

Master's thesis - second talk:

# Tableaux for Higher-Order Logic with If-Then-Else, Description and Choice

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by Julian Backes on August 28, 2009

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# Contents

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- Recap from the first talk
  - Basics
  - Three Fragments
  - Extending the fragments
- Choice
- Completeness with Choice
- Bonus: Independence results

# Recap from the first talk

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Simply typed higher order logic  
and tableaux



# Basics: Syntax/Semantics

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- Context: Simply typed higher order logic
- Syntax:
  - Types ( $\sigma, \tau, \mu$ ):  $\tau ::= \iota \mid o \mid \tau \tau$
  - Terms ( $s, t, u, v$ ):  $t ::= x \mid c \mid tt \mid \lambda x.t$
  - Logical constants:  $\neg, \wedge, \vee, \forall_{\tau}, \exists_{\tau}, =_{\tau}, \longrightarrow, \top, \perp$
- Typed terms as usual, we only consider well-typed terms
- Semantics:
  - $o$  boolean sort, containing 1/true/top/ $\top$  and 0/false/bottom/ $\perp$
  - $\iota$  non-empty set of individuals
  - $\tau$  set of all total functions (standard interpretation) or subset of all total total functions (Henkin/non standard interpretation)

# Basics: Tableau systems

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- General idea: Proof by contradiction
- Instead of proving the validity of a formula, we show that the negation of the formula is unsatisfiable / refutable / yields  $\perp$
- Tableau rules:

$$\frac{A}{A_1 \mid \dots \mid A_n} A \not\subseteq A_i \qquad \text{CLOSED} \frac{A}{\perp}$$

- For simplicity: We only write what is needed in  $A$  to apply a rule and what is added in the  $A_i$

# Three fragments

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- Three fragments of simply typed higher order logic by Brown and Smolka:
  - "Basic": No higher-order equations, no quantifiers, no  $\lambda$ ; proof system is terminating and complete wrt standard models
  - "EFO": No higher-order equations, quantifiers at base types, supports  $\lambda$ ; proof system not terminating but cut-free and complete wrt standard models
  - "Full": Higher-order equations, supports  $\lambda$ ; proof system not terminating but cut-free and complete wrt non-standard models
- Goal of my thesis: Extend these fragments with more powerful logical constants while maintaining the existing properties (completeness, cut-freeness, termination)

# First talk

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- I already presented tableau rules for If-Then-Else which can be added to all three fragments while preserving all of their properties; proof straight forward  
Slides: <http://www.ps.uni-sb.de/~julian/master>
- Difference between Choice/Description and other well-known logical constants: There are several possible interpretations
- I presented rules for Choice based on a paper by Mints. Let  $Cs$  and  $Cs'$  occur as subterms on the branch:

$$\text{CHOICE} \frac{}{\neg(st) \mid s(Cs)} \text{ t term of suitable type}$$

$$\text{CHOICE}_{Ext} \frac{}{sa, \neg(s'a) \mid \neg(sa), s'a \mid Cs = Cs'} a \text{ fresh}$$

$$\text{MAT}, \frac{\alpha s_1 \dots s_n, \neg \alpha t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n} \alpha \text{ variable or some } Cs$$



# Choice

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Were the rules ok?





# Problems with Mints' rules

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$$\text{MAT}' \frac{\alpha s_1 \dots s_n, \neg \alpha t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n} \alpha \text{ variable or some } C s$$

- There were four problems with these rules:

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  - Is C s at the "head" in MAT' not too restrictive?

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- There were four problems with these rules:
  - Something was missing: Not all unsatisfiable branches could be refuted
  - Choice<sub>Ext</sub> causes an exponential blow-up in the number of branches
  - Is C s at the "head" in MAT' not too restrictive?
  - C s and C s' must occur as subterms on the branch. What about the subterm  $\lambda x.C x$ ?



# Unrefutable, unsatisfiable branch

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  - $\{s =_{lo} t, C s \neq_l C t, C s =_l C t, C s \neq_l C s, C t \neq_l C t\}$
  - this branch cannot be refuted

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- Consider the following unsatisfiable branch/set:
  - $\{s =_{\iota_0} t, C s \neq_{\iota} C t\}$
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  - $\{s =_{\iota_0} t, C s \neq_{\iota} C t, C s =_{\iota} C t, C s \neq_{\iota} C s, C t \neq_{\iota} C t\}$
  - this branch cannot be refuted
- We need "MAT" at type  $\iota$ : DEC'

$$\text{DEC}' \frac{\alpha s_1 \dots s_n \neq_{\iota} \alpha t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n} \alpha \text{ variable or some } C s$$

# Unrefutable, unsatisfiable branch ctd

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  - Answer: probably not :-) We don't know...
- But remember:  $\text{Choice}_{\text{Ext}}$  is not a nice rule (exponential blow-up)



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- One question remaining: Is  $C s$  not too restrictive?
  - Answer: probably not :-) We don't know...
- But remember:  $\text{Choice}_{\text{Ext}}$  is not a nice rule (exponential blow-up)
- We showed: Relaxing the  $C s$  restriction and just require  $C$  in  $\text{MAT}'$  and  $\text{DEC}'$

$$\text{DEC}', \frac{\alpha s_1 \dots s_n \neq_{\text{t}} \alpha t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n} \alpha \text{ variable or } C \quad \text{MAT}', \frac{\alpha s_1 \dots s_n, \neg \alpha t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n} \alpha \text{ variable or } C$$

makes  $\text{Choice}_{\text{Ext}}$  unnecessary

# 4 Problems, 3 Solutions

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- There were four problems with these rules:
  - Something was missing: Not all unsatisfiable branches could be refuted
  - $\text{Choice}_{\text{Ext}}$  causes an exponential blow-up in the number of branches
  - Is  $C\ s$  at the "head" in  $\text{MAT}'/\text{DEC}'$  not too restrictive?
  - $C\ s$  and  $C\ s'$  must occur as subterms on the branch. What about the subterm  $\lambda x.C\ x$ ?
- For the last problem, we need some definitions...

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We need DEC'!

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- ~~Something was missing: Not all unsatisfiable branches could be refuted~~ We need DEC'!
- ~~Choice<sub>EXT</sub> causes an exponential blow-up in the number of branches~~ We don't need it!
- Is  $C\ s$  at the "head" in  $MAT'/DEC'$  not too restrictive?
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# Accessibility and the subterm problem

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- Def: Let  $E$  be a branch. A term  $s$  is **discriminating** in  $E$  if and only if there is a term  $t$  such that  $(s \neq_l t) \in E$  or  $(t \neq_l s) \in E$



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  - $C[s] \in E$  or  $\neg C[s] \in E$  for  $C[s]$  of type  $o$
- Solution to our subterm problem: "is accessible in  $E$ " instead of "is a subterm in  $E$ " does the job

# Comparison of the rules

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- These were the rules we started with:

$$\text{CHOICE} \frac{}{\neg(st) \mid s(Cs)} \text{ t term of suitable type}$$

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- And these are the new rules:

$$\text{CHOICE} \frac{}{\neg(st) \mid s(Cs)} Cs \text{ accessible}$$

$$\text{DEC}' \frac{\alpha s_1 \dots s_n \neq_{\iota} \alpha t_1 \dots t_n}{s_1 \neq t_1 \mid \dots \mid s_n \neq t_n} \alpha \text{ variable or C}$$

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# Completeness with Choice

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A proof sketch





# Completeness proof

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- I will not explain the whole completeness proof here
- The hard part reduces to the Model Existence Theorem:
  - Def: A set  $E$  of formulas (representing a branch) is called **evident** if it does not contain  $\perp$  and is closed under the tableau rules
  - Model Existence Theorem: If a set  $E$  is evident, then there exists an interpretation which satisfies all formulas in  $E$
- The proof of this Theorem is long, I only present the general ideas here
- But first, we need one more definition....

# Model existence theorem

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# Model existence theorem

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- Given an evident set  $E$ , define **possible values relation** by induction on types:
  - $s \triangleright_o 0 :\Leftrightarrow [s] \notin E$
  - $s \triangleright_o 1 :\Leftrightarrow \neg[s] \notin E$
  - $s \triangleright_{\sigma\tau} f :\Leftrightarrow st \triangleright_\tau fa$  whenever  $t \triangleright_\sigma a$
  - (We skip  $\triangleright_l$  here, it is defined using discriminants)
- Fact: Any term has a possible value (proof uses MAT' and DEC')
- For the proof of the model existence theorem, we need to show that for any term  $s$ ,  $s \triangleright \mathfrak{I} s$  (where  $\mathfrak{I}$  is a corresponding interpretation); proof is done by induction on  $s$ 
  - Question: For the case  $C \triangleright \mathfrak{I} C$ , what is  $\mathfrak{I} C$ ? We said that the interpretation of  $C$  is not unique so we have to give one...



# Interpretation of C

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- Goal: Prove  $C \triangleright \mathfrak{S} C$
- Problem 1: This is not enough, the proof requires accessible terms
- Now, we can prove  $C \triangleright \mathfrak{S} C$  but....
- Problem 2:  $\mathfrak{S} C$  is not a choice function: what if the set is empty?
- This interpretation does the job!
- The proof that  $\mathfrak{S} C$  is a choice function requires the Choice rule
  - And we need to know that any value is a possible value for some term  
 $\Rightarrow$  we need non-standard models for Choice at functional types

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  - some  $a$  if  $\{C s \mid s \triangleright f\} \triangleright a$
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  - some  $b$  if  $f b = 1$
- Goal: Prove  $C \triangleright \mathfrak{S} C$
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# Interpretation of $\mathcal{C}$

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- We define  $\mathfrak{S} \mathcal{C}$  to be a function such that  $(\mathfrak{S} \mathcal{C}) f$  is
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  - some  $b$  if  $f b = 1$
  - some  $c$  otherwise
- Goal: Prove  $C \triangleright \mathfrak{S} \mathcal{C}$
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- Problem 2:  $\mathfrak{S} \mathcal{C}$  is not a choice function: what if the set is empty?
- This interpretation does the job!
- The proof that  $\mathfrak{S} \mathcal{C}$  is a choice function requires the Choice rule

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# Results/Recap

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- We have proven completeness
  - for "EFO" together with Choice at base types wrt standard models
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- We have proven completeness
  - for "EFO" together with Choice at base types wrt standard models
  - for "Full" together with Choice at any type wrt non-standard models
- We have not proven completeness for "Basic" with Choice at type  $\iota$ :
  - The problem: Preserve termination
  - Possible solution: Only instantiate discriminating terms

# Results/Recap

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  - for "EFO" together with Choice at base types wrt standard models
  - for "Full" together with Choice at any type wrt non-standard models
- We have not proven completeness for "Basic" with Choice at type  $\iota$ :
  - The problem: Preserve termination
  - Possible solution: Only instantiate discriminating terms
- What about description?



# Description

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- Given the tableau rule for Choice, it is easy to give one for Description:

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- Using this rule, the completeness proof works analogously to the completeness proof with Choice
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- Chad suspects that it is possible to add Description at any type to EFO while maintaining completeness
- Actually, we don't know...

# Bonus: Independence results

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  - Is If-Then-Else really more powerful, i.e. is it not possible to express If-Then-Else using other logical constants?

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- Result: If-Then-Else *is* more powerful
- Proof works by constructing a (non-standard) model which contains all well-known logical constants but not If-Then-Else
  - Construction uses a binary logical relation: Equality

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  - Is If-Then-Else really more powerful, i.e. is it not possible to express If-Then-Else using other logical constants?
- Result: If-Then-Else *is* more powerful
- Proof works by constructing a (non-standard) model which contains all well-known logical constants but not If-Then-Else
  - Construction uses a binary logical relation: Equality
- We also constructed a model which contains all well-known logical constants, including If-Then-Else, but not Description
  - Construction uses an infinitary logical relation



# **Thank you!**

And stay tuned for Sigurd's talk...



# References

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