

Tableaux and Higher Order Logics

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Outline

- ▶ What is a higher-order logic?
- ▶ Complete cut-free tableaux for classical extensional higher-order logic with equality (Gert Smolka)
- ▶ Translating from classical extensional tableau refutations to intuitionistic intensional ND derivations (Christine Rizkallah)
- ▶ Adding if-then-else, description and choice (Julian Backes)
- ▶ An implementation in GWT (Matthias Höschele)

Definitions

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$$\neg : oo$$

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- ▶ $[s]$ is normal form of s (OK to think β -normal).

Appetizer: Mensa Example

Let $a, b, c : o$.

Let $f_1, f_2, f_3, g_1, g_2, g_3 : ol$.

Is the following set satisfiable?

$$f_1 a =_l g_1 b$$

$$f_1 b \neq_l g_1 a$$

$$f_2 b =_l g_2 c$$

$$f_2 c \neq_l g_2 b$$

$$f_3 a =_l g_3 c$$

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No. There are only two Booleans, so either $a = b$, $b = c$ or $a = c$.
Each case contradicts two of the formulas.

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How could we refute this set? ...stay tuned

ND and Higher Order Logics

Restrict to logical constants \rightarrow and \forall_σ . Easy ND calculus:

$$\begin{array}{c} s \\ \vdots \\ t \\ \hline \rightarrow I \quad s \rightarrow t \end{array} \qquad \begin{array}{c} s \rightarrow t \quad s \\ \hline \rightarrow E \quad t \end{array}$$

$$\begin{array}{c} [sy] \\ \hline \forall I_y \quad \forall_\sigma s \quad y : \sigma \text{ fresh} \end{array} \qquad \begin{array}{c} \forall_\sigma s \\ \hline \forall E \quad [st] \end{array}$$

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- ▶ Intensional (can be made extensional by adding rules)

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- ▶ Corresponds to proof terms (Curry-Howard-deBruijn)

Intuitionistic and Intensional Higher Order

- ▶ Peirce's Law: $((p \rightarrow q) \rightarrow p) \rightarrow p$
- ▶ Boolean Extensionality:

$$(p \rightarrow q) \rightarrow (q \rightarrow p) \rightarrow \forall u. up \rightarrow uq$$

- ▶ Functional Extensionality:

$$(\forall x. \forall w. w(fx) \rightarrow w(gx)) \rightarrow \forall u. uf \rightarrow ug$$

- ▶ ξ Extensionality:

$$(\forall x. \forall w. w(fx) \rightarrow w(gx)) \rightarrow \forall u. u(\lambda x. fx) \rightarrow u\lambda x. gx$$

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- ▶ Every object of function type is an abstraction.

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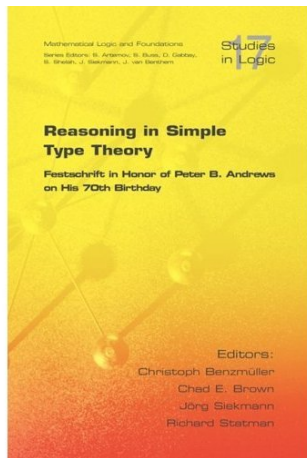
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Peter B. Andrews

1971. Peter B. Andrews.
Resolution in Type Theory.
Journal of Symbolic Logic.
Reprinted in the recent Festschrift.



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- ▶ Consider the following example:
- ▶ Let $p : (\iota o)o$, $f : \iota o$ and $x : \iota$.

- ▶ Can

$$pf \quad \text{and} \quad \neg p(\lambda x. \neg \neg fx)$$

both be true?

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- ▶ Higher-order variables, λ -abstractions, embedded formulas
- ▶ **No.** Unsatisfiable since f and $\lambda x. \neg \neg fx$ are the same (classically, extensionally).

Example (Mating)

$$p f \quad \neg p(\lambda x. \neg \neg f x)$$

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Add disequation $f \neq (\lambda x. \neg \neg fx)$ to the branch.

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Add to branch:

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Two branches, both easily closed:

$$\begin{array}{c|c} fx & \neg fx \\ \neg\neg\neg fx & \neg\neg\neg fx \end{array}$$

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$$\mathcal{T}_{\text{FQ}} \frac{s =_{\sigma\tau} t}{[su] = [tu]} \quad u : \sigma$$

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$$\mathcal{T}_{\text{MAT}} \frac{x s_1 \dots s_n, \neg x t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n}$$

Equality on Individuals

How do we refute the following?

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Decomposition Rule:

$$\mathcal{T}_{\text{DEC}} \frac{xs_1 \dots s_n \neq_l xt_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n}$$

Now $x \neq_l x$ is refuted using $n = 0$ case.

Equality on Individuals

Fine, but how do we refute the following (transitivity)?

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| | |
|--------------------------|--------------------------|
| $x \neq x$ $y \neq x$ | $x \neq z$ $y \neq z$ |
|--------------------------|--------------------------|

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Complete Cut-Free Extensional Tableau Tableau

$$\mathcal{T}_{\neg\neg} \frac{\neg\neg s}{s}$$

$$\mathcal{T}_{\text{BQ}} \frac{s =_o t}{s, t \mid \neg s, \neg t}$$

$$\mathcal{T}_{\text{BE}} \frac{s \neq_o t}{s, \neg t \mid \neg s, t}$$

$$\mathcal{T}_{\text{FQ}} \frac{s =_{\sigma\tau} t}{[su] = [tu]} \quad u : \sigma$$

$$\mathcal{T}_{\text{FE}} \frac{s \neq_{\sigma\tau} t}{[sx] \neq [tx]} \quad x : \sigma \text{ fresh}$$

$$\mathcal{T}_{\text{MAT}} \frac{x s_1 \dots s_n, \neg x t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n}$$

$$\mathcal{T}_{\text{DEC}} \frac{x s_1 \dots s_n \neq_\iota x t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n}$$

$$\mathcal{T}_{\text{CON}} \frac{s =_\iota t, u \neq_\iota v}{s \neq u, t \neq u \mid s \neq v, t \neq v}$$

Completeness: Building a Model

Discriminants + Possible Values + Logical Relations
 \Rightarrow **Henkin Model**

Discriminant: Maximum set of compatible discriminating terms.

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Let E be a set of normal formulas.

A term s_t is **discriminating** if $s \neq t$ or $t \neq s$ is in E (for some t).

Δ is a **discriminant** if it is a set of discriminating terms such that there are no $s, t \in \Delta$ such that $s \neq t \in E$ and for any discriminating term $u \notin \Delta$ there is some $s \in \Delta$ such that $s \neq u \in E$ or $u \neq s \in E$.

Completeness: Possible Values Model

- ▶ Given: Irrefutable branch A .
- ▶ Extend to E satisfying certain properties. ($A \subseteq E$)
- ▶ Define $\mathcal{I}\sigma$ and $\triangleright_\sigma \subseteq \Lambda_\sigma \times \mathcal{I}\sigma$ by induction on types.

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$$t \triangleright a \Rightarrow st \triangleright fa \text{ (logical relations)}$$

$$\text{Let } \mathcal{I}(\sigma\tau) = \{f : \mathcal{I}_\sigma \rightarrow \mathcal{I}_\tau \mid \exists s \in \Lambda_\sigma. s \triangleright f\}.$$

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- ▶ Choose \mathcal{I}_X such that $x \triangleright \mathcal{I}_X$. This gives a model of E .

Two Interesting Fragments

- ▶ EFO: Essentially First Order
(Brown, Smolka – TPHOLs 2009)

- ▶ Basic
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 - ▶ Completeness with respect to standard models
- ▶ Basic
(Brown, Smolka – TABLEAU 2009)
 - ▶ λ -free EFO
 - ▶ Decidable. (Tableau Calculus decides unsatisfiability.)
 - ▶ Finite model property

Translating to ND

- ▶ Can we translate tableau refutations into pure ND proofs?



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- ▶ Tableau: Classical, Extensional
- ▶ ND: Intuitionial, Intensional
- ▶ Transformation: Φ , if A is closed and tableau refutable, then $\Phi(A)$ is ND refutable.
- ▶ Mostly using Girard definitions in terms of \rightarrow and \forall .
- ▶ $\Phi(=_{\iota})$ as Leibniz
- ▶ $\Phi(=_{o})$ as equivalence
- ▶ $\Phi(=_{\sigma\tau})fg$ as $\forall xy : \sigma.\Phi(=_{\sigma})xy \rightarrow \neg\neg\Phi(=_{\tau})(fx)(gy)$



If-Then-Else, Description, Choice

- ▶ Can we extend the tableau results to HOL with...?



Julian Backes

If-Then-Else, Description, Choice

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 - ▶ If-Then-Else: $if_{\sigma} : \sigma\sigma\sigma$ where $if \top xy = x$ and $if \perp xy = y$



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 - ▶ If-Then-Else: $\text{if}_\sigma : \sigma\sigma\sigma\sigma$ where $\text{if}\top xy = x$ and $\text{if}\perp xy = y$
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 - ▶ Description: $\iota_\sigma : (\sigma o)\sigma$ where $\iota_\sigma(=_\sigma x) = x$
 - ▶ Choice: $\epsilon_\sigma : (\sigma o)\sigma$ where $p(\epsilon p)$ unless p is constantly false.
- ▶ Yes! We have nice cut-free rules for each.



Julian Backes

If-Then-Else

$$\mathcal{I}_{\text{if}_0} \frac{\text{if } s \ t \ u \ v_1 \cdots v_n}{s, t \ v_1 \cdots v_n \mid \neg s, u \ v_1 \cdots v_n}$$

If-Then-Else

$$\mathcal{I}_{\text{if}_0} \frac{\text{if } s \ t \ u \ v_1 \cdots v_n}{s, t \ v_1 \cdots v_n \mid \neg s, u \ v_1 \cdots v_n}$$

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$$\mathcal{T}_{\text{if}_0} \frac{\text{if } s \ t \ u \ v_1 \cdots v_n}{s, t \ v_1 \cdots v_n \mid \neg s, u \ v_1 \cdots v_n}$$

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$$\mathcal{T}_{\text{if}_=} \frac{\text{if } s \ t \ u \ v_1 \cdots v_n \neq_l w}{s, t \ v_1 \cdots v_n \neq w \mid \neg s, u \ v_1 \cdots v_n \neq w}$$

If-Then-Else

$$\mathcal{T}_{\text{if}_o} \frac{\text{if } s \ t \ u \ v_1 \cdots v_n}{s, t \ v_1 \cdots v_n \mid \neg s, u \ v_1 \cdots v_n}$$

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$$\mathcal{T}_{\text{if}_=s} \frac{w \neq_l \text{if } s \ t \ u \ v_1 \cdots v_n}{s, w \neq t \ v_1 \cdots v_n \mid \neg s, w \neq u \ v_1 \cdots v_n}$$

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► Henkin Completeness

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- ▶ Henkin Completeness
- ▶ Standard Completeness for EFO + if_σ at all types.

Choice

$$\mathcal{I}_\epsilon \frac{}{\neg[st] \mid [s(\epsilon_\sigma s)]} t : \sigma$$

Choice

$$\mathcal{T}_\epsilon \frac{}{\neg[st] \mid [s(\epsilon_\sigma s)]} t : \sigma, \epsilon_\sigma s \text{ accessible}$$

- ▶ $\epsilon_\sigma s$ is **accessible** if one of the following forms is on the branch:

Choice

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- ▶ Standard Completeness of EFO + ϵ_ι

Choice

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- ▶ Standard Completeness of EFO + ϵ_l
- ▶ (Description is similar)
- ▶ Conjecture: Standard Completeness of EFO + ι_σ at all types is possible.

Jitpro: Implementation in GWT

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Used in Logic course in 2008, 2009.
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- ▶ Includes the tableau decision procedure for the Basic Fragment



Matthias Höschele

Thanks ...

Hopefully a demo of Jitpro in GWT follows...