := Z + Y; X := X - 1)$

In what sense are the 2 programs equivalent?

$X \in \text{Loc}$  locations

$\sigma \in \Sigma \stackrel{\text{def}}{=} \text{Loc} \rightarrow \mathbb{Z}$  states

Both programs describe the same function  $\Sigma \rightarrow \Sigma$

initial state  $\rightsquigarrow$  final state (input/output relation)

## Goal: Prove Properties of Programs

- Programs are represented as ground terms in  $S$
- Programs are interpreted as input/output relations
- Deduction of program equivalences from axioms

## Simple Programs: Syntax

$z \in \mathbb{Z}$

$X \in \text{Loc}$

$a \in \text{AE} = z \mid X \mid a + a$

$b \in \text{BE} = a \leq a \mid \neg b$

$p \in \text{PS} = X := a \mid p ; p$

| if  $b$  then  $p$  else  $q$

| while  $b$  do  $p$

interpretation

$\Sigma \rightarrow \mathbb{Z}$

$\Sigma \rightarrow \mathbb{B}$

$\Sigma \rightarrow \Sigma$

arithmetic expressions

Boolean expressions

simple programs

## Arithmetic Expressions in $S$

### Constants

Standard interpretation  $\mathcal{R}$

$$A \quad \mathcal{R}A = \Sigma \rightarrow \mathbb{Z}$$

$$z: A \quad \text{for all } z \in \mathbb{Z} \quad \mathcal{R}z \sigma = z$$

$$x: A \quad \text{for all } x \in \text{Loc} \quad \mathcal{R}x \sigma = \sigma x$$

$$+ : A \rightarrow A \rightarrow A \quad \mathcal{R}+fg \sigma = f\sigma + g\sigma$$

Arithmetic expression  $\hat{=}$  ground term of type  $A$

ground term: term not containing variables and  $\lambda$ 's

## Regular Programs

- describe binary relations on  $\Sigma$ , not necessarily functional
- are mathematically easier than simple programs
- subsume simple programs (i.e. simple programs can be expressed as regular programs)
- have nondeterministic computational interpretation with 3 outcomes: success, failure, divergence

## Boolean Expressions in $S$

### Constants

Standard interpretation  $\mathcal{R}$

$$B \quad \mathcal{R}B = \Sigma \rightarrow \mathbb{B}$$

$$\leq : A \rightarrow A \rightarrow B \quad \mathcal{R}\leq fg \sigma = (f\sigma \leq g\sigma)$$

$$\neg : B \rightarrow B \quad \mathcal{R}\neg f \sigma = \neg(f\sigma)$$

Boolean expression  $\hat{=}$  ground term of type  $B$

## Regular Programs: Syntax

$$p \in PR = \lambda := a$$

$$| \ b?$$

$$| \ p; p$$

$$| \ p + p$$

$$| \ p^*$$

assignment

test

sequential composition

choice

iteration

## Regular Programs in S

### Constants

P

? :  $B \rightarrow P$

X := :  $A \rightarrow P$

! :  $P \rightarrow P \rightarrow P$

+ :  $P \rightarrow P \rightarrow P$

\* :  $P \rightarrow P$

Regular program  $\hat{=}$  ground term of type P

### Standard interpretation R

$R P = \mathcal{R}(\Sigma^2)$

$R ? f = \{(\sigma, \sigma) \in \Sigma^2 \mid f\sigma = 1\}$

$R X := g = \lambda \sigma \in \Sigma. \sigma [X := g\sigma]$

$R ! R_1 R_2 = R_1 \circ R_2$

$R + R_1 R_2 = R_1 \cup R_2$

$R * R = \text{Id} \Sigma \cup R^+$

simple programs will follow soon

## Notational Conventions

- $i$  and  $+$  are written infix when  $i$  binds stronger than  $+$
- $?$  and  $*$  are written postfix

$(b_2 ? i p_1 + b_2 ? i p_2)^*$   $\rightsquigarrow$

$* \left( + \left( i ( ? b_2 ) p_1 \right) \left( i ( ? b_2 ) p_2 \right) \right)$

## More Notation

$\overline{b} \stackrel{\text{def}}{=} \neg b$

true  $\stackrel{\text{def}}{=} 1 \leq 1$

false  $\stackrel{\text{def}}{=} \neg \text{true}$

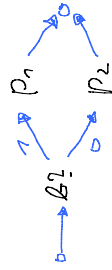
skip  $\stackrel{\text{def}}{=} \text{true} ?$

fail  $\stackrel{\text{def}}{=} \text{false} ?$

## Simple Programs $\rightarrow$ Regular Programs

if  $b$  then  $p_1$  else  $p_2 \stackrel{\text{def}}{=} b ? i p_1 + \overline{b} ? i p_2$

while  $b$  do  $p \stackrel{\text{def}}{=} (b ? i p)^* ; \overline{b} ?$



if



while

## Input / Output Relation

Let  $p$  be a regular program and  $\Sigma$  be some variable assignment.

Then  $\mathcal{R}_p \subseteq \Sigma \times \Sigma$  is called

the denotation of  $p$  or the **input/output relation** of  $p$

Since  $\mathcal{R}_p$  doesn't depend on  $\Sigma$  (there are no variables), we will abuse notation and just write  $\mathcal{R}_p$  for  $\mathcal{R}_p \Sigma$

- A relation  $R \subseteq \Sigma \times \Sigma$  is called
  - **simple** if  $\exists p \in \text{PS} : R = \mathcal{R}_p$
  - **regular** if  $\exists p \in \text{PR} : R = \mathcal{R}_p$

Every simple program is functional

## Computational Intuitions

$\perp$ ? fail if  $\perp$  not satisfied

$X := a$  assign the value of  $a$  to  $X$

$p_1; p_2$  first  $p_1$ , then  $p_2$

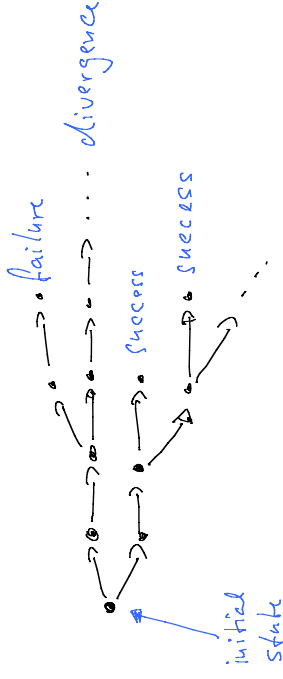
$p_1 \text{ or } p_2$

$p^*$  iterate  $p$  as long as you like

*w nondeterministic*

*w nondeterministic*

## Nondeterministic Computational Interpretation of Regular Programs



- maximal path  $\equiv$  run of program
- success: regular termination
- failure: forced termination through failed test

## Examples of Non-functional Programs

- $X := 1 + X_{i=2}$   $\mathcal{R}_p$  non-functional,  $\emptyset$  outcomes
- $(X := X + 1)^*$   $\mathcal{R}_p$  non-functional,  $\infty$  outcomes

## Execution Model for Regular Programs

1. If  $\sigma \in \text{Dom}(R_p)$ , then interpreter must yield one  $\sigma'$  such that  $(\sigma, \sigma') \in D_p$  if there are several such  $\sigma'$ , the choice is up to the interpreter.
2. If  $\sigma \notin \text{Dom}(R_p)$ , then interpreter must fail or diverge
3. Denotation  $R_p$  doesn't distinguish between failure and divergence; hence we cannot be more specific in (2).

## Computational Intuitions for Simple Programs

- denote functions  $\Sigma \rightarrow \Sigma$
- $\exists$  diverging run of  $p$  on  $\sigma \Leftrightarrow \sigma \notin \text{Dom}(R_p)$
- execution can avoid failure because of "simple" choices



## The Iter Notation

iter  $b_1 \Rightarrow p_1 \dots \mid b_n \Rightarrow p_n$

def

$(b_n?; i; p_1 + \dots + b_n?; i; p_n)^*$ ;  $\bar{b}_n?; i; \dots; \bar{b}_n?$

iterate as long as a rule is applicable

rule:  $b \Rightarrow p$

$\uparrow$  guard

$\uparrow$  action

## Example: Coffee Beans Program

```

iter  B ≥ 1 ∧ W ≥ 1 ⇒ B := B - 1
    |  B ≥ 2 ⇒ B := B - 1
    |  W ≥ 2 ⇒ W := W - 2 ; B := B + 1
    
```

B: number of black beans  
W: number of white beans

- not simple since guards are not mutually exclusive
- no diverging runs ( $B+W \geq 0$  decreases)
- denotation is function  $\Sigma \rightarrow \Sigma$  (will be chosen latter)
- conjunction in first guard can be eliminated

(  $B \geq 1?; W \geq 1?; B := B - 1$   
 $+ B \geq 2?; B := B - 1$   
 $+ W \geq 2?; W := W - 2; B := B + 1$  )<sup>\*</sup>;  $B + W \leq 1?$

## RP: Axioms for Regular Programs

RP is the set consisting of the following equations

Properties of ;

$$\begin{aligned} p; (q; r) &= (p; q); r && \text{Ass} \\ p; \text{skip} &= p = \text{skip}; p && \text{Idem} \\ p; \text{fail} &= \text{fail} = \text{fail}; p && \text{Dom} \end{aligned}$$

Properties of +

$$\begin{aligned} p + (q + r) &= (p + q) + r && \text{Ass} \\ p + q &= q + p && \text{Com} \\ p + p &= p && \text{Idem} \\ p + \text{fail} &= p && \text{False} \end{aligned}$$

Distributivity

$$\begin{aligned} p; (q + r) &= p; q + p; r && \text{Dist} \\ (q + r); p &= q; p + r; p && \text{Dist} \end{aligned}$$

all axioms are satisfied by standard interpretation

$$\mathcal{R} \models \text{RP}$$

Properties of \*

$$\begin{aligned} p^*; p &= p; p^* && *; \\ p^* &= \text{skip} + p; p^* && * \text{skip}; \\ p^* &= p^*; p^* && *; * \\ (\text{fail})^* &= \text{skip} && ?; * \end{aligned}$$

$$\mathcal{R} \models \text{while true do skip} = \text{fail}$$

Proof

$$\begin{aligned} \text{while true do skip} &= (\text{true}; \text{skip})^*; \text{true}; \text{while} \\ &= (\text{true}; \text{skip})^*; \text{false}; \text{false} \\ &= (\text{true}; \text{skip})^*; \text{fail} \\ &= \text{fail} && \text{Dom} \quad \square \end{aligned}$$

## Characterisation of Loops by Recursive Equations

$$q = \text{while } b \text{ do } p \quad \mathcal{R} \models q = \text{if } b \text{ then } p; q \text{ else skip}$$

$b; B, p; P, q; P$  are variables

Proof. Immediate consequence of result on previous slide  $\square$

$$\text{Characteristic equation of } p^* \text{ is } q = \text{skip} + p; q$$

Proof. Follows from Axiom \*skip;  $\square$

$$\mathcal{R} \models \text{while } b \text{ do } p = \text{if } b \text{ then } p; \text{while } b \text{ do } p \text{ else skip}$$

$b; B, p; P$  are variables

Proof if  $b$  then  $p; \text{while } b \text{ do } p$  else skip

$$\begin{aligned} &= b; p; (\text{while } b \text{ do } p); \text{while } b \text{ do } p \\ &= b; p; (\text{while } b \text{ do } p); \text{skip} + \text{skip}; \text{while } b \text{ do } p \\ &= (b; p; (\text{while } b \text{ do } p) + \text{skip}); \text{while } b \text{ do } p \\ &= (b; p)^*; \text{while } b \text{ do } p \\ &= \text{while } b \text{ do } p \end{aligned}$$

## S provides for

- syntax of programs often called semantics
- interpretation of programs (input/output relation)
- proof system for program equivalence
  - can be strengthened by adding axioms for arithmetic and Boolean expressions
  - needs some form of "induction principle" for proof of motivating equivalence on slide 3