

Existential Types

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Overview

1. Typing and evaluation rules
2. Encoding existential types
3. Abstract data types (ADTs) and objects
 - (a) Introducing ADTs
 - (b) Introducing objects
 - (c) Objects vs. ADTs

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Existential introduction

- an existentially typed value introduced by pairing a type with a term: $\langle S, t \rangle$

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- intuition: value $\langle S, t \rangle$ of type $\exists X.T$ is a *module* with a *type component* S and a *term component* t , where $[S/X]T$.

Example

$\langle \text{Int}, \{a = 5, f = \lambda x : \text{Int}. \text{succ}(x)\} \rangle : \exists X. \{a : X, f : X \rightarrow X\}$

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term component



Typing rule for existential introduction

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- solution: make type annotation mandatory

Type annotation

- e.g.:

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Revised typing rule for existential introduction

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Existential elimination

- an existentially typed value m is eliminated by binding its type and term components to variables X and x , and use them in calculating t_2 :

`open ⟨X, x⟩ = m in t2`

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`▷ 1 : Int`

Typing rule for existential elimination

$$\Gamma \vdash \text{open } \langle X, x \rangle = t_1 \text{ in } t_2 : T_2$$

T-UNPACK'

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Evaluation rule

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`open <X, x> = m4 in succ(x.a);`

▷ Error : argument of succ is not a number

Scoping errors

- be careful:

$m4 = \langle \text{Int}, \{a = 0, f = \lambda x : \text{Int}. \text{succ}(x)\} \rangle$ as $\exists X. \{a : X, f : X \rightarrow \text{Int}\}$

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$\text{open } \langle X, x \rangle = m4$ in $x.a$;

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- why? consider:

$$\frac{\Gamma \vdash m4 : \exists X. \{a : X, f : X \rightarrow \text{Int}\} \quad \Gamma, X, x \vdash x.a : X}{\Gamma \vdash \text{open } \langle X, x \rangle = m4 \text{ in } x.a : X}$$

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- must add side condition to typing rule for existential elimination; X may not occur in the result type

Revised typing rule for existential elimination

$$\frac{\Gamma \vdash t_1 : \exists X.T_1 \quad \Gamma, X, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{open } \langle X, x \rangle = t_1 \text{ in } t_2 : T_2} \quad \text{T-UNPACK}$$

Revised typing rule for existential elimination

$$\frac{\Gamma \vdash t_1 : \exists X.T_1 \quad \Gamma, X, x : T_1 \vdash t_2 : T_2 \quad X \notin FV(T_2)}{\Gamma \vdash \text{open } \langle X, x \rangle = t_1 \text{ in } t_2 : T_2} \quad \text{T-UNPACK}$$

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1. Typing and evaluation rules
2. **Encoding existential types**
3. Abstract data types (ADTs) and objects
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Duality

- universal types: $\forall X.T$ is a value of type $[S/X]T$ for *all* types S .

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Duality

- universal types: $\forall X.T$ is a value of type $[S/X]T$ for *all* types S .
- existential types: $\exists X.T$ is a value of type $[S/X]T$ for *some* type S .
- idea: exploit duality to encode existential types using universal types, using the equality:

$$\exists X.T = \neg \forall X. \neg T$$

Encoding

- encoding existential types using universal types:

$$\exists X.T \stackrel{\text{def}}{=} \forall Y. (\forall X.T \rightarrow Y) \rightarrow Y$$

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Encoding existential elimination

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where $t_1 : \forall Y. (\forall X. T \rightarrow Y) \rightarrow Y$

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$$\text{open } \langle X, x \rangle = t_1 \text{ in } t_2 \stackrel{\text{def}}{=} t_1 T_2 \dots$$

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- then apply to continuation of type $\forall X. T \rightarrow T_2$ to get result type T_2 :

$$\text{open } \langle X, x \rangle = t_1 \text{ in } t_2 \stackrel{\text{def}}{=} t_1 T_2 (\lambda X. \lambda x : T. t_2)$$

Encoding existential introduction

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we must use S and t to build a value of type $\forall Y.(\forall X.T \rightarrow Y) \rightarrow Y$

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- apply f to appropriate arguments: first, supply S :

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- then supply t of type S to get result type Y :

$$\langle S, t \rangle \text{ as } \exists X.T \stackrel{\text{def}}{=} \lambda Y. \lambda f : (\forall X.T \rightarrow Y). f S t$$

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Parametricity

- consider:

$$m1 = \langle \text{Int}, \{a = 0, f = \lambda x : \text{Int}. 0\} \rangle \text{ as } \exists X. \{a : X, f : X \rightarrow \text{Int}\}$$

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- evaluation does not depend on the specific type of $m1$ and $m2$: it is parametric in X :

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- idea: use parametricity to construct two kinds of programmer defined abstractions: abstract data types (ADTs) and objects

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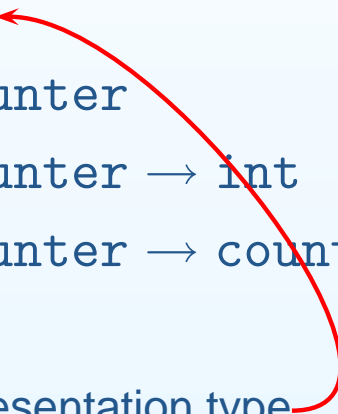
SML example

```
signature COUNTER =  
sig  
  type counter  
  val new : counter  
  val get : counter → int  
  val inc : counter → counter  
end;
```

```
structure Counter :> COUNTER =  
struct  
  type counter = int  
  val new = 1  
  fun get(n) = n  
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val it = 2 : int
```

ADTs as existentials

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```
CounterADT =  
  ⟨Int, {  
    new = 1,  
    get = λn : Int. n  
    inc = λn : Int. succ(n)}⟩  
as COUNTER
```

ADTs as existentials

```
signature COUNTER =  
sig  
  type counter  
  val new : counter  
  val get : counter → int  
  val inc : counter → counter  
end;
```

```
COUNTER =  
∃Counter.{  
  new : Counter,  
  get : Counter → Int,  
  inc : Counter → Counter}
```

```
structure Counter :> COUNTER =  
struct  
  type counter = int  
  val new = 1  
  fun get(n) = n  
  fun inc(n) = n + 1  
end;
```

```
CounterADT =  
  ⟨Int, {  
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    get = λn : Int. n  
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- Mitchell/Plotkin 1984: “Abstract types have existential type”

Example

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COUNTER =  
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  new : Counter,  
  get  : Counter → Int,  
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```
open ⟨Counter, counter⟩ = CounterADT in  
counter.get (counter.inc counter.new);
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```
open ⟨Counter, counter⟩ = CounterADT in  
counter.get (counter.inc counter.new);  
▷ 2 : Int
```

Example

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COUNTER =  
∃Counter. {  
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open ⟨Counter, counter⟩ = CounterADT in  
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```

- type name Counter can be used just like a new base type

Example

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  new : Counter,  
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```

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open ⟨Counter, counter⟩ = CounterADT in  
counter.get (counter.inc counter.new);  
▷ 2 : Int
```

- type name Counter can be used just like a new base type
- e.g. we can define new ADTs with representation type Counter, e.g. a \times ip- \times op

Flip-flop

```
open ⟨Counter, counter⟩ = CounterADT in
FlipFlopADT =
  ⟨Counter, {new = counter.new,
    read = λc : Counter. iseven(counter.get c),
    toggle = λc : Counter. counter.inc c,
    reset = λc : Counter. counter.new}⟩
as ∃FlipFlop.{new : FlipFlop,
  read : FlipFlop → Bool,
  toggle : FlipFlop → FlipFlop,
  reset : FlipFlop → FlipFlop}
```

Flip-flop

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open ⟨Counter, counter⟩ = CounterADT in
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```

```
open ⟨FlipFlop, flipflop⟩ = FlipFlopADT in
flipflop.read (flipflop.toggle (flipflop.toggle flipflop.new));
```

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open ⟨Counter, counter⟩ = CounterADT in
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  ⟨Counter, {new = counter.new,
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             read : FlipFlop → Bool,
             toggle : FlipFlop → FlipFlop,
             reset : FlipFlop → FlipFlop}
```

```
open ⟨FlipFlop, flipflop⟩ = FlipFlopADT in
flipflop.read (flipflop.toggle (flipflop.toggle flipflop.new));
▷ false : Bool
```

Representation independence

- alternative implementation of the CounterADT:

CounterADT =

$\langle \{x : \text{Int}\}, \{$

$\text{new} = \{x = 1\},$

$\text{get} = \lambda n : \{x : \text{Int}\}. n.x$

$\text{inc} = \lambda n : \{x : \text{Int}\}. \{x = \text{succ}(n.x)\}\rangle$

as $\exists \text{Counter}. \{$

$\text{new} : \text{Counter},$

$\text{get} : \text{Counter} \rightarrow \text{Int},$

$\text{inc} : \text{Counter} \rightarrow \text{Counter}\}$

Representation independence

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CounterADT =  
  ⟨{x : Int}, {  
    new = {x = 1},  
    get = λn : {x : Int}. n.x  
    inc = λn : {x : Int}. {x = succ(n.x)}⟩  
as ∃Counter.{  
  new : Counter,  
  get : Counter → Int,  
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```

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as ∃Counter.{  
  new : Counter,  
  get : Counter → Int,  
  inc : Counter → Counter}
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- *representation independence*: follows from parametricity: the whole program remains typesafe since the counter instances cannot be accessed except using ADT operations
- Mitchell 1991, Pitts 98

ADT-style of programming

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 - limits the scope of changes to the program
 - encourages the programmer to limit the dependencies between parts of the program (by making the signatures of the ADTs as small as possible)
 - forces programmers to think about designing abstractions

Overview

1. Typing and evaluation rules
2. Encoding existential types
3. Abstract data types (ADTs) and objects
 - (a) Introducing ADTs
 - (b) Introducing objects**
 - (c) Objects vs. ADTs

Existential objects

- two basic components: internal state, methods to manipulate the state:

```
c = ⟨Int, {  
    state = 5,  
    methods = {  
        get = λx : Int. x,  
        inc = λx : Int. succ(x)}  
}⟩  
as ∃X. {  
    state : X,  
    methods : {  
        get : X → Int,  
        inc : X → X}  
}
```

Invoking the get method

```
c = ⟨Int, {  
    state = 5,  
    methods = {  
        get = λx : Int. x,  
        inc = λx : Int. succ(x)}  
    }  
as ∃X. {  
    state : X,  
    methods : {  
        get : X → Int,  
        inc : X → X}  
    }  
}
```

```
open ⟨X, body⟩ = c in  
body.methods.get (body.state);
```

Invoking the get method

```
c = ⟨Int, {  
    state = 5,  
    methods = {  
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    }  
as ∃X. {  
    state : X,  
    methods : {  
        get : X → Int,  
        inc : X → X}  
    }  

```

```
open ⟨X, body⟩ = c in  
body.methods.get (body.state);  
▷ 5 : Int
```

Encapsulating the get method

$$C = \exists X. \{$$

state : X,
methods : {
 get : X → Int,
 inc : X → X}}

$$\text{sendget} = \lambda c : C.$$

open ⟨X, body⟩ = c in
body.methods.get (body.state)

Invoking the inc method

```
c = ⟨Int, {  
    state = 5,  
    methods = {  
        get = λx : Int. x,  
        inc = λx : Int. succ(x)}  
    }  
as ∃X. {  
    state : X,  
    methods : {  
        get : X → Int,  
        inc : X → X}  
    }  
}
```

```
open ⟨X, body⟩ = c in  
body.methods.inc (body.state);
```


Invoking the inc method

```
c = ⟨Int, {  
  state = 5,  
  methods = {  
    get = λx : Int. x,  
    inc = λx : Int. succ(x)}  
}⟩  
as ∃X. {  
  state : X,  
  methods : {  
    get : X → Int,  
    inc : X → X}}
```

```
open ⟨X, body⟩ = c in  
body.methods.inc (body.state);  
▷ Error : scoping error
```

Invoking the inc method

```
c = ⟨Int, {  
  state = 5,  
  methods = {  
    get = λx : Int. x,  
    inc = λx : Int. succ(x)}  
}⟩  
as ∃X. {  
  state : X,  
  methods : {  
    get : X → Int,  
    inc : X → X}}  
}
```

```
open ⟨X, body⟩ = c in  
body.methods.inc (body.state);  
▷ Error : scoping error
```

- why? X appears free in the body of the open

Encapsulating the inc method

- in order to properly invoke the `inc` method, we must repackage the fresh internal state as a counter object:

$$C = \exists X. \{$$

state : X,

methods : {

get : X → Int,

inc : X → X}}

$$\text{sendinc} = \lambda c : C.$$

open ⟨X, body⟩ = c in

⟨X, {

state = body.methods.inc (body.state),

methods = body.methods}⟩

as C;

Overview

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Abstract type of counters

- ADT-style: counter values are elements of the underlying representation (i.e. simple numbers of type `Int`)

Abstract type of counters

- ADT-style: counter values are elements of the underlying representation (i.e. simple numbers of type `Int`)
- object-style: each counter is a whole module, including not only the internal representation but also the methods. Type `Counter` stands for the whole existential type:

$$\exists X. \{$$

state : `X`,

methods : {

get : `X` → `Int`,

inc : `X` → `X`}}

Stylistic advantages

- advantage of the object-style: since each object chooses its own representation and operations, different implementations of the same object can be freely intermixed

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- advantage of the ADT-style: binary operations (i.e. operations that accept ≥ 2 arguments of the abstract type) can be implemented, contrary to objects

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- advantage of the object-style: since each object chooses its own representation and operations, different implementations of the same object can be freely intermixed
- advantage of the ADT-style: binary operations (i.e. operations that accept ≥ 2 arguments of the abstract type) can be implemented, contrary to objects

Binary operations and the object-style

- e.g. set objects type:

$$\text{IntSet} = \{\exists X, \{\text{state} : X, \text{methods} : \{\text{empty} : X, \\ \text{singleton} : \text{Int} \rightarrow X, \\ \text{member} : X \rightarrow \text{Int} \rightarrow \text{Bool}, \\ \text{union} : X \rightarrow \text{IntSet} \rightarrow X\}\}\}$$

Binary operations and the object-style

- e.g. set objects type:

$$\text{IntSet} = \{\exists X, \{\text{state} : X, \text{methods} : \{\text{empty} : X, \\ \text{singleton} : \text{Int} \rightarrow X, \\ \text{member} : X \rightarrow \text{Int} \rightarrow \text{Bool}, \\ \text{union} : X \rightarrow \text{IntSet} \rightarrow X\}\}\}$$

- cannot implement the method since it can have no access to the concrete representation of the second argument

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- cannot implement the method since it can have no access to the concrete representation of the second argument
- in reality, mainstream OO languages such as C++ and Java have a hybrid object model that allows binary operations (with the cost of restricting type equivalence)

Summary

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- existentials can be encoded using universal types
- parametricity of existentials leads to representation independence
- trade-offs between ADTs and objects:
 - ADTs support binary operations, objects do not
 - objects support free intermixing of different implementations, ADTs do not

References

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- Andrew M. Pitts 1998: “Existential Types: Logical Relations and Operational Equivalence”
- John C. Mitchell 1991: “On the Equivalence of Data Representations”
- Luca Cardelli and Xavier Leroy: “Abstract types and the dot notation”