Extensible Dependency Grammar: A Modular Grammar Formalism Based On Multigraph Description

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What the thesis is about

- Extensible Dependency Grammar (XDG)
- new grammar formalism for natural language
- explores the combination of:
  1. dependency grammar
  2. model theory
  3. parallel architecture
- results:
  1. modularity: grammars can be extended by any linguistic aspect, each modeled independently
  2. emergence: complex linguistic phenomena emerge as the intersection of the linguistic aspects

Overview

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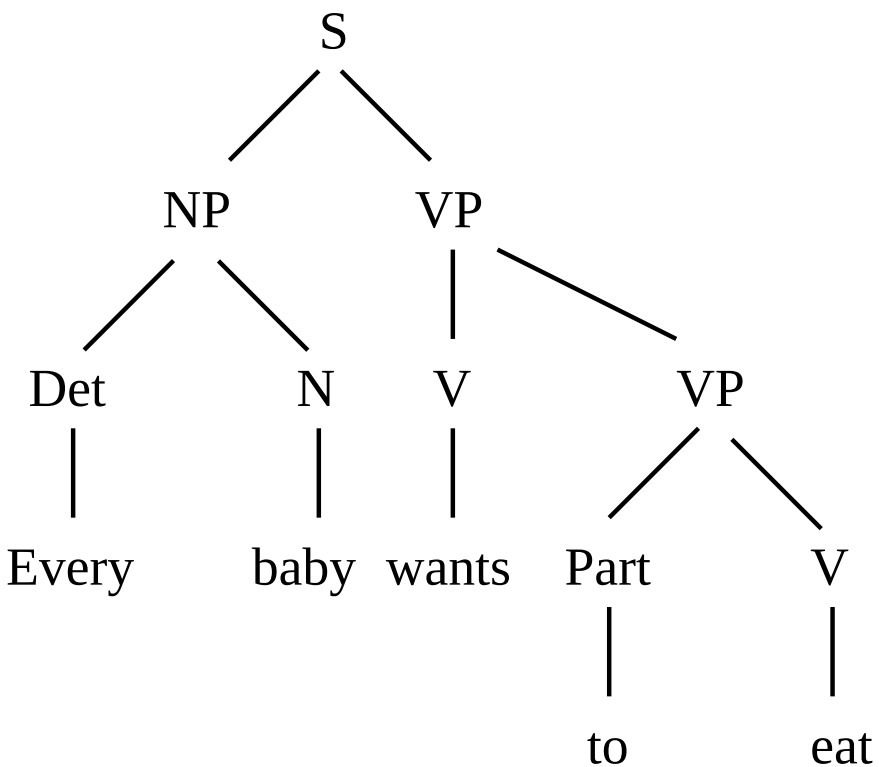
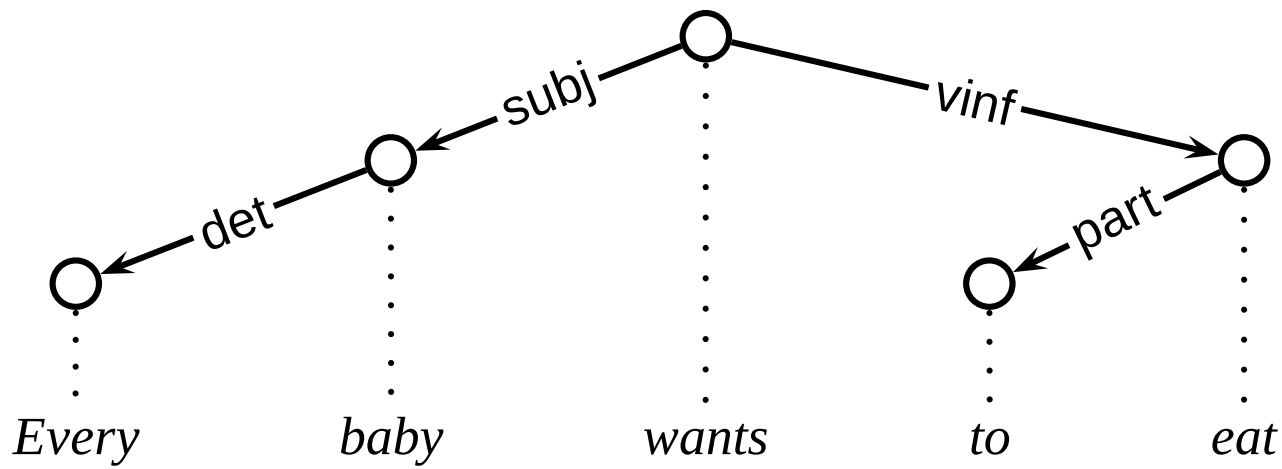
Dependency Grammar

- traditional (Chomsky 1957): syntax of natural language analyzed in terms of phrase structure grammar:
  - hierarchically arranges substrings called phrases
  - nodes labeled by syntactic categories



Dependency Grammar

- dependency grammar (Tesnière 1959):
  - hierarchically arranges words
  - edges labeled by grammatical functions
  - mothers: heads, daughters: dependents

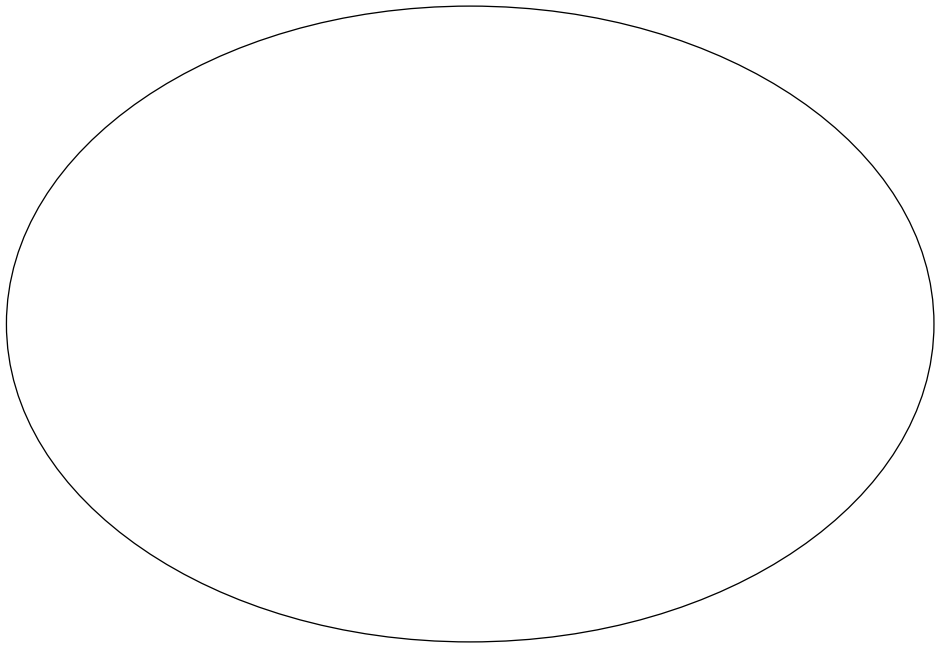


Advantages

- flexibility: dependency analyses need not be trees but can be arbitrary graphs
- need not be ordered
- perfectly suited for modeling linguistic aspects other than syntax, e.g. predicate-argument structure, where the models are unordered DAGs

Model Theory

- traditional: generative perspective on grammar (Chomsky 1957):
  1. start with the empty set
  2. use production rules to generate the well-formed models



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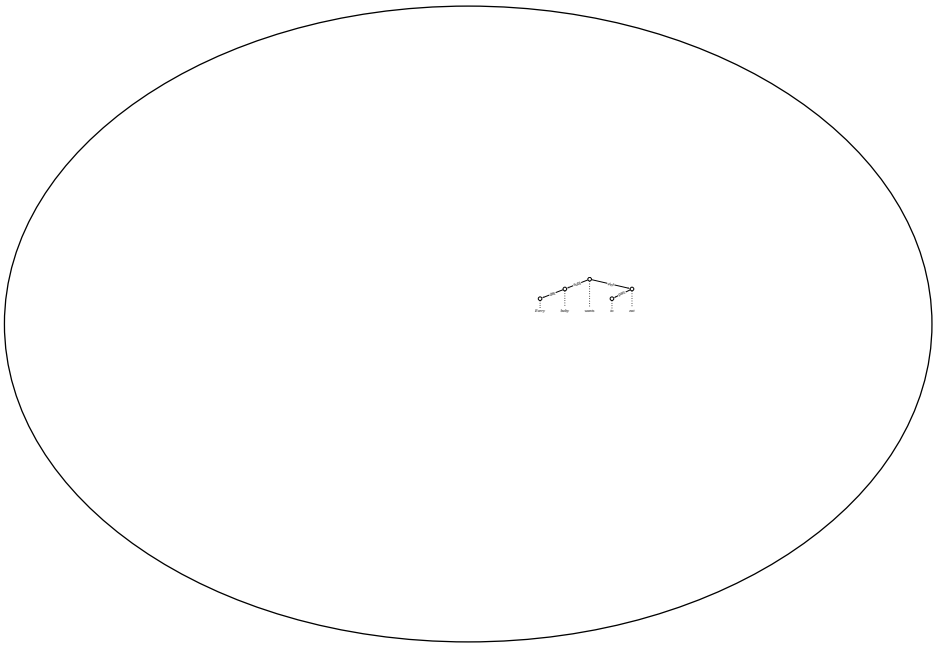

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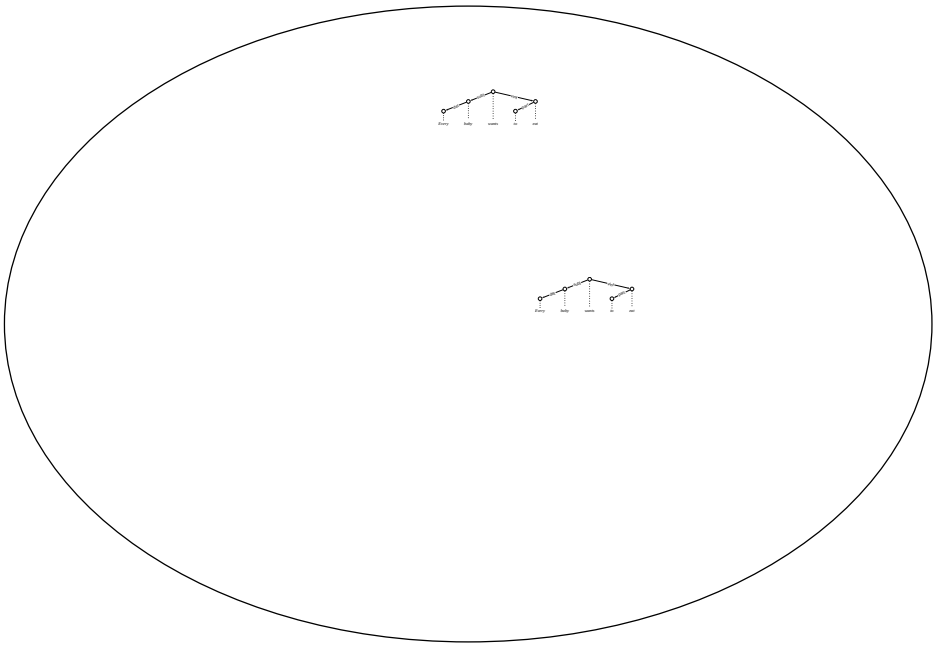

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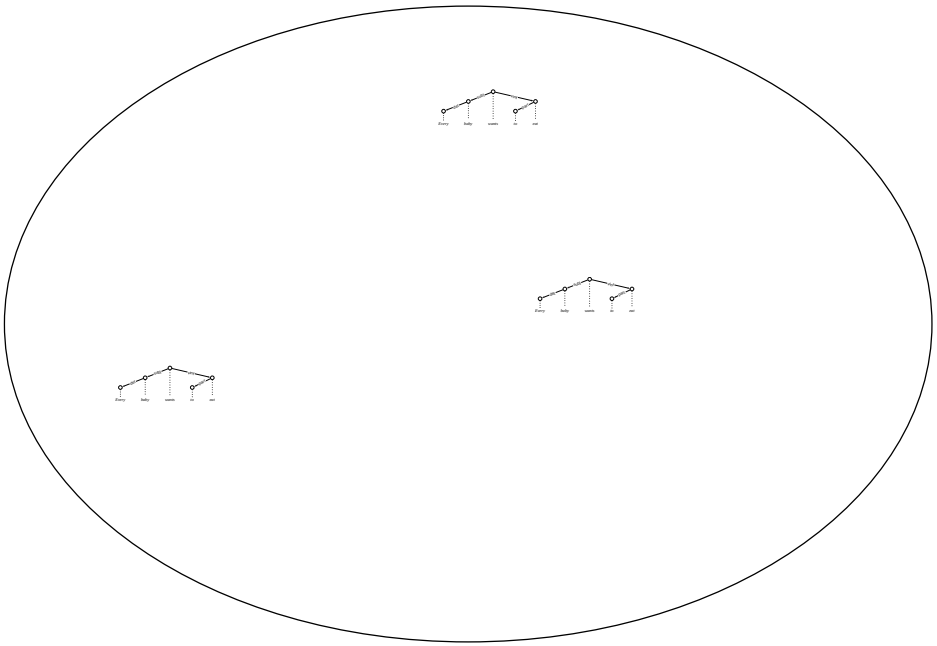

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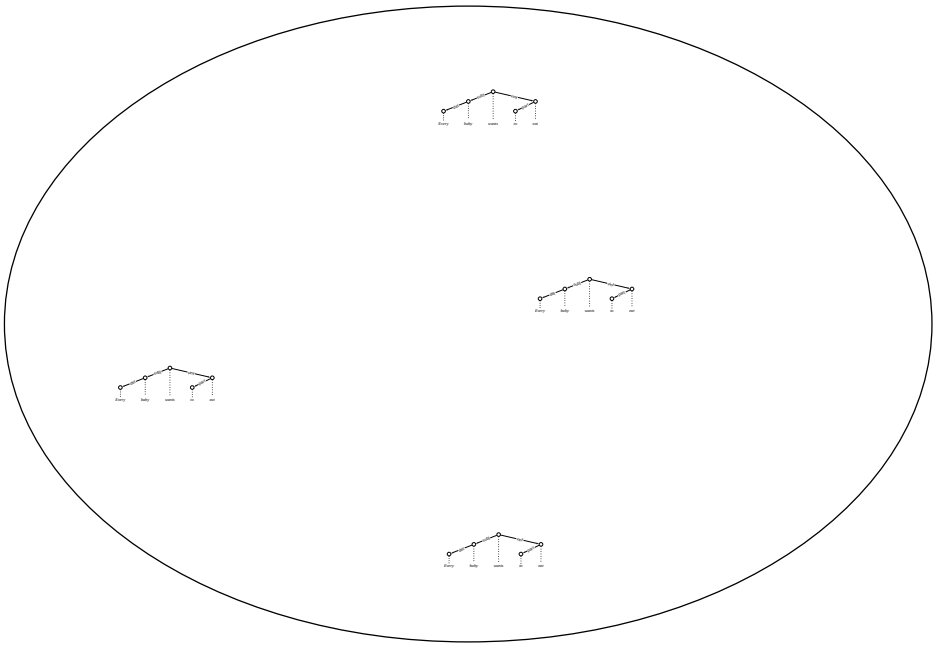

Model Theory

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  1. start with the set of all possible models
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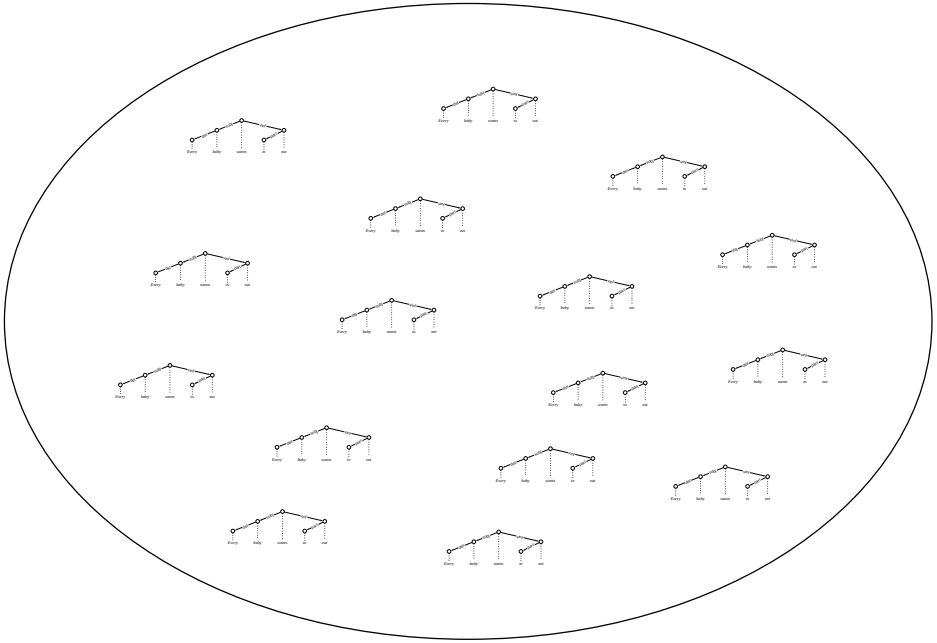

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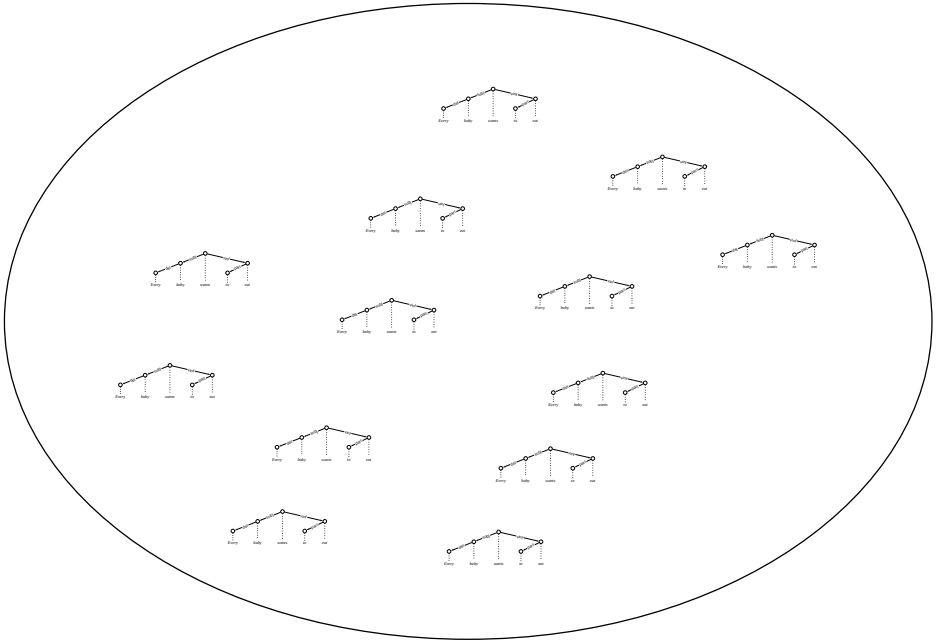

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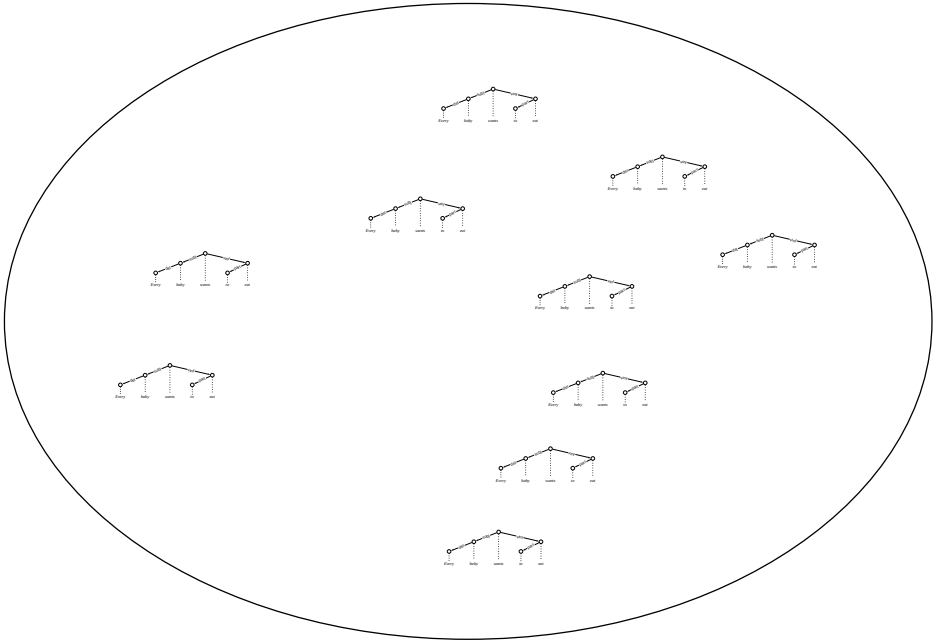

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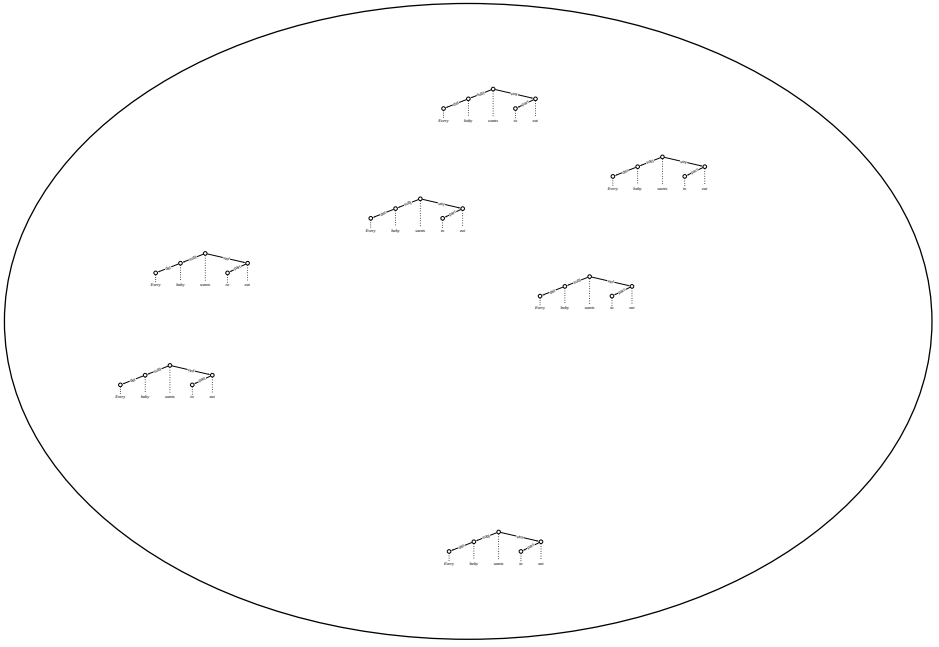

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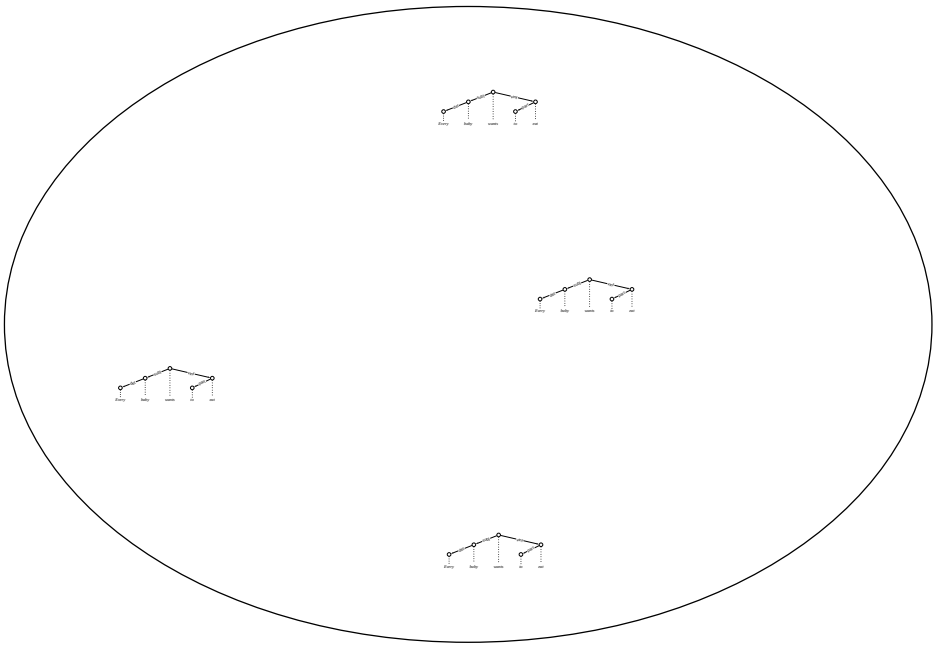
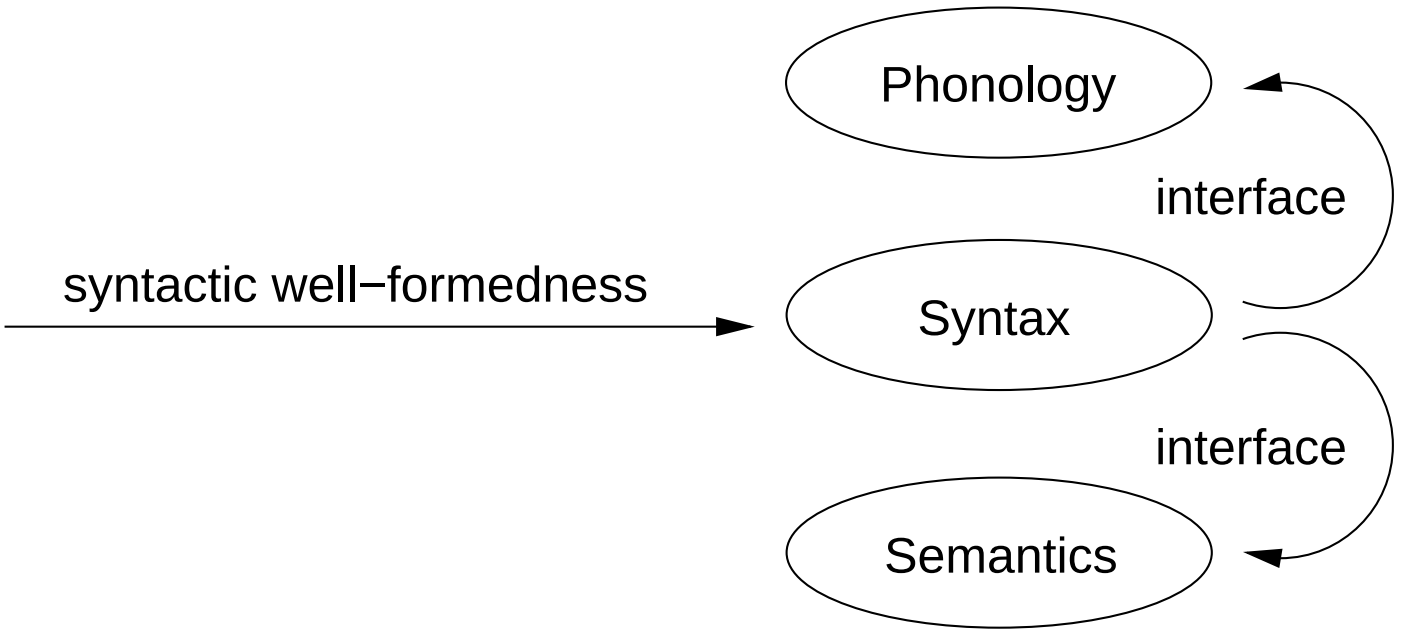


Advantage

- declarativity: constraints describe the well-formed models independently of any underlying mechanisms

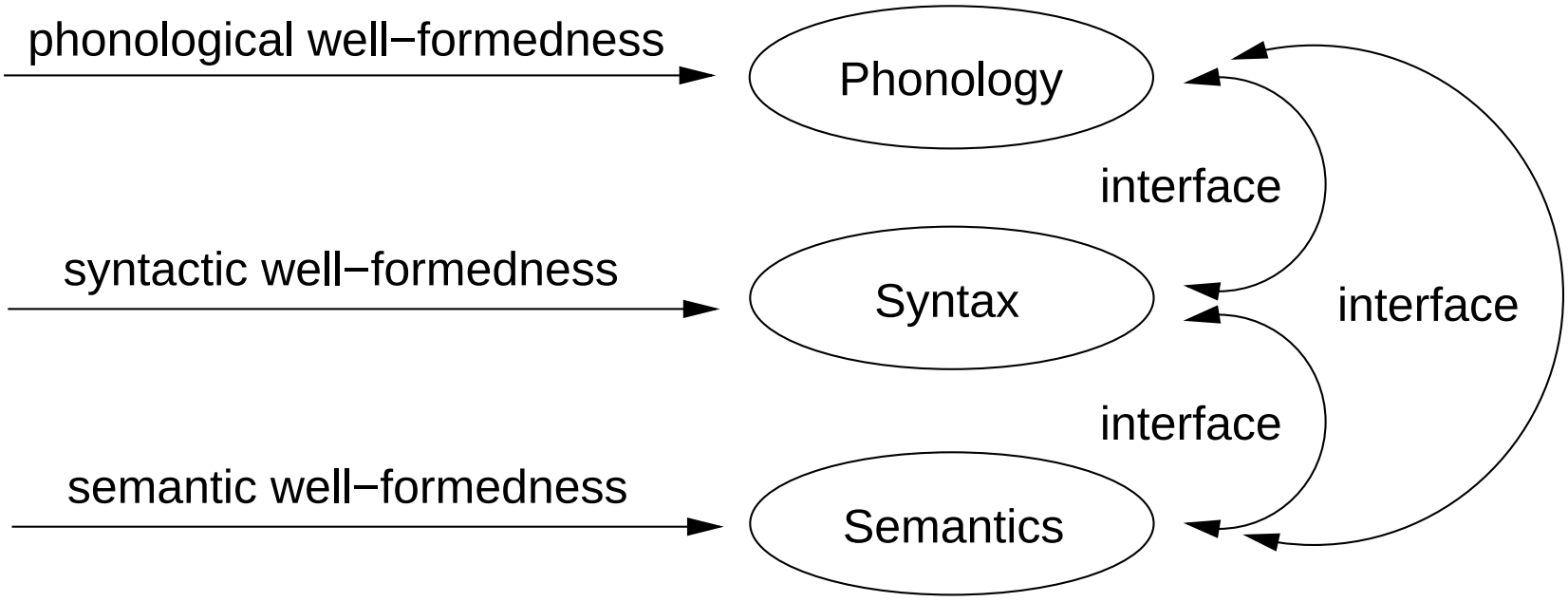
Parallel Architecture

- traditional: syntacto-centric architecture (Chomsky 1965):
  - only syntax modeled independently
  - other linguistic aspects obtained by functional interfaces



Parallel Architecture

- parallel architecture (Jackendoff 2002), (Sadock 1991):
  - all linguistic aspects modeled independently
  - relational interfaces



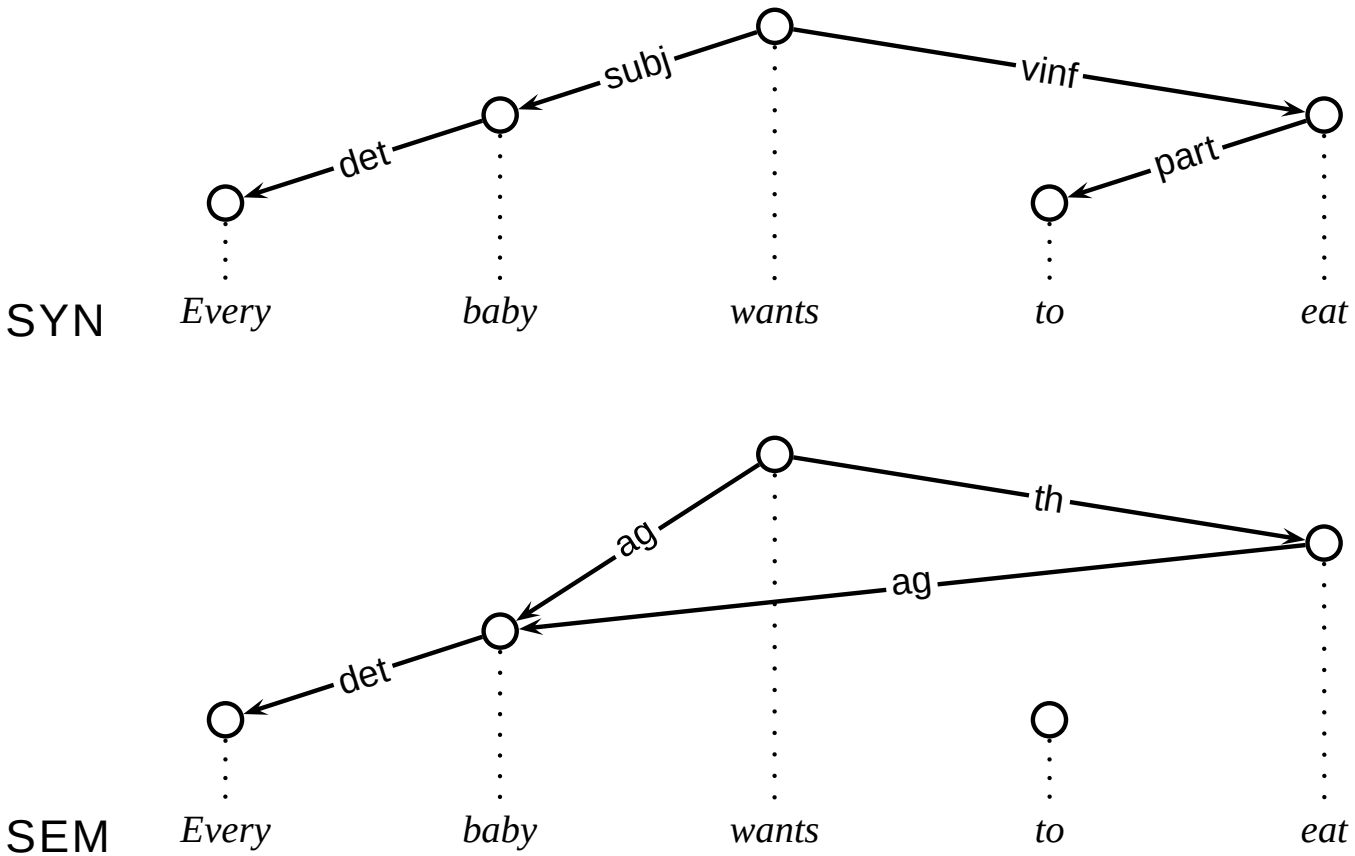
Advantages

- modularity: linguistic aspects can be modeled largely independently of each other
- emergence: complex phenomena emerge as the intersection of the linguistic aspects

Extensible Dependency Grammar (XDG)

- combines:
  1. flexibility from dependency grammar
  2. declarativity from model theory
  3. modularity and emergence from the parallel architecture
- models: dependency multigraphs, i.e. tuples of dependency graphs
- share the same set of nodes
- arbitrary many components called dimensions

Example Multigraph



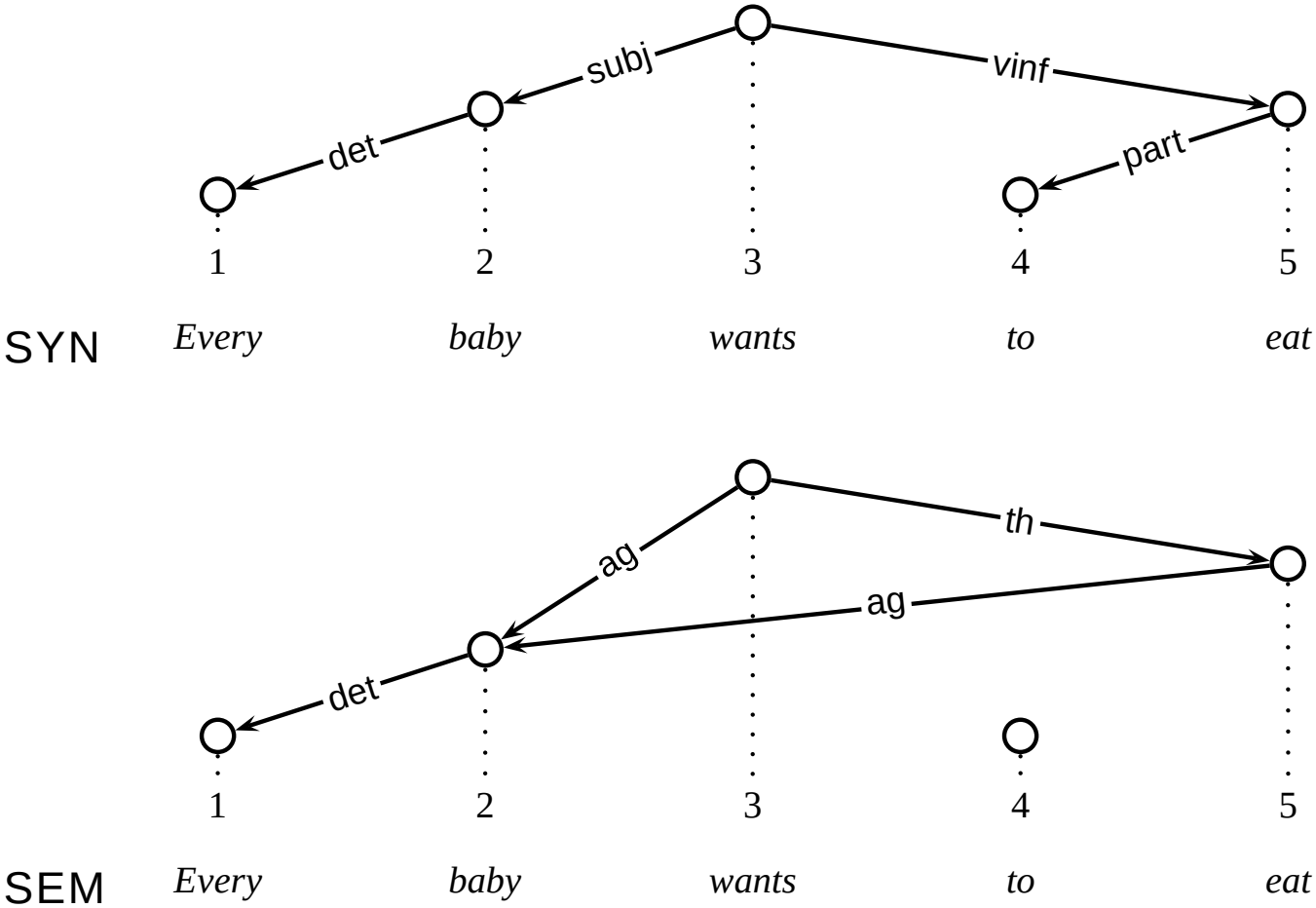
Related Work

- phrase structure grammar:
  - Generative Grammar (Chomsky 1957, 1965, 1981, 1995)
  - Tree Adjoining Grammar (Joshi 1987)
  - Combinatory Categorial Grammar (Steedman 2000)
  - Head-driven Phrase Structure Grammar (Pollard/Sag 1994)
  - Lexical-Functional Grammar (Bresnan 2001)
- dependency grammar:
  - Functional Generative Description (Sgall et al. 1986)
  - Meaning Text Theory (Mel'čuk 1988)
  - Constraint Dependency Grammar (Menzel/Schröder 1998)
  - Topological Dependency Grammar (Duchier/Debusmann 2001)

Overview

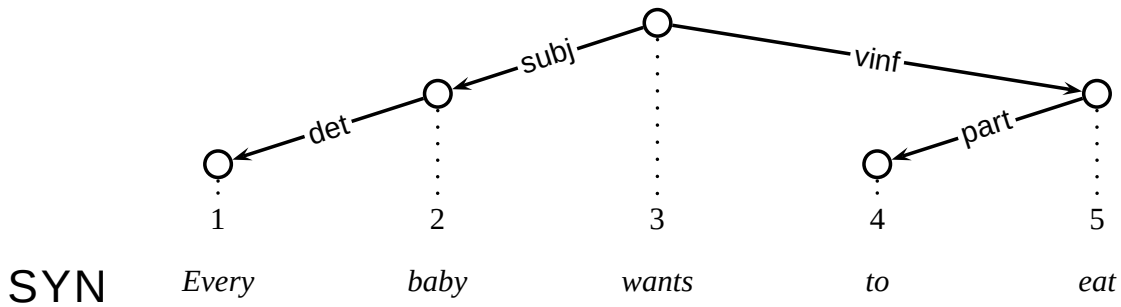
Dependency Multigraphs

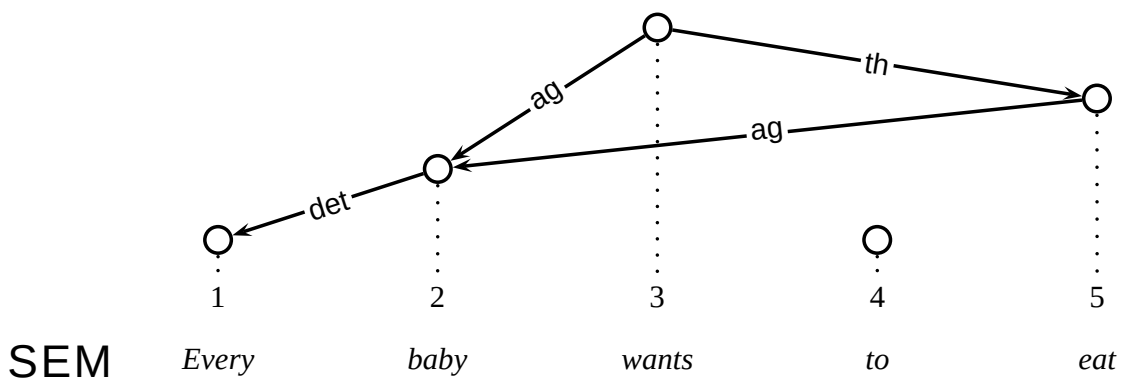
- tuples (V, D, W, w, L, E, A, a)



Relations

- 3 relations:
  1. labeled edge
  2. strict dominance
  3. precedence





Grammar

- $G = (MT, P)$, characterizes set of multigraphs:
  1. MT: multigraph type determining dimensions, words, edge labels
  2. P: set of principles constraining the set of well-formed multigraphs of type MT
- principles P formulated in a higher order logic
- signature determined by MT

Models and String Language

- the models of $G = (MT, P)$ are all multigraphs which:
  1. have multigraph type MT
  2. satisfy all principles P
- the string language of a grammar G are all strings s such that:
  1. there is a model of G with as many nodes as words in s
  2. concatenation of the words of the nodes yields s

Principles

- formulas in higher order logic
- characterize the well-formed multigraphs of a specific multigraph type
- predefined principle library from which grammars can be built like with lego bricks (Debusmann et al. 2005 FGMOL), e.g.:
  - tree principle
  - valency principle
  - order principle

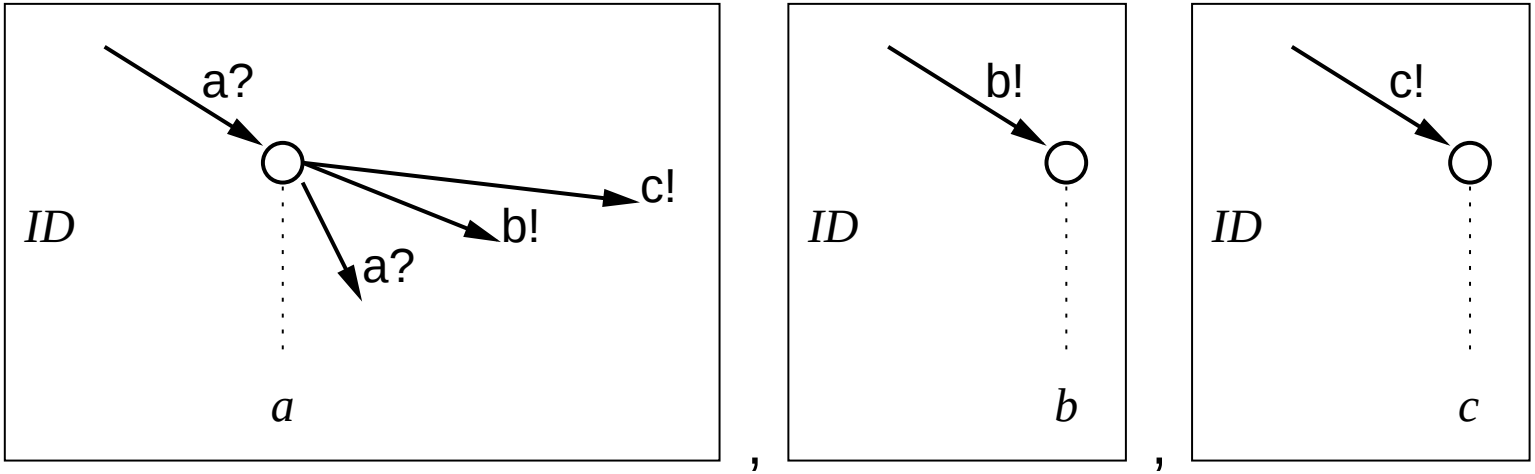
Tree Principle

- given a dimension d, there must be:
  1. no cycles
  2. precisely one node without an incoming edge (the root)
  3. each node must have at most one incoming edge

$$\begin{aligned} &\forall v : \neg(v \rightarrow_d^+ v) && \wedge \\ &\exists^1 v : \neg\exists v' : v' \rightarrow_d v && \wedge \\ &\forall v : \neg\exists v' : v' \rightarrow_d v \ \vee \ \exists^1 v' : v' \rightarrow_d v \end{aligned}$$

Valency Principle

- lexically constrains the incoming and outgoing edges of the nodes
- characterized by fragments, e.g.:

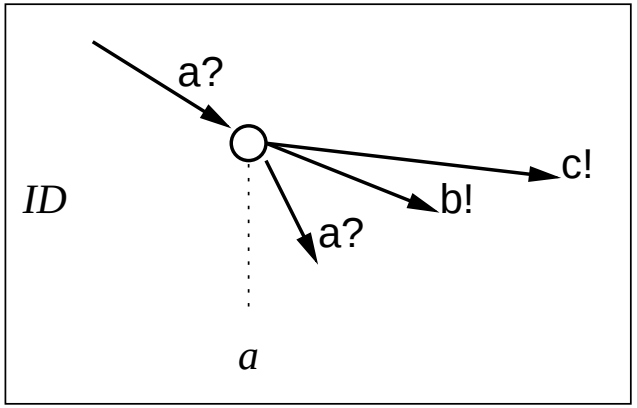


Grammar 1

- together with the tree principle, the fragments yield our first grammar
- string language: equally many as, bs and cs in any order:

$$L_1 \quad = \quad \{w \in (a \cup b \cup c)^+ \mid |w|_a = |w|_b = |w|_c\}$$

- why? as arranged in a chain, each a has precisely one outgoing edge to b and one to c:



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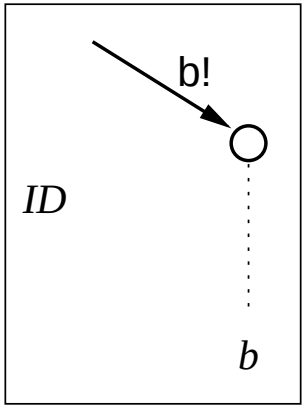

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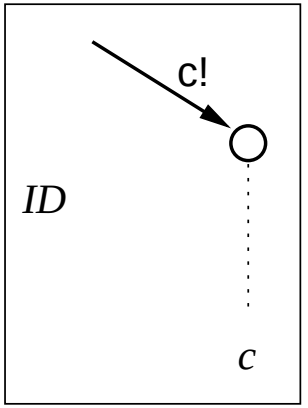
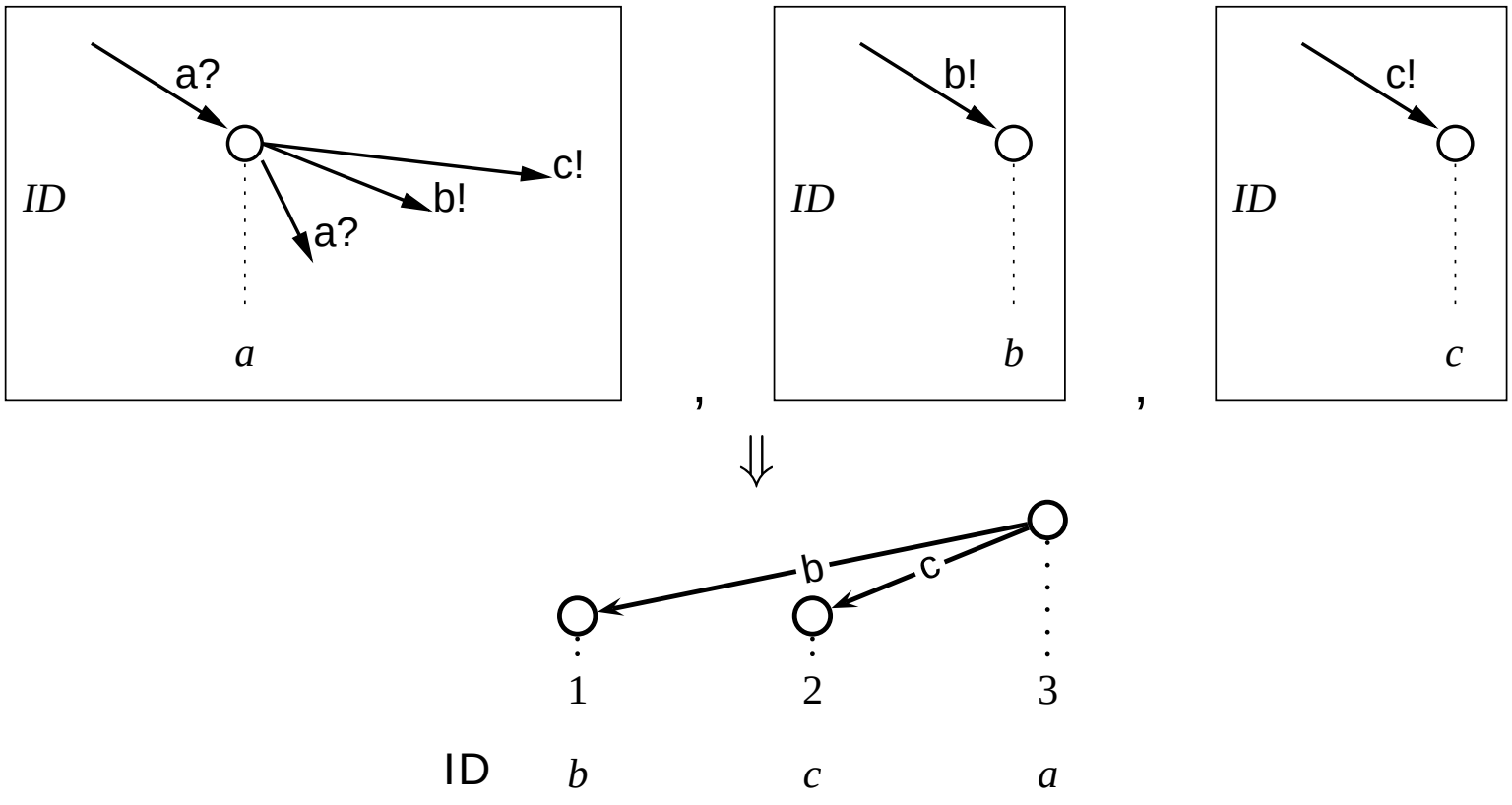


Example Analyses



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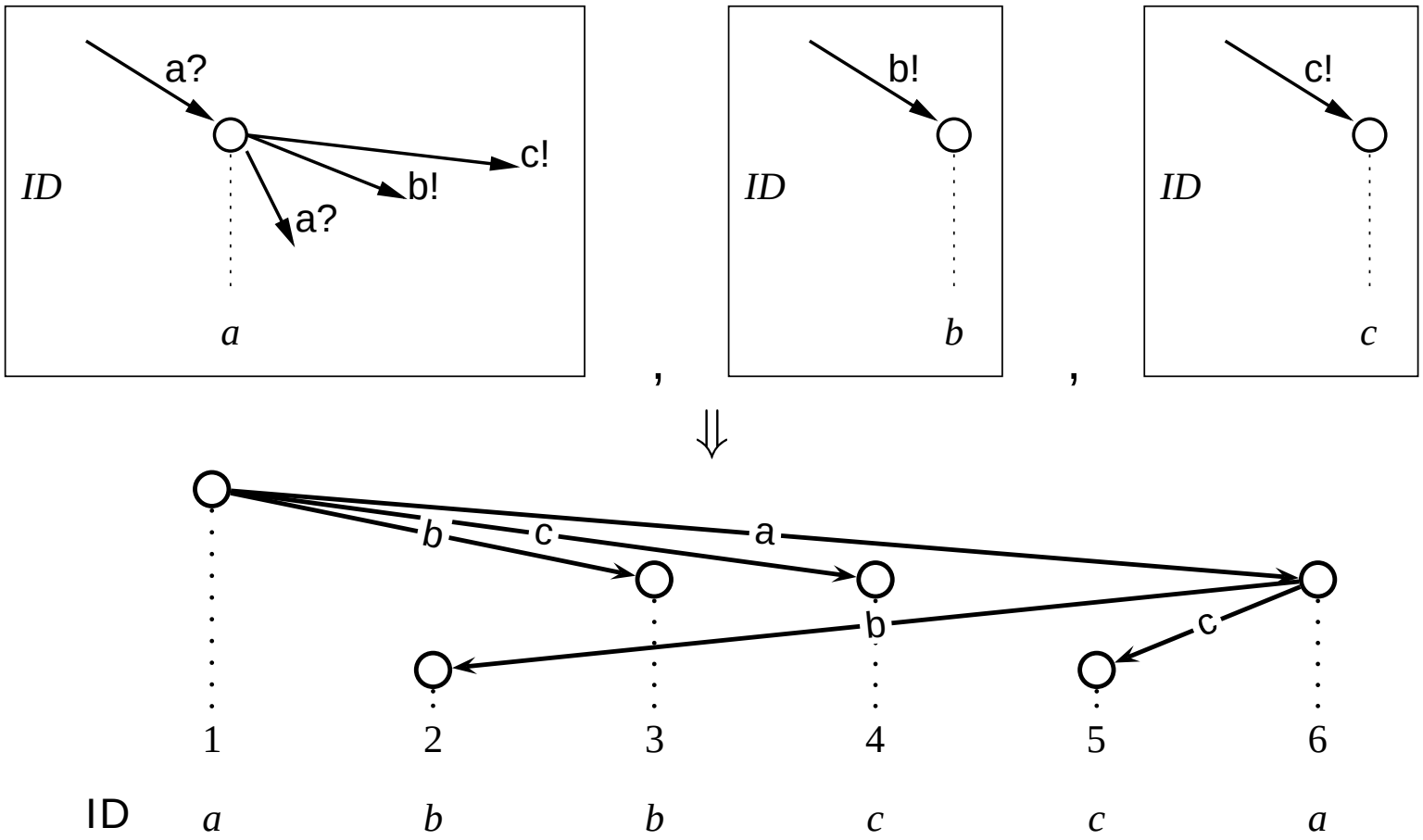
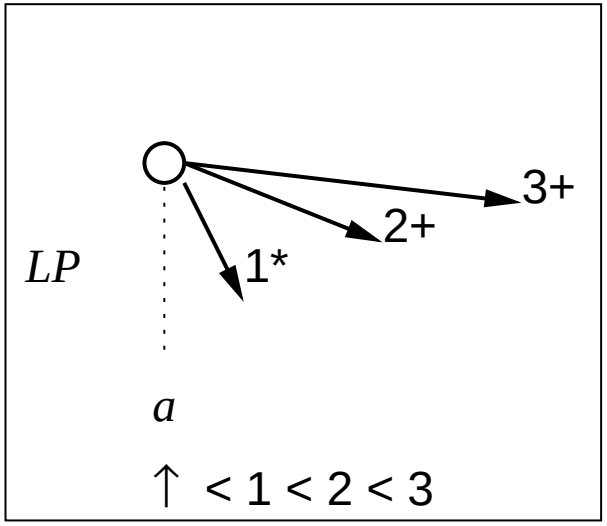


Order Principle

- lexically constrains:
  1. the order of the outgoing edges of the nodes depending on their edge labels
  2. the order of the mother with respect to the outgoing edges, also depending on their edge labels
- characterized by ordered fragments, e.g.:

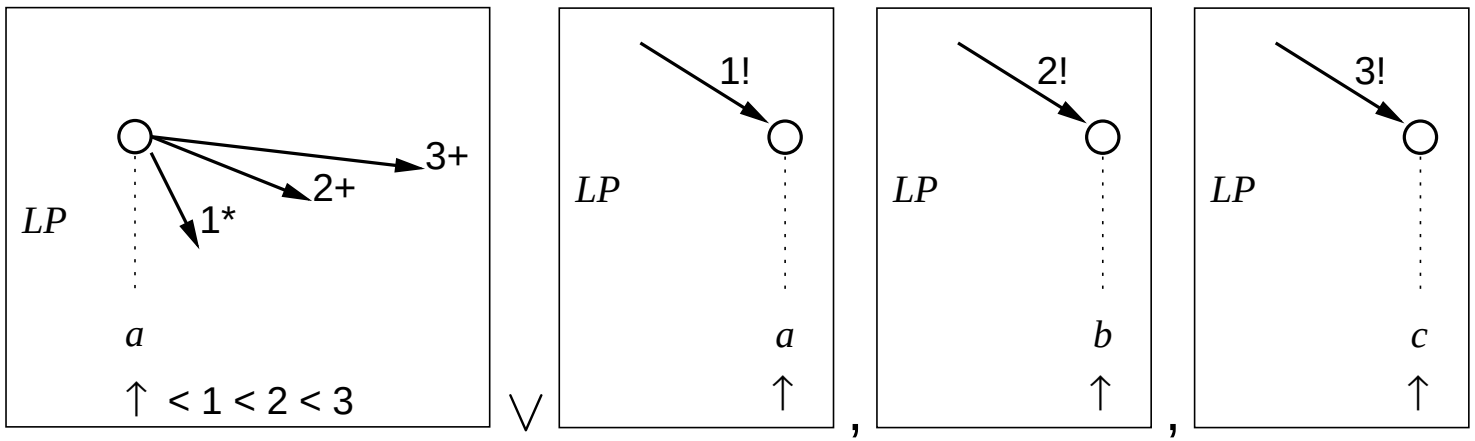


Grammar 2

- string language: one or more a followed by one or more bs followed by one or more cs:

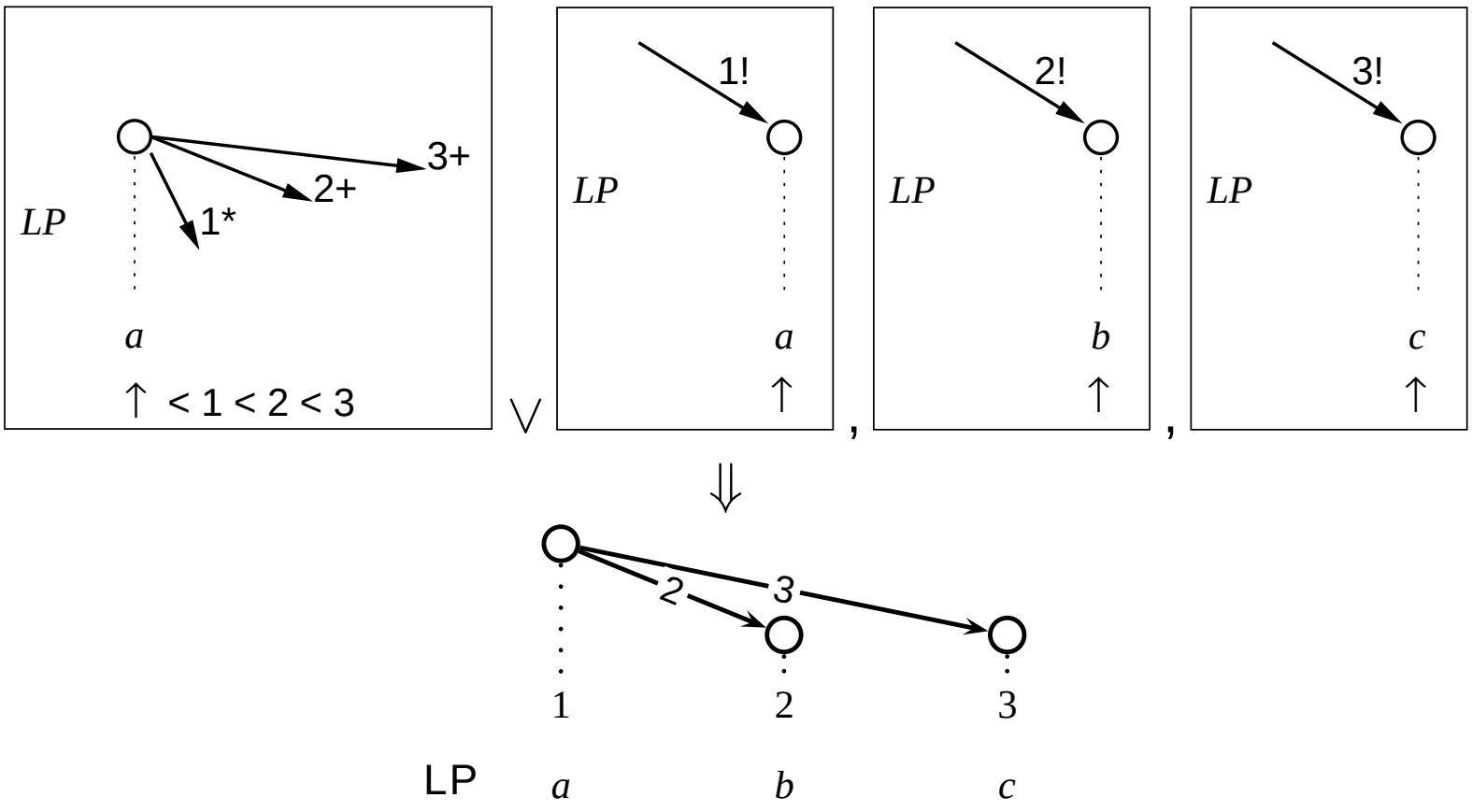
$$L_2 \quad = \quad \{w \in a^+b^+c^+\}$$

- tree, valency and order principles and the fragments below:



- idea: a is always root, licensing zero or more outgoing edges labeled 1 to as, and one or more labeled 2 to bs and 3 to cs, where the as precede the bs precede the cs:

Example Analyses



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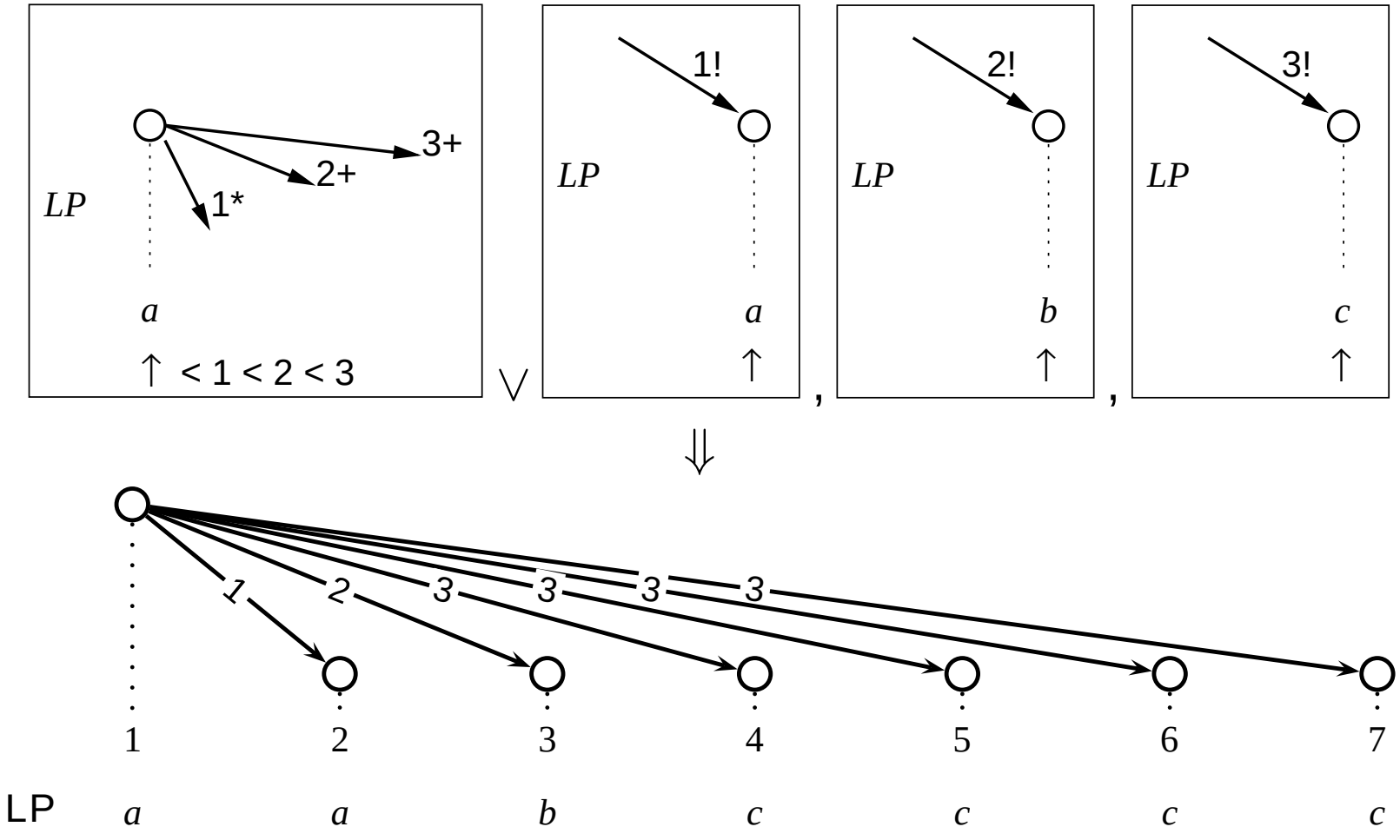


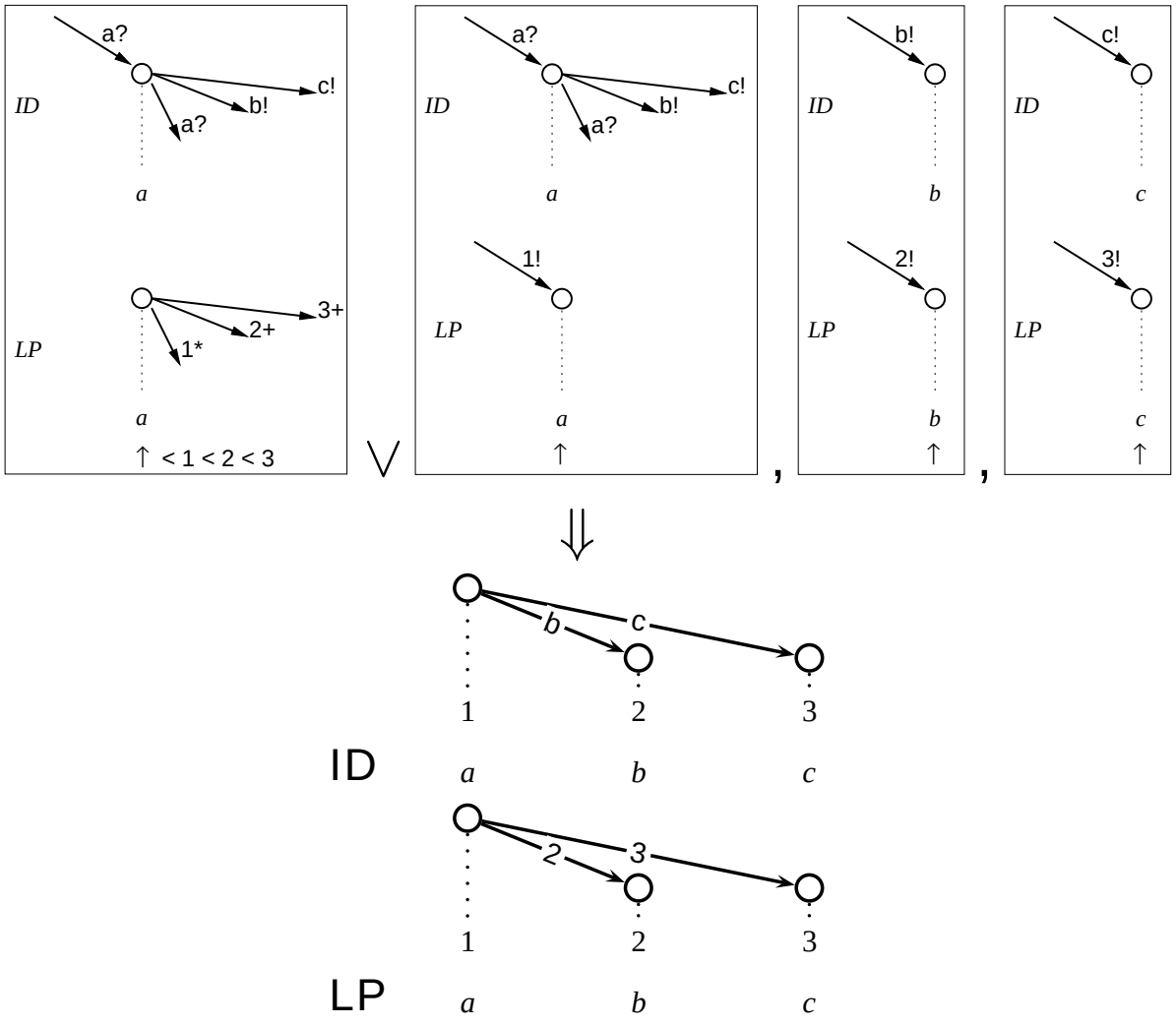
Intersection of Dimensions

- intersection of the two languages L_1 and L_2 yields the string language of n as followed by n bs followed by n cs:

$$L_1 \cap L_2 \quad = \quad \{w \in a^n b^n c^n \mid n \geq 1\}$$

- modeled by intersecting dimensions:
  1. ID dimension of grammar 1 ensures that there are equally many as, bs and cs
  2. LP dimension of grammar 2 orders the as before the bs before the cs

Example Analyses



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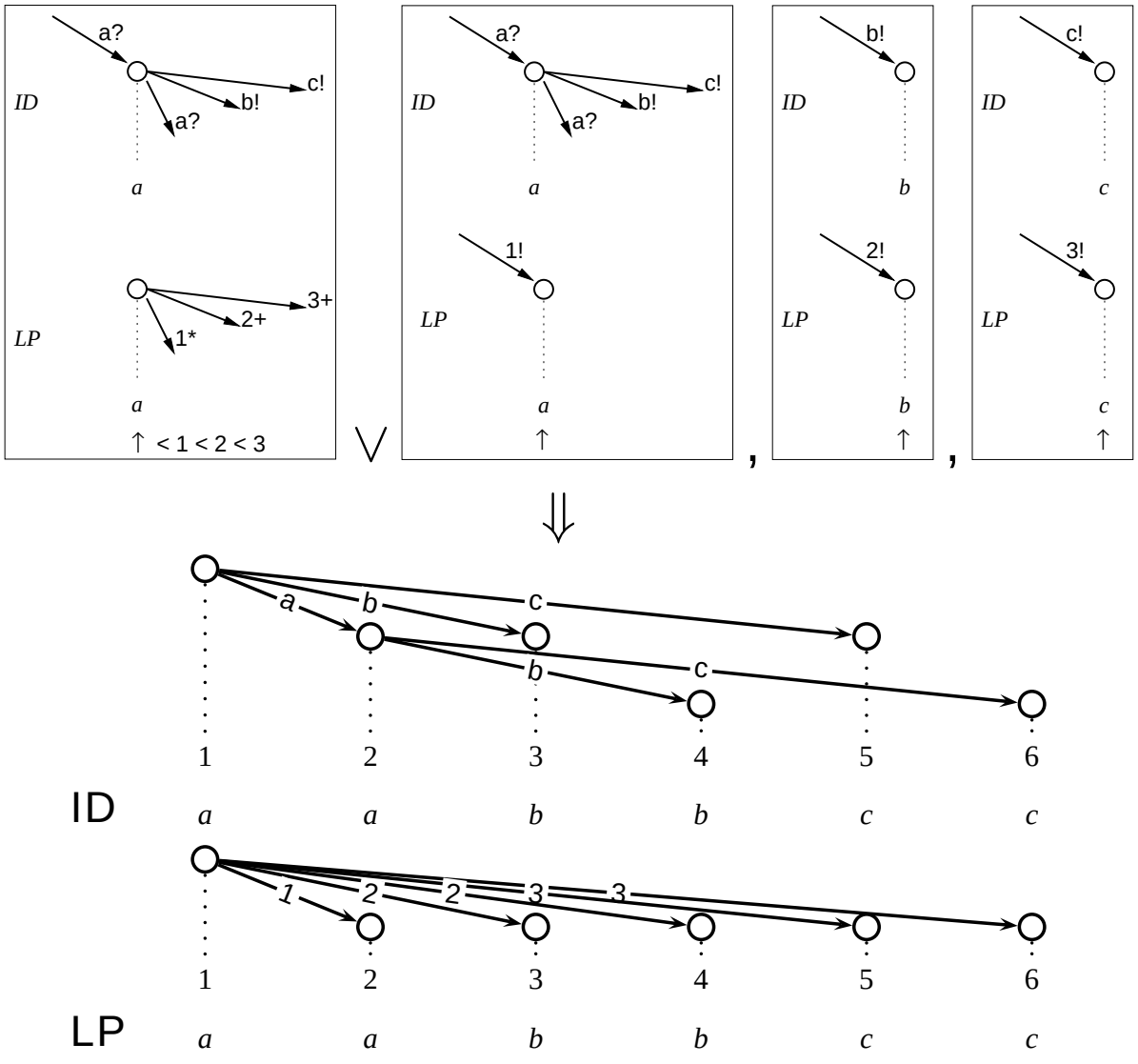


Scrambling

- German subordinate clauses: nouns followed by the verbs:

  (dass) ein Mann Cecilia die Nilpferde füttern sah
  (that) a man Cecilia the hippos feed saw
  “(that) a man saw Cecilia feed the hippos”

- all permutations of the nouns grammatical, i.e., also:

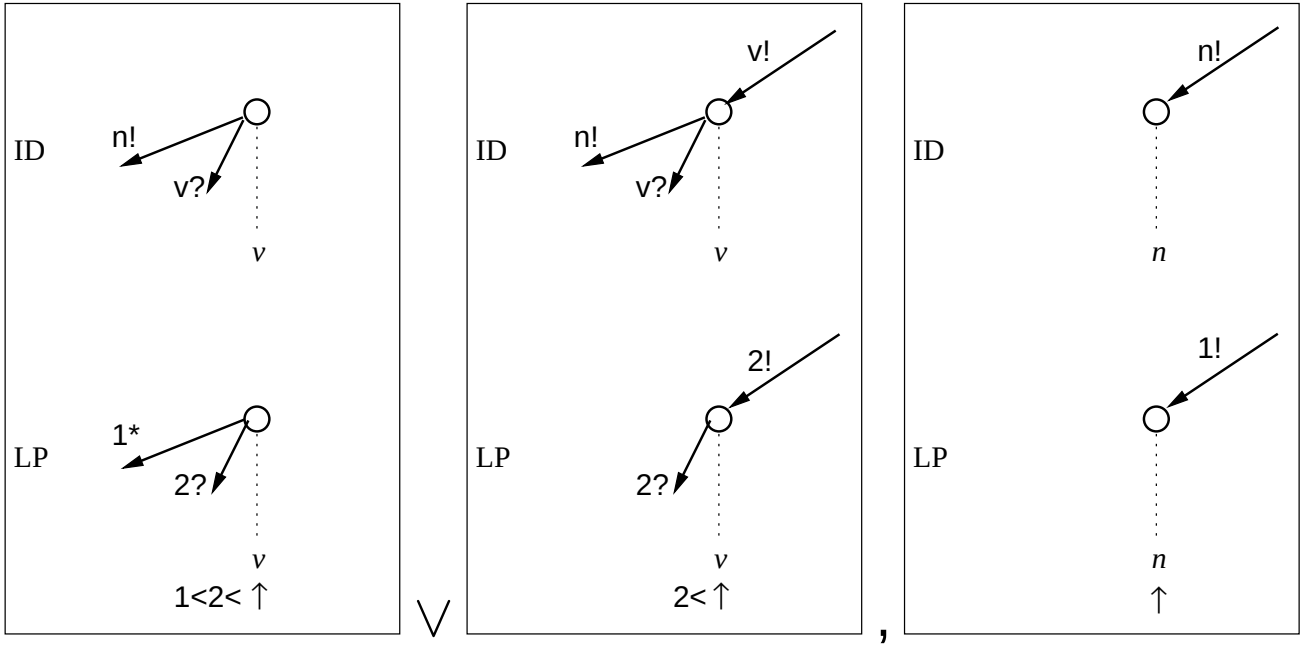
  (dass) ein Mann die Nilpferde Cecilia füttern sah
  (dass) die Nilpferde ein Mann Cecilia füttern sah
  (dass) die Nilpferde Cecilia ein Mann füttern sah
  (dass) Cecilia ein Mann die Nilpferde füttern sah
  (dass) Cecilia die Nilpferde ein Mann füttern sah

Idealization

- idealized language:

$$\mathrm{SCR} \quad = \quad \{\sigma(n^{[1]},\ldots,n^{[k]})v^{[k]}\ldots v^{[1]} \mid k \geq 1 \text{ and } \sigma \text{ a permutation}\}$$

- grammar: ID dimension pairs verbs and nouns, LP dimension orders nouns before verbs



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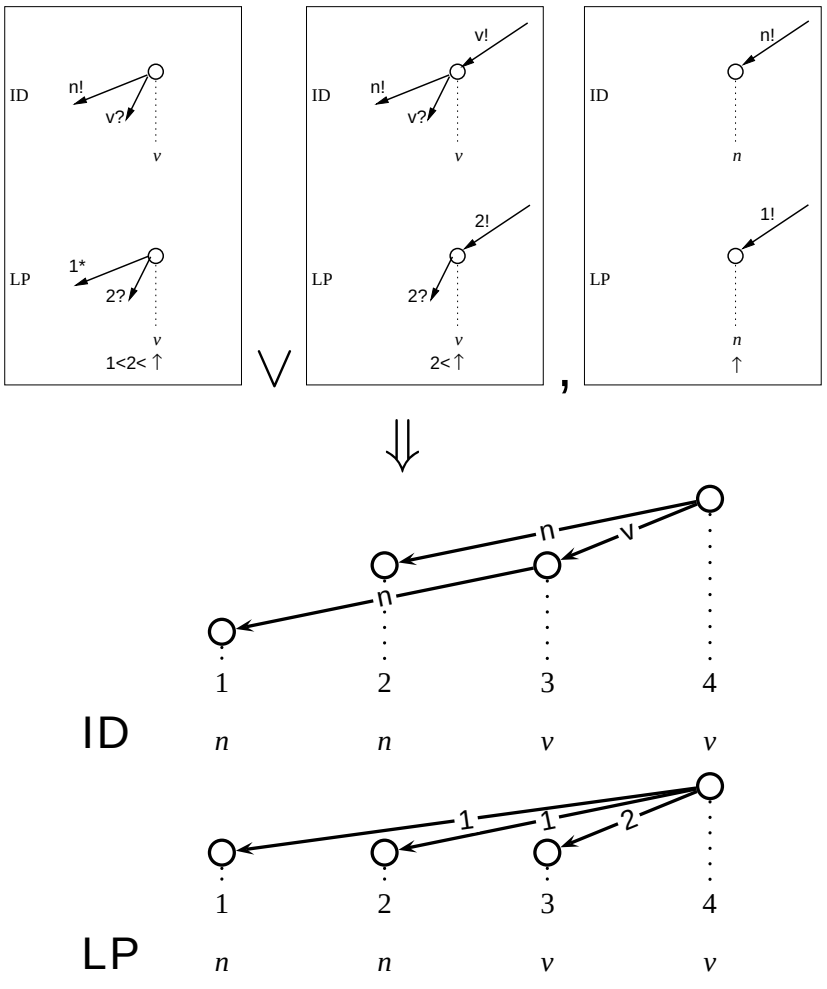

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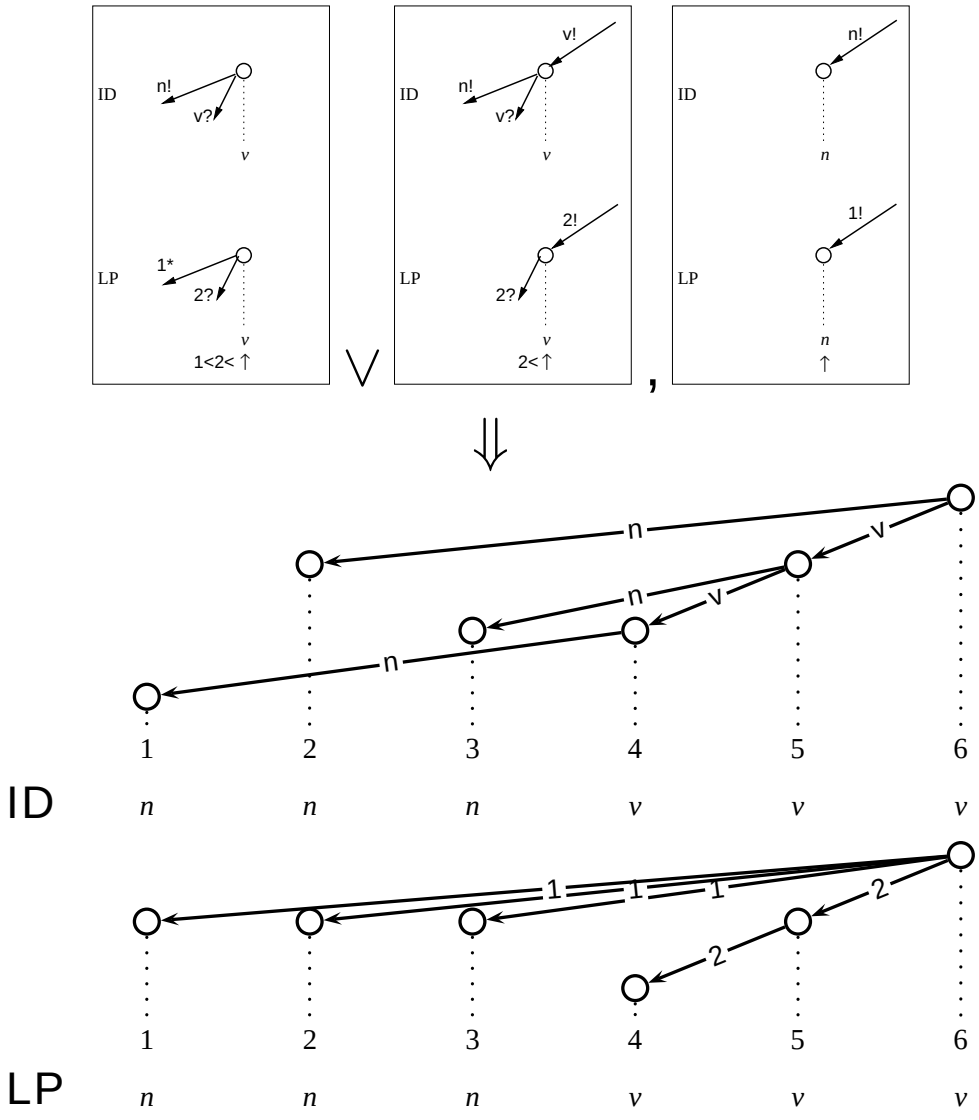


Expressivity

- can model lexicalized context-free grammar (constructive proof in thesis)
- can go far beyond context-free grammar:
  - $a^n b^n c^n$ already non-context free
  - can model TAG (Debusmann et al. 2004 TAG+7): mildly context-sensitive
  - cross-serial dependencies (thesis): also mildly context-sensitive
  - scrambling: beyond the mildly context-sensitive Linear Context-Free Rewriting Systems (LCFRS) (Becker et al. 1992)
- put to use in an elegant account of German word order phenomena in (Duchier/Debusmann 2001), (Debusmann 2001), (Bader et al. 2004)

Complexity

- recognition problem: NP-hard (reduction to SAT in thesis) (Debusmann/Smolka 2006)
- restrictions on principles:
  - first-order: upper bound in PSPACE
  - polynomially testable: upper bound in NP
- all principles written so far first-order
- all principles implemented as polynomially testable constraints in Mozart/Oz
- i.e., practical upper bound: in NP

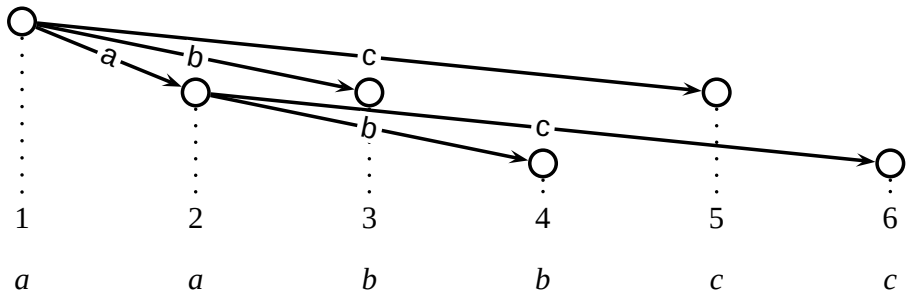
Overview

Implementation

- how to process an NP-hard problem?
- constraint programming (Schulte 2002), (Apt 2003): solving of constraint satisfaction problems (CSPs)
- CSPs stated in terms of:
  1. constraint variables, here on finite sets of integers
  2. constraints on them
- solutions of a CSP determined by two interleaving processes:
  1. propagation: application of deterministic inference rules
  2. distribution: non-deterministic choice
- XDG parsing regarded as a CSP in Mozart/Oz (Smolka 1995), based on techniques developed in (Duchier 1999, 2003)

Modeling Dependency Multigraphs

- dependency graphs: nodes identified with integers, each node associated with a set of finite set of integers variables, e.g.:



$$1 \quad \mapsto \quad \left\{ \begin{array}{rl} eq & = \{1\} \\ mothers & = \{\} \\ up & = \{\} \\ daughters & = \{2,3,5\} \\ down & = \{2,3,4,5,6\} \\ & \ldots \end{array} \right\}$$

- dependency multigraphs: variables duplicated for each dimension

Modeling Principles

- principles can now be transformed into constraints on finite sets of integers
- e.g. the tree principle:

```
for Node in Nodes do
   %% no cycles
   {FS.disjoint Node.eq Node.down}

   %% one root
   {FS.card Roots}=:1

   %% at most one incoming edge
   {FS.card Node.mothers}=<:1
end
```

Features

- concurrent: all dimensions processed in parallel
- reversible: can be used for parsing and generation (Koller/Striegnitz 2002), (Debusmann 2004)
- supports underspecification: e.g. of quantifier scope, PP attachment (Debusmann et al. 2004 COLING)
- efficient for handcrafted grammars
- first successful experiments in large-scale parsing with the XTAG grammar (> 100.000 lexical entries) after thesis submission

Grammar Development Kit

- extensive grammar development kit built around the constraint parser (35000 code lines): XDG Development Kit (XDK) (Debusmann et al. 2004 MOZ)
- example grammars (24000 additional lines):
  - German grammar developed in (Debusmann 2001)
  - Arabic grammar developed in (Odeh 2004)
  - toy grammars for Czech, Dutch and French
  - implementations of all example grammars in the thesis
- graphical user interface
- complete documentation (200+ pages)
- application:
  - successfully used for teaching (ESSLLI 2004, FoPra)
  - module in an engine for interactive fiction (Koller et al. 2004)

Overview

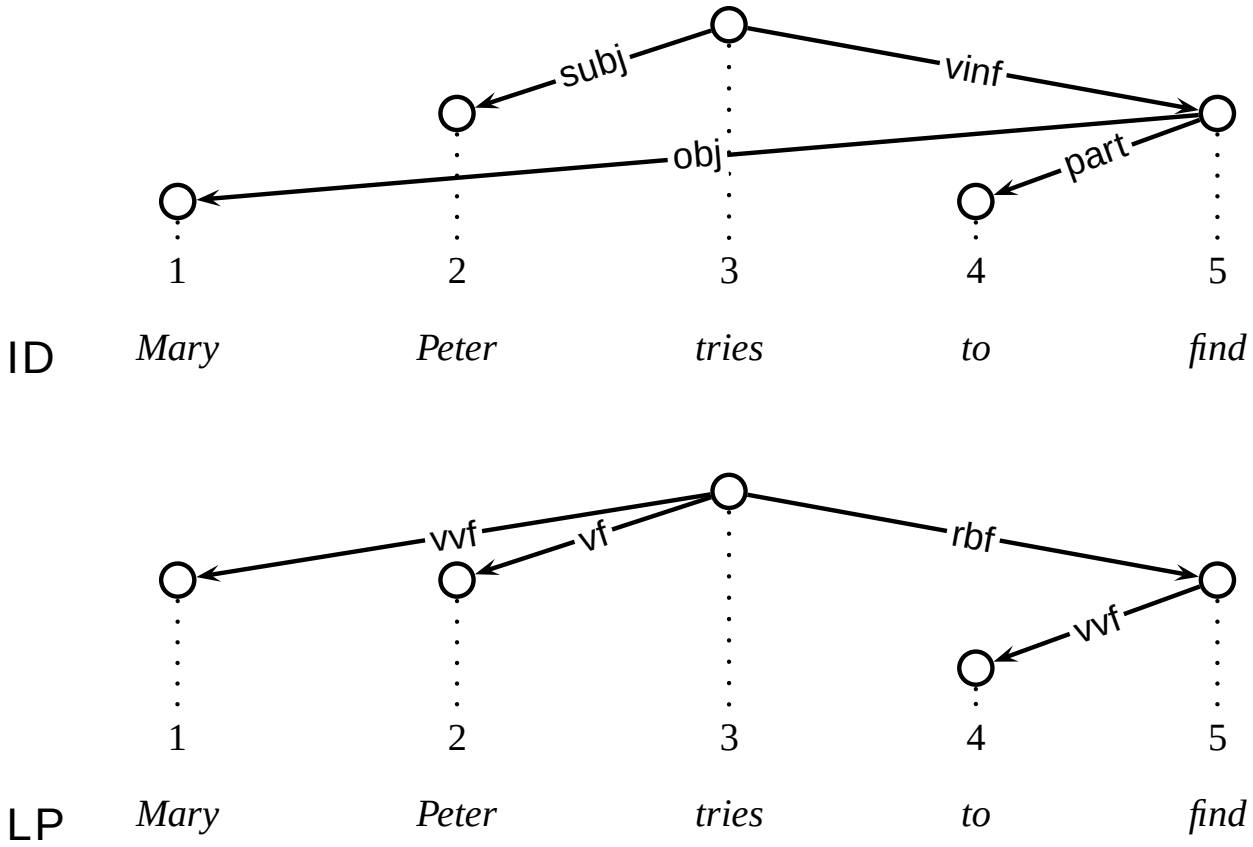
Application to Natural Language

- English example grammar developed in the thesis models fragments of:
  - syntax
  - semantics
  - phonology
  - information structure
- interfaces:
  - relational syntax-semantics interface (Korthals/Debusmann 2002), (Debusmann et al. 2004 COLING)
  - relational phonology-information structure interface (Debusmann et al. 2005 CICLING)

Syntax

- based on topological analysis of German (Duchier/Debusmann 2001)
- ID dimension: models grammatical functions
- LP dimension: models word order using topological fields
- intersection of ID/LP leads to the emergence of complex English word order phenomena:
  - topicalization
  - wh-questions
  - pied piping

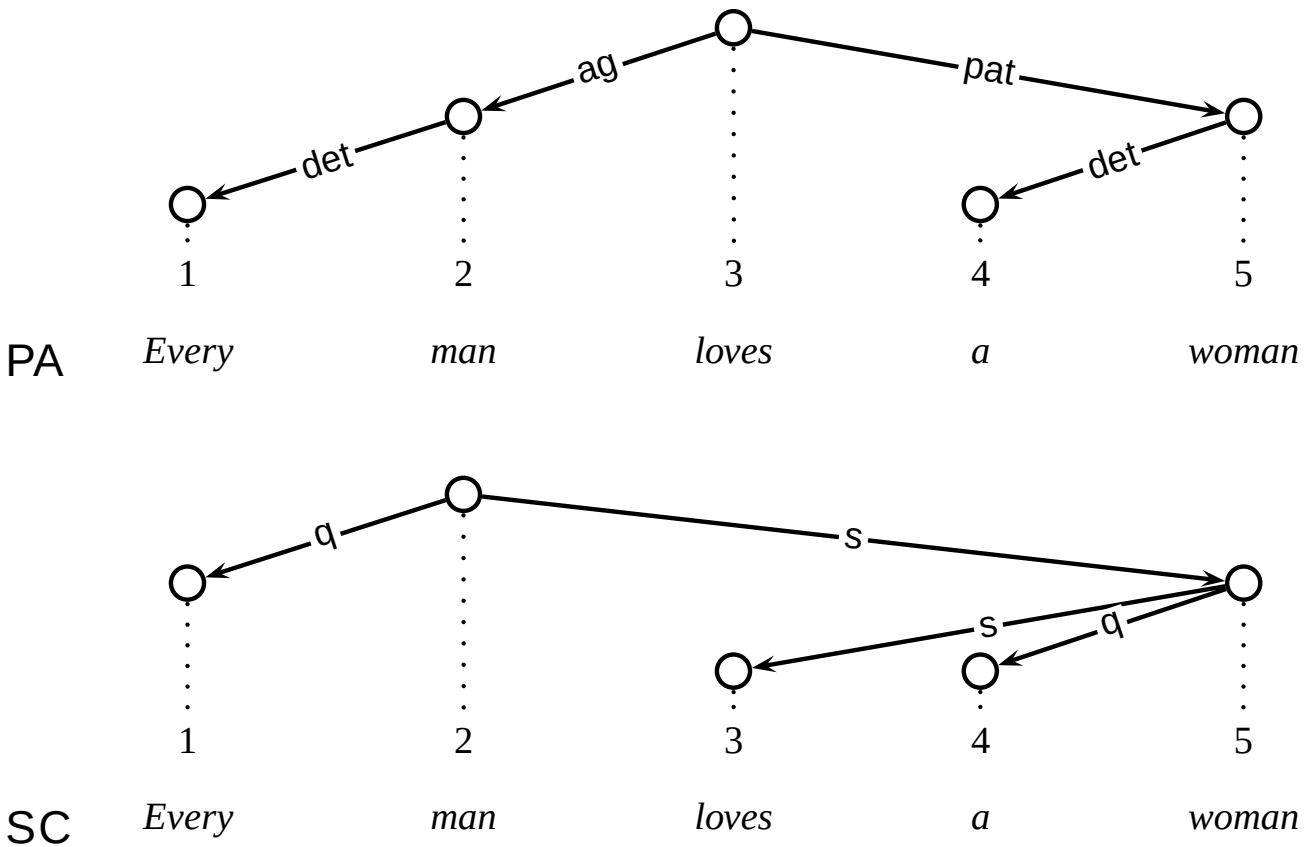
Topicalization



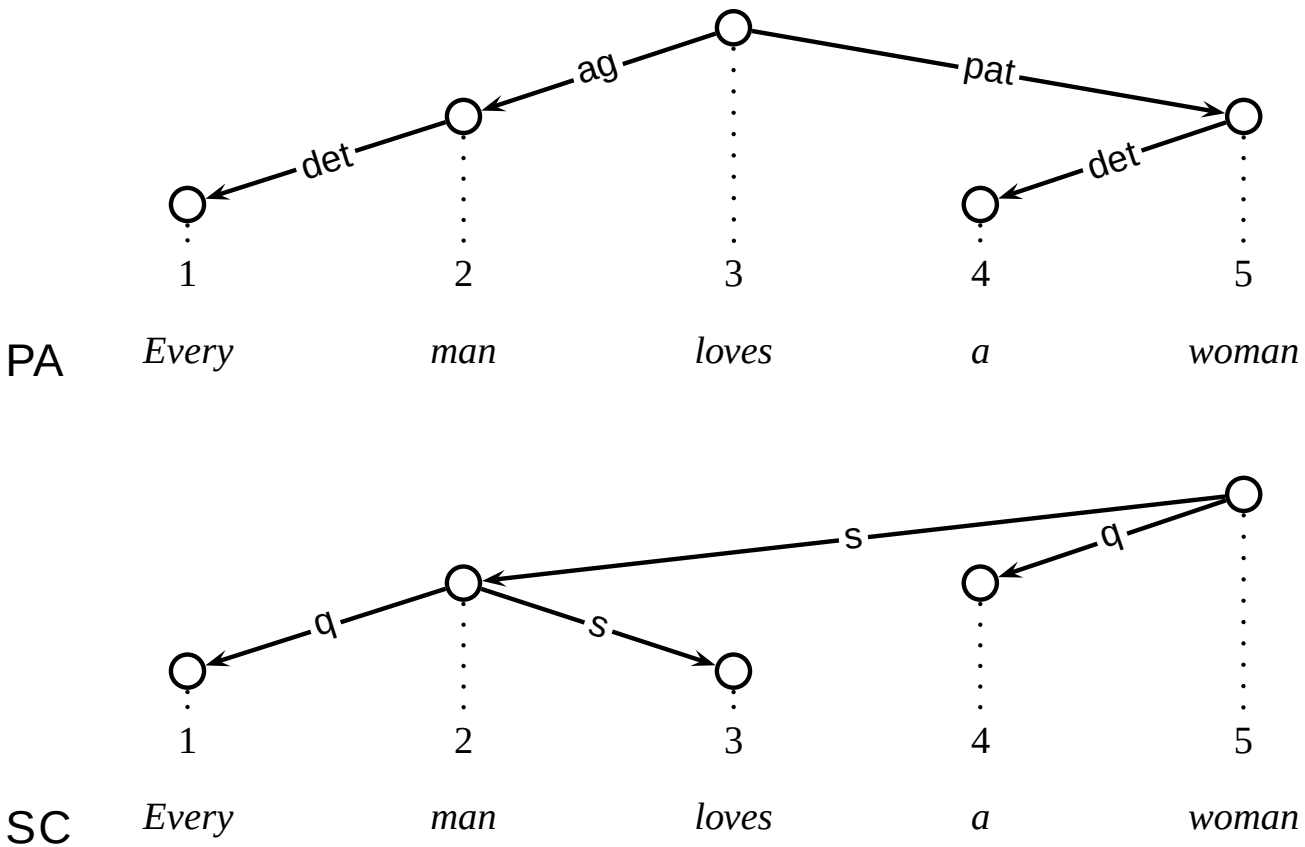
Semantics

- PA dimension: models predicate-argument structure
- SC dimension: models quantifier scope
- supports scope underspecification
- interface to the Constraint Language for Lambda Structures (CLLS) (Egg et al. 2001)

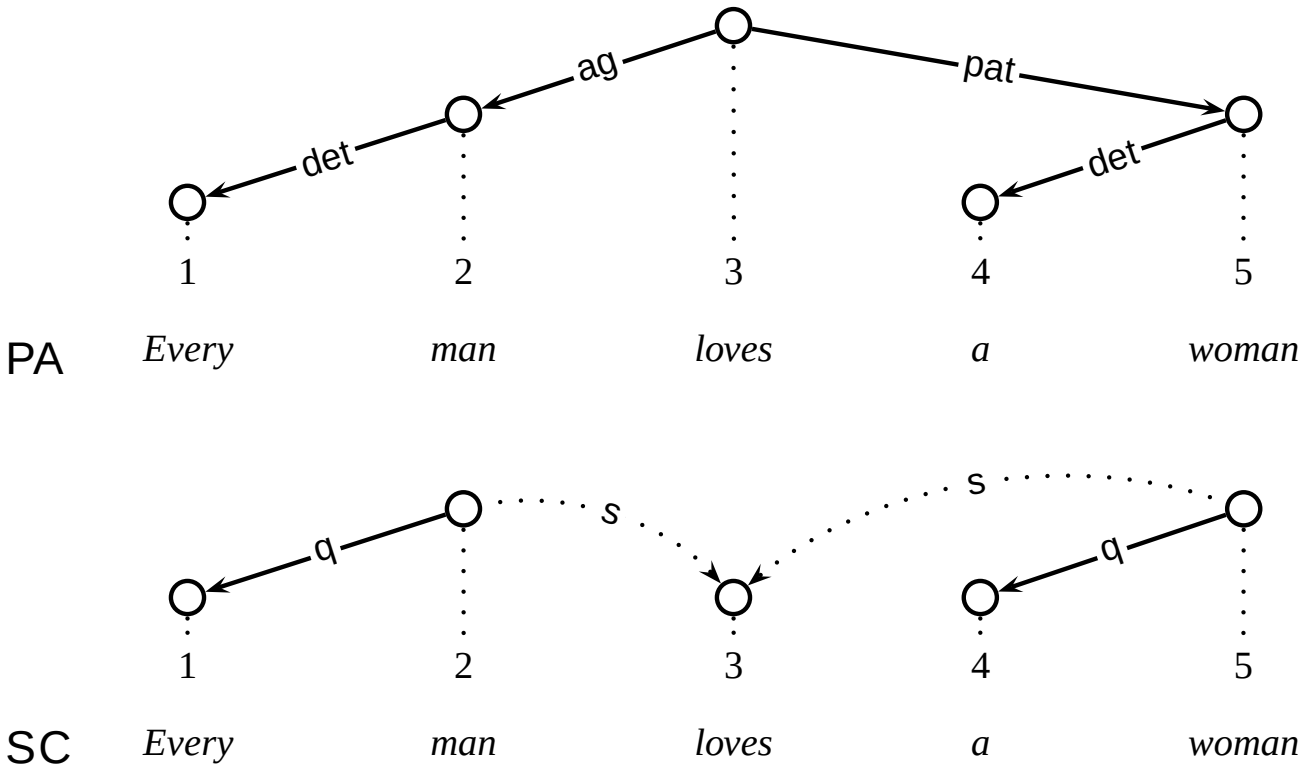
Example (Weak Reading)



Example (Strong Reading)



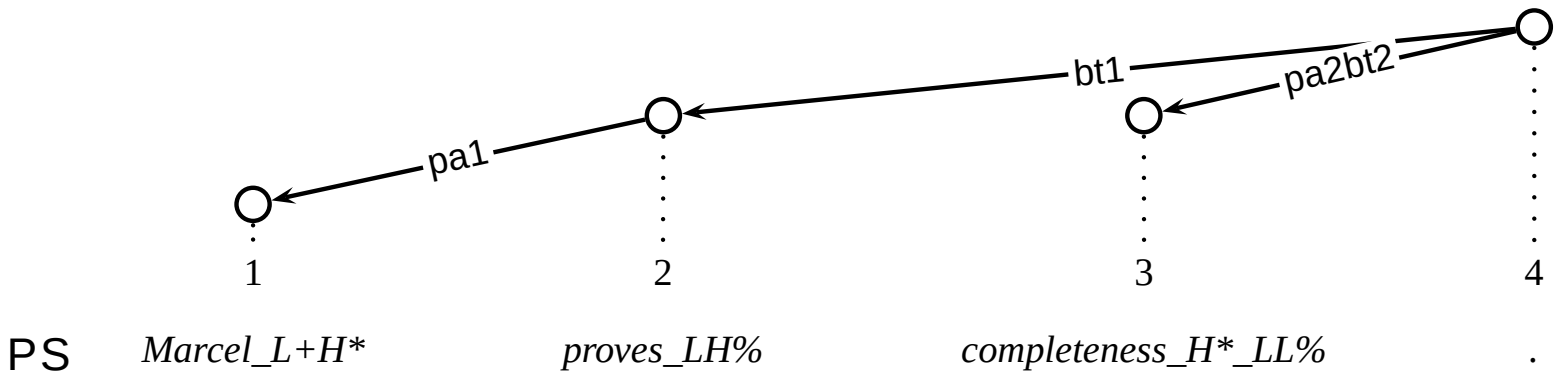
Example (Underspecification)



Phonology

- PS dimension: models prosody
- sentence divided into prosodic constituents marked by boundary tones
- prosodic constituents headed by pitch accents

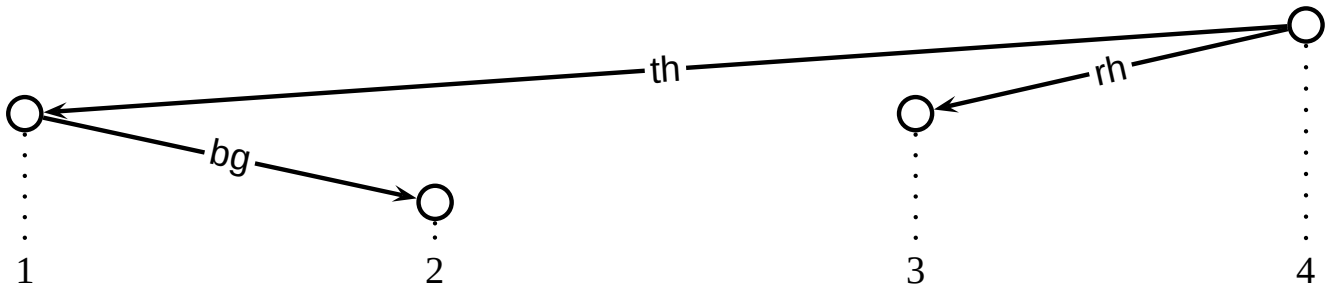
Example



Information Structure

- IS dimension
- sentence divided into information structural constituents using the theme/rheme dichotomy
- information structural constituents: divided into focus and background

Example

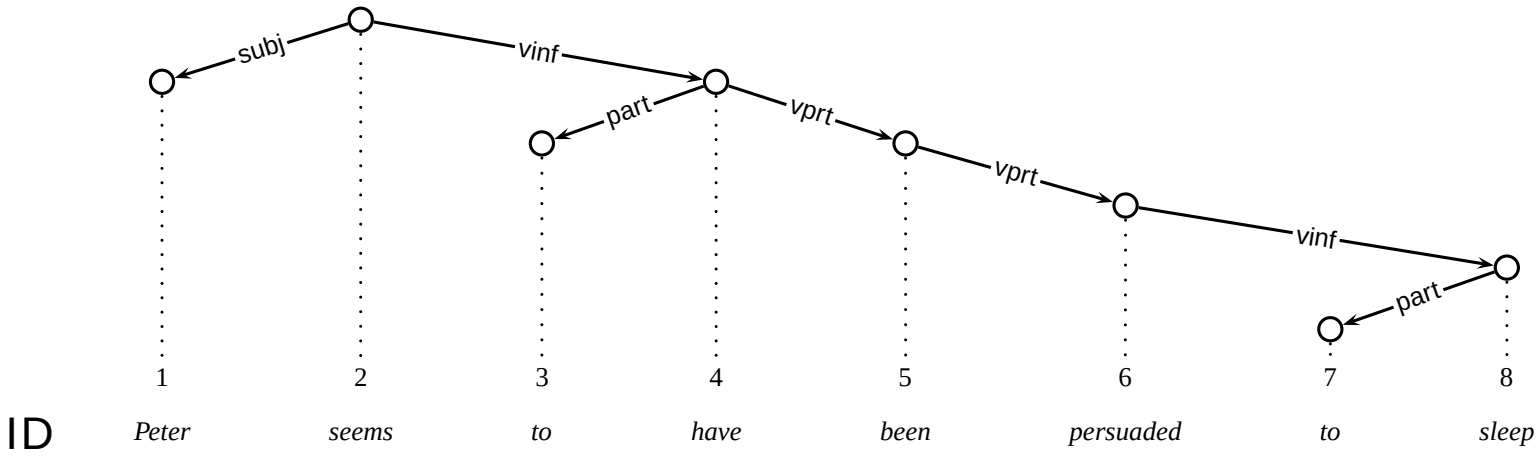


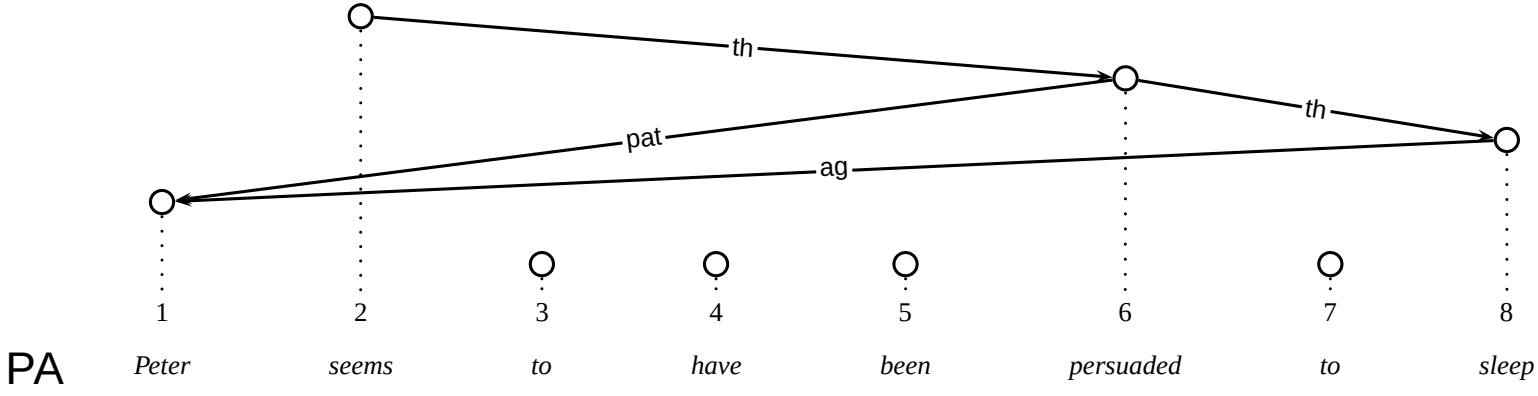
IS *Marcel_L+H** *proves_LH%* *completeness_H*_LL%* .

Syntax-Semantics Interface

- relational interface between ID and PA dimensions
- modular modeling: independent of word order (LP) and quantifier scope (SC)
- intersection of ID/PA leads to the emergence of:
  - control/raising
  - auxiliary constructions (e.g. passives)
- supports underspecification of PP-attachment

Control/Raising and Passive Example

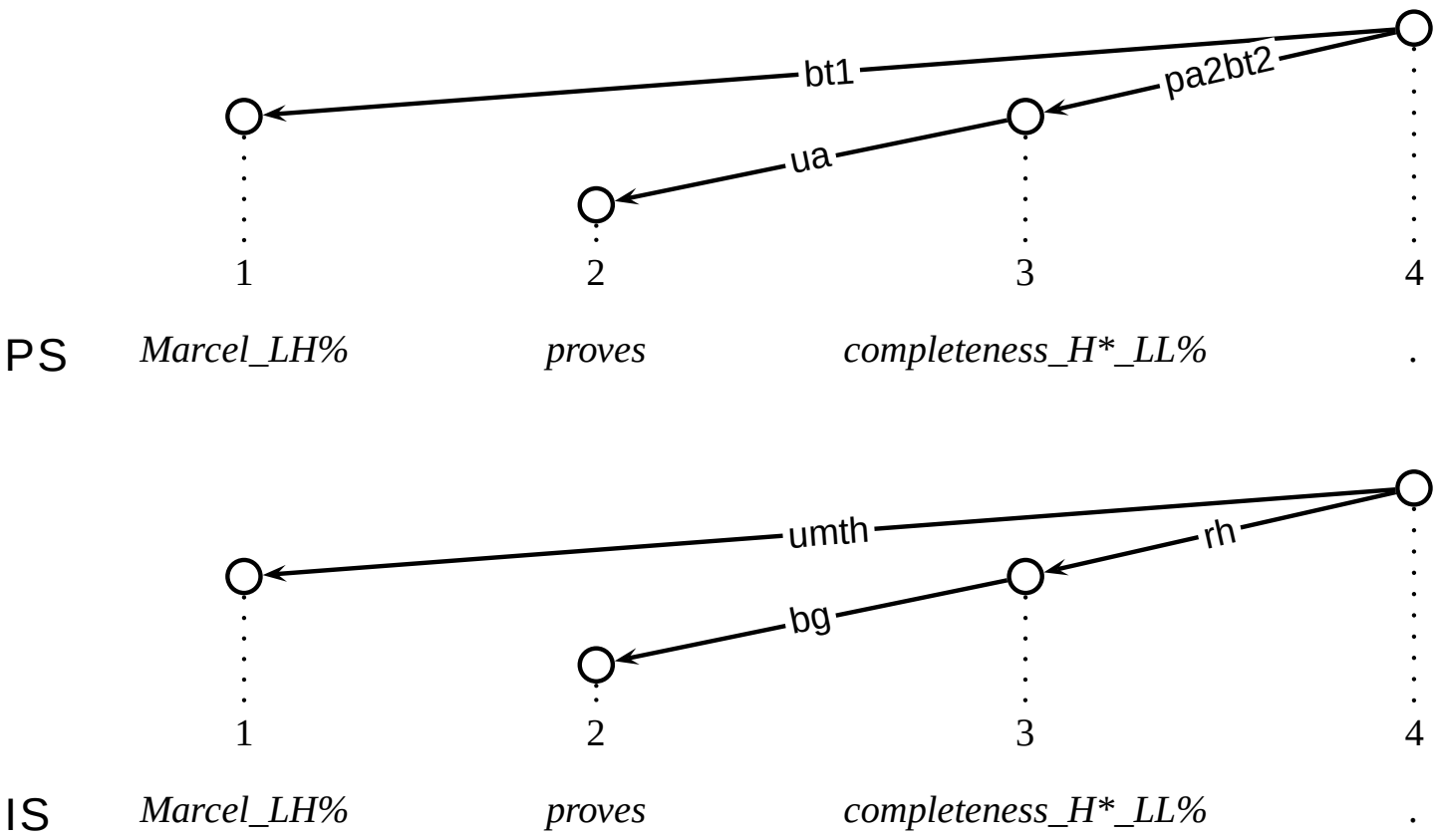




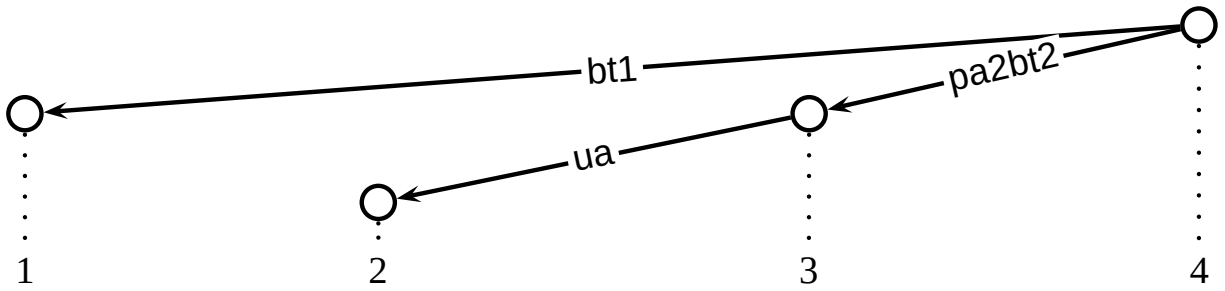
Phonology-Semantics Interface

- relational interface between PS and IS dimensions
- modular modeling: independent of any other linguistic aspect
- based on the prosodic account of information structure developed in (Steedman 2000)
- intersection of PS and IS dimensions leads e.g. to the emergence of the unmarked theme ambiguity phenomenon

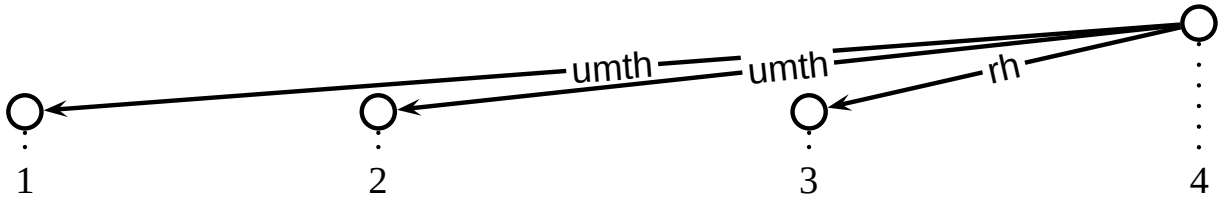
Unmarked Theme Example



Unmarked Theme Example



PS *Marcel_LH%* *proves* *completeness_H*_LL%* .



IS *Marcel_LH%* *proves* *completeness_H*_LL%* .

Overview

Summary

- with XDG, explored combination of dependency grammar, model theory and parallel architecture
- formalization:
  - higher order logic
  - expressivity: far beyond context-free grammar
  - practical complexity: NP-complete
- implementation:
  - parser based on constraint programming in Mozart/Oz
  - comprehensive grammar development kit (XDK)
- application:
  - example grammar modeling fragments of natural language syntax, semantics, phonology and information structure
- main results:
  1. new degree of modularity
  2. phenomena emerge by the intersection of individual dimensions, without further stipulation

Selected Publications I


Ralph Debusmann, Denys Duchier, Alexander Koller, Marco Kuhlmann, Gert Smolka, and Stefan Thater.
A relational syntax-semantics interface based on dependency grammar.
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In *Proceedings of the MOZ04 Conference*, volume 3389 of *Lecture Notes in Computer Science*, pages 190–201, Charleroi/BE, 2004. Springer.


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Selected Publications IV


Christian Korthals and Ralph Debusmann.
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In *Proceedings of the International Workshop on Constraint Solving and Language Processing*, Roskilde/DK, 2004.


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Diploma thesis.


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Master's thesis, Univesité Catholique de Louvain, 2006.


Jorge Pelizzoni and Maria das Gracas Volpe Nunes.
N:M mapping in XDG - the case for upgrading groups.
In *Proceedings of the International Workshop on Constraint Solving and Language Processing*, Sitges/ES, 2005.

Future Work

- formalization:
  - strengthen relation to other grammar formalisms
  - formalize XDG in a weaker logic than HOL, e.g. MSO
- implementation:
  - make use of new constraint technology, e.g. Gecode (Schulte/Tack 2005)
  - automatically generate principle propagators from EMSO-specifications (Tack et al. 2006)

Thank you!

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