

# AUTOSUBST: Automation for de Bruijn Substitutions

<https://www.ps.uni-saarland.de/autosubst>

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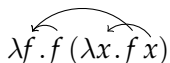
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# OVERVIEW

- ▶ Autosubst Overview
  - ▶ Full de Bruijn Substitutions.
  - ▶ Relevant Substitutions.
  - ▶ Simplifying Substitution Expressions.
- ▶ Proof Techniques.
- ▶ Implementation.

# SYNTAX WITH BINDING



The diagram shows the lambda expression  $\lambda f.f(\lambda x.f x)$ . Two curved arrows originate from the lambda symbol  $\lambda$  and point to the  $f$  and  $x$  in the body of the abstraction, indicating the binding of these variables.

$$\lambda f.f(\lambda x.f x)$$

- ▶ Represent & reason about syntax modulo  $\alpha$ -equivalence.
- ▶ Representations Techniques:
  - ▶ de Bruijn
  - ▶ named
  - ▶ locally nameless
  - ▶ nominal
  - ▶ higher-order
  - ▶ ...

# SYNTAX WITH BINDING

$\lambda 0 (\lambda 1 0)$

- ▶ Represent & reason about syntax modulo  $\alpha$ -equivalence.
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  - ▶ **de Bruijn**
  - ▶ named
  - ▶ locally nameless
  - ▶ nominal
  - ▶ higher-order
  - ▶ ...

# PARALLEL SUBSTITUTIONS [DE BRUIJN 1972]

- ▶ *Substitutions*  $\sigma, \tau : \text{var} \rightarrow \text{term}$
- ▶ *Renamings*  $\xi, \zeta : \text{var} \rightarrow \text{var}$
- ▶ Substitution application for  $\lambda$ -calculus ( $s, t ::= x \mid st \mid \lambda s$ )

$$\begin{array}{ll}
 x.[\sigma] = \sigma(x) & (\uparrow\sigma)(0) = 0 \\
 (st).[ \sigma ] = s.[ \sigma ] t.[ \sigma ] & (\uparrow\sigma)(x + 1) = \sigma(x).[ +1 ] \\
 (\lambda s).[ \sigma ] = \lambda s.[ \uparrow\sigma ] &
 \end{array}$$

- ▶ Not structurally recursive
- ▶ Renaming  $s.[\xi]$  can be defined by structural recursion.  
Use renamings to define  $\uparrow\sigma$ . [Adams 2006]

# RELEVANT SUBSTITUTIONS<sub>[ABADI CARDELLI CURIEN LÉVY 1991]</sub>

- ▶  $\text{ids}$  (“Identity Substitution”)

$$\text{ids}(x) = x$$

- ▶  $+1$  (“Lift”)

$$(+1)(x) = x + 1$$

- ▶  $\sigma \gg \tau$  (“Composition”,  $\sigma$  then  $\tau$ )

$$(\sigma \gg \tau)(x) = \sigma(x).[ \tau ]$$

- ▶  $s .: \sigma$  (“Cons”)

$$(s .: \sigma)(0) = s$$

$$(s .: \sigma)(x + 1) = \sigma(x)$$

# RELEVANT SUBSTITUTIONS [ABADI CARDELLI CURIEN LÉVY 1991]

$$(s \cdot \sigma)(0) = s$$

$$(s \cdot \sigma)(x + 1) = \sigma(x)$$

$$(\sigma \gg \tau)(x) = \sigma(x) \cdot [\tau]$$

$$\mathbf{ids}(x) = x$$

$$(+1)(x) = x + 1$$

- Note that

$$\uparrow\sigma = 0 \cdot (\sigma \gg +1)$$

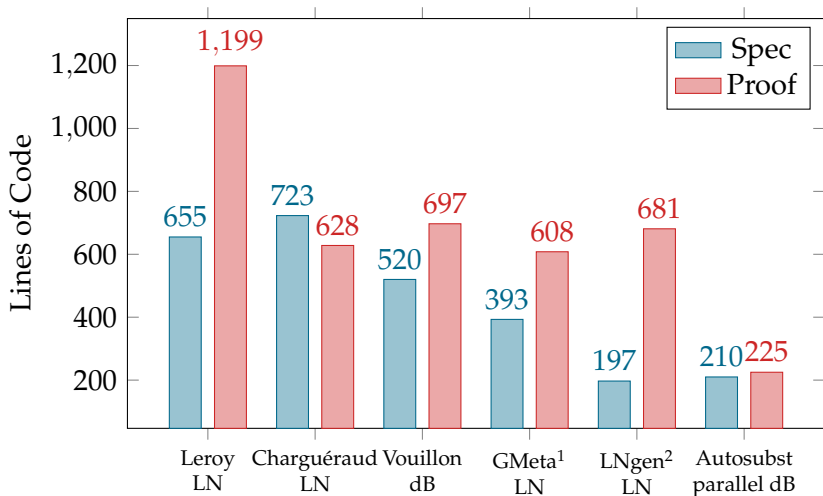
$(\lambda s) t$  reduces to  $s[t \cdot \mathbf{ids}]$

- This yields a model of the  $\sigma$ -calculus by Abadi et. al.
- In Coq: normalize substitution expressions using `asimpl`, solve equations using `autosubst`.

# DEMO: SUBSTITUTIVITY



# POPLMARK<sub>[AYDEMIR ET AL. 2005]</sub> COMPARISON (1A + 2A)



<sup>1</sup>[Lee Oliveira Cho Yi 2012]

<sup>2</sup>[Aydemir Weirich 2010]

# CASE STUDIES

Lines of Code, per `coqwc`

	Spec	Proof
POPLmark <sup>3</sup> : $F_{<}$ : Preservation & Progress	210	225
$F_{<}$ : Preservation & Progress	185	146
Normalization for CBV System F	99	54
Strong Normalization for System F	153	96
Type Preservation for (predicative) $CC_{\omega}$	214	229

<sup>3</sup>Aydemir et. al. 2005, mostly following the paper proofs

# DEMO: STRONG NORMALIZATION OF SYSTEM F

## EXAMPLE: TYPE PRESERVATION

- ▶ In order to show type preservation...


$$\frac{\Gamma \vdash s : A \quad s \triangleright t}{\Gamma \vdash t : A}$$

- ▶ ...we need a substitution lemma.


$$\frac{\Gamma, A \vdash s : B \quad \Gamma \vdash t : A}{\Gamma \vdash s.[t \text{.ids}] : B}$$

# SUBSTITUTION LEMMAS

$$\frac{\Gamma \vdash s : A \quad \sigma : \Delta \rightarrow \Gamma}{\Delta \vdash s.[\sigma] : A}$$


 maximal generalization

$$\frac{\Gamma, A, \Delta \vdash s : B \quad \Gamma \vdash t : A}{\Gamma, \Delta \vdash s.[[\Delta] \mapsto t] : B}$$


 minimal generalization

$$\frac{\Gamma, A \vdash s : B \quad \Gamma \vdash t : A}{\Gamma \vdash s.[t \text{.ids}] : B}$$

$$\sigma : \Delta \rightarrow \Gamma := \forall x, \Delta \vdash \sigma(x) : \Gamma(x)$$

$$x \mapsto t := \uparrow^x(t \text{.ids})$$

# USING THE GENERALIZED SUBSTITUTION LEMMA

$$\frac{\Gamma \vdash s : A \quad \sigma : \Delta \rightarrow \Gamma}{\Delta \vdash s.[\sigma] : A} \quad \sigma : \Delta \rightarrow \Gamma := \forall x, \Delta \vdash \sigma(x) : \Gamma(x)$$

- ▶ Subsumes weakening, substitution, contraction, exchange...

$$\begin{aligned} \text{id} & : \Gamma, A \rightarrow \Gamma \\ (s \text{.ids}) & : \Gamma \rightarrow \Gamma, A \quad \text{if } \Gamma \vdash s : A \end{aligned}$$

- ▶ Subsumes narrowing in  $F_{<}$ :
- ▶ Subsumes context conversion in Type Theory

# COQ IMPLEMENTATION

- ▶ Generated substitution application must fulfill

$$\mathbf{ids}(x).[σ] = σ(x)$$

$$s.[\mathbf{ids}] = s$$

$$s.[σ].[τ] = s.[σ \gg τ]$$

- ▶ Equations between functions  $\Rightarrow$  assume functional extensionality.
- ▶ Synthesize proofs using Ltac.
- ▶ Synthesize substitution application using Ltac.

# LTAC METAPROGRAMMING

- ▶ Use `fix/destruct` to generate recursive definitions.
- ▶ Annotate goal to remember the shape of terms.

**Definition** `annot s a := s`.

$$\frac{a : \mathbf{bool}}{\mathbf{nat}} \xrightarrow{\text{change}} \frac{a : \mathbf{bool}}{\mathbf{annot\ nat\ } a} \xrightarrow{\text{destruct}} \frac{}{\mathbf{annot\ nat\ true}}$$



## RELATED COQ DEVELOPMENTS

`LNgen`<sup>[Aydemir10]</sup> : External tool, LN

`GMeta`<sup>[Lee12]</sup> : Generic term type, LN + dB

`DBgen`<sup>[Polonowski13]</sup> : External tool, dB

`DBlib`<sup>[Pottier13]</sup> : Library, dB

`CFGV`<sup>[Abhishek14]</sup> : Generic term type, named

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Questions?

## THE RULES OF THE $\sigma$ -CALCULUS

- ▶ The defining equations shown before.

$$(st).[σ] = s.[σ] t.[σ] \quad (\lambda s).[σ] = \lambda s.[0 \text{ : } \sigma \gg +1] \quad 0.[s \text{ : } \sigma] = s$$

- ▶ Monoid action laws for composition and substitution application

$$\begin{aligned} s.[\text{ids}] &= s & \text{ids} \gg \sigma &= \sigma & (\sigma \gg \tau) \gg \theta &= \sigma \gg \tau \gg \theta \\ s.[\sigma].[\tau] &= s.[\sigma \gg \tau] & \sigma \gg \text{ids} &= \sigma & \end{aligned}$$

- ▶ Interaction between lift and cons

$$+1 \gg s \text{ : } \sigma = \sigma \quad 0.[\sigma] \text{ : } +1 \gg \sigma = \sigma \quad (s \text{ : } \sigma) \gg \tau = s.[\tau] \text{ : } \sigma \gg \tau$$

- ▶ This is a convergent rewriting system and complete for the untyped  $\lambda$ -calculus

# PROVING THE GENERALIZED SUBSTITUTION LEMMA

$$\frac{\Gamma \vdash s : A \quad \sigma : \Delta \rightarrow \Gamma}{\Delta \vdash s.[\sigma] : A}$$

$$\sigma : \Delta \rightarrow \Gamma := \\ \forall x, \Delta \vdash \sigma(x) : \Gamma(x)$$

- ▶ We need

$$\frac{\sigma : \Delta \rightarrow \Gamma}{\uparrow\sigma : \Delta, A \rightarrow \Gamma, A}$$

- ▶ Specialize to renaming

$$\xi : \Delta \rightarrow \Gamma :=$$

$$\forall x \in \text{Dom}(\Gamma), \xi_x \in \text{Dom}(\Delta) \wedge \Delta(\xi_x) = \Gamma(x).[\xi]$$

# HETEROGENOUS SUBSTITUTIONS (1)

- ▶ Consider many-sorted syntax, e.g., System F

$$A, B ::= X \mid A \rightarrow B \mid \forall A$$

$$s, t ::= x \mid st \mid \lambda A s \mid s A \mid \Lambda s$$

- ▶ Substitution application

$$(s A).[σ] = s.[σ] A$$

$$(\Lambda s).[σ] = \Lambda s.[σ \gg +1]$$

## HETEROGENOUS SUBSTITUTIONS (2)

- ▶ Heterogenous composition  $(\sigma \gg \tau)_x = \sigma_x. \llbracket \tau \rrbracket$
- ▶ Substitute types in terms.

$$\begin{array}{ll} x. \llbracket \theta \rrbracket = x & (\lambda A s). \llbracket \theta \rrbracket = \lambda A. \llbracket \theta \rrbracket s. \llbracket \theta \rrbracket \\ (s t). \llbracket \theta \rrbracket = s. \llbracket \theta \rrbracket t. \llbracket \theta \rrbracket & (\Lambda s). \llbracket \theta \rrbracket = \Lambda s. \llbracket \uparrow \theta \rrbracket \\ (s A). \llbracket \theta \rrbracket = s. \llbracket \theta \rrbracket A. \llbracket \theta \rrbracket & \end{array}$$

- ▶ Structurally recursive.
- ▶ (Some) laws for heterogenous substitutions

$$\begin{array}{l} \xi \gg \theta = \xi \\ s. \llbracket \sigma \rrbracket. \llbracket \theta \rrbracket = s. \llbracket \theta \rrbracket. \llbracket \sigma \gg \theta \rrbracket \end{array}$$