

**Seminar “Formal Grammars”**

**Boolean Matrix Multiplication (BMM)  
and  
CFG parsing**

**by**

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# Overview

1. Motivation
2. Reduction of CFG parsing to BMM (Valiant - 1975)
3. Reverse direction: Conversion of a CFG parser into an algorithm for BMM (Lee - 2002)
4. Conclusion

# Motivation

CFG parsing

CFG parsing in  $O(n^3)$   
CKY, Earley

Valiant  
 $O(M(n))$

Little success in finding  
a better algorithm  
 $O(n^3/\log^2 n)$

Lee  
Fast CFG parsing  
requires fast BMM

1969  
Strassen  
 $O(n^{2.81})$

1975

Improvement  
 $O(m^{2.376})$   
but still Strassen's algorithm  
is practically the best

2002

BMM

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# Motivation

- General context-free recognition in less than cubic time (Valiant - 1975)
  - CKY, Earley:  $O(n^3)$ 
    - CFG parsing in less than cubic time
    - Reduction of CFG parsing to BMM
    - Today: asymptotically fastest known algorithm

# Motivation

- Fast CFG parsing requires fast BMM (Lee - 2002)
  - Little success in developing practical fast CFG parsers
  - Practically fastest BMM algorithm: Strassen -  $O(n^{2.81})$ 
    - No practical fast BMM algorithm
    - Proof: CFG parsing relies on BMM
    - Conversion of a CFG parser ( $O(gn^{3-\epsilon})$ ) into an algorithm for BMM ( $O(m^{3-\epsilon/3})$ )

# Reduction of CFG parsing to BMM

- General idea for an MM algorithm to parse CFG
  - Build upper triangular matrix
  - Define new matrix multiplication
  - Matrix has the form of CKY recognition matrix
  - $A_k \in b_{ij} \Leftrightarrow A_k \rightarrow^* w_i^{j-1}$

$$\begin{pmatrix}
 \emptyset & \{A\} & \emptyset & \emptyset & \emptyset \\
 \emptyset & \emptyset & \{A\} & \emptyset & \emptyset \\
 \emptyset & \emptyset & \emptyset & \{B\} & \emptyset \\
 \emptyset & \emptyset & \emptyset & \emptyset & \{B\} \\
 \emptyset & \emptyset & \emptyset & \emptyset & \emptyset
 \end{pmatrix}
 \Rightarrow^*
 \begin{pmatrix}
 \emptyset & \{A\} & \{X\} & \emptyset & \{S\} \\
 \emptyset & \emptyset & \{A\} & \emptyset & \emptyset \\
 \emptyset & \emptyset & \emptyset & \{B\} & \{Y\} \\
 \emptyset & \emptyset & \emptyset & \emptyset & \{B\} \\
 \emptyset & \emptyset & \emptyset & \emptyset & \emptyset
 \end{pmatrix}$$

# Preliminaries

- CFG  $(N, \Sigma, P, A_1)$  in Chomsky normal form:
  - $N$ : set of nonterminals  $\{A_1, \dots, A_h\}$
  - $\Sigma$ : set of terminals
  - $P$ : set of productions
  - $A_1$ : the starting symbol
- $A_i \rightarrow^* w$  denotes:  $w$  can be derived from  $A_i$



# Preliminaries

**Definition:** \*-operator on subsets of N

$$N_1 * N_2 = \{A_i \mid \exists A_j \in N_1, A_k \in N_2. (A_i \rightarrow A_j A_k) \in P\}$$

**Example:**

$$X \rightarrow YZ \quad \{A, Y\} * \{Z\} = \{X\}$$

**Definition:** Matrix Multiplication over matrices with subsets of N as elements.

$$a * b = c :\Leftrightarrow c_{ik} = \bigcup_{j=1}^n a_{ij} * b_{jk}$$

# Preliminaries

**Definition:** Transitive Closure of a square matrix

$$a^+ = a^{(1)} \cup a^{(2)} \cup \dots$$

where

$$a^{(i)} = \bigcup_{j=1}^{i-1} a^{(j)} * a^{(i-j)} \quad \text{and} \quad a^{(1)} = a$$

**Definition:** Union of two matrices

$$a \cup b = c \Leftrightarrow c_{ij} = a_{ij} \cup b_{ij}$$

# Reduction of CFG parsing to BMM

- Input: CFG  $G = (N, \Sigma, P, A_1)$  and  $w = x_1 \dots x_n$
- Output:  $A_1 \rightarrow^* w$  ?
- Algorithm: Let  $b$  be a  $(n + 1) \times (n + 1)$ -matrix
  1.  $b_{i,j} = \emptyset \quad \forall 1 \leq i, j \leq (n + 1)$
  2.  $b_{i,i+1} = \{A_k \mid (A_k \rightarrow x_i) \in P\}$
  3. Compute  $a = b^+$
  4. Check whether  $A_1 \in a_{1,n+1}$

# Reduction of CFG parsing to BMM - Example

$$S \rightarrow XY$$

$$X \rightarrow XA \mid AA$$

$$Y \rightarrow YB \mid BB$$

$$A \rightarrow a$$

$$B \rightarrow b$$

$$w = aabb$$

$$a := \begin{pmatrix} \emptyset & \{A\} & \emptyset & \emptyset & \emptyset \\ \emptyset & \emptyset & \{A\} & \emptyset & \emptyset \\ \emptyset & \emptyset & \emptyset & \{B\} & \emptyset \\ \emptyset & \emptyset & \emptyset & \emptyset & \{B\} \\ \emptyset & \emptyset & \emptyset & \emptyset & \emptyset \end{pmatrix}$$

$$b_{i,i+1} = \{A_k \mid (A_k \rightarrow x_i) \in P\}$$

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$$a := \underbrace{a^{(1)}}_{=a} \cup \underbrace{a^{(2)}}_{=a^{(1)} * a^{(1)}}$$

# Reduction of CFG parsing to BMM - Example

$$S \rightarrow XY$$

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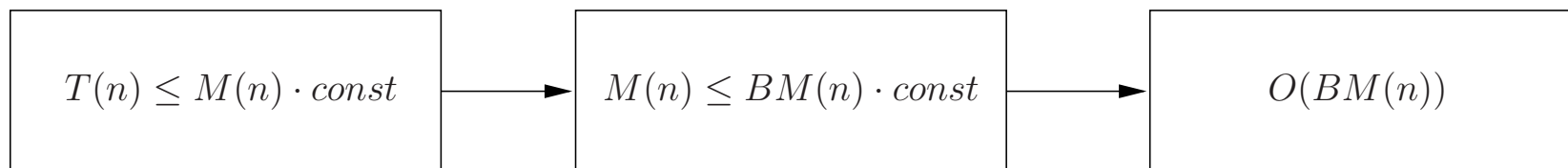
$$w = aabb$$

$$a := \begin{pmatrix} \emptyset & \{A\} & \{X\} & \emptyset & \{S\} \\ \emptyset & \emptyset & \{A\} & \emptyset & \emptyset \\ \emptyset & \emptyset & \emptyset & \{B\} & \{Y\} \\ \emptyset & \emptyset & \emptyset & \emptyset & \{B\} \\ \emptyset & \emptyset & \emptyset & \emptyset & \emptyset \end{pmatrix}$$

$$a := a^{(1)} \cup a^{(2)} \cup \underbrace{a^{(3)}}_{=a^{(1)} * a^{(2)} \cup a^{(2)} * a^{(1)}}$$

## Time Bounds

- Time complexity:  $O(n^{2.81})$
- $T(n)$  := time to compute transitive closure
- $BM(n)$  := complexity for BMM algorithm
- $M(n)$  := complexity for multiplying two matrices



Time complexity for CFG parsing:  $O(n^{2.81})$

# An Algorithm for BMM

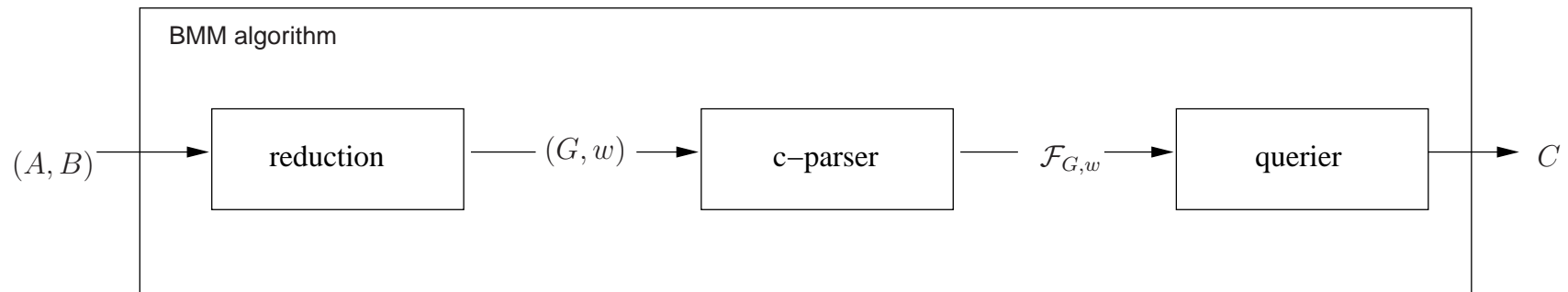
## Fundamental Theorem:

Fast context-free grammar parsing requires fast boolean matrix multiplication.

→ Any CFG-parser running in  $O(gn^{3-\epsilon})$  can be converted with little computational overhead into an  $O(m^{3-\epsilon/3})$  BMM algorithm



# An Algorithm for BMM



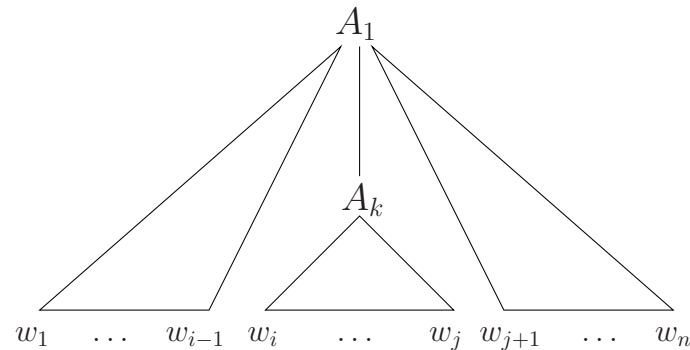
Conversion of a CFG parser into an algorithm for BMM

# Preliminaries

## Definition: c-derivation

$A_k \in N$  c-derives  $w_i^j$  iff

- $A_k \rightarrow^* w_i^j$ , and
- $A_1 \rightarrow^* w_1^{i-1} A_k w_{j+1}^n$



## Definition: c-parser

A c-parser is an algorithm that takes a CFG  $G$  and a string  $w$  and outputs  $\mathcal{F}_{G,w}$  with:

- $A_k$  c-derives  $w_i^j \Rightarrow \mathcal{F}_{G,w} = \text{"yes"}$
- $A_k \not\rightarrow^* w_i^j \Rightarrow \mathcal{F}_{G,w} = \text{"no"}$
- $\mathcal{F}_{G,w}$  answers queries in constant time.

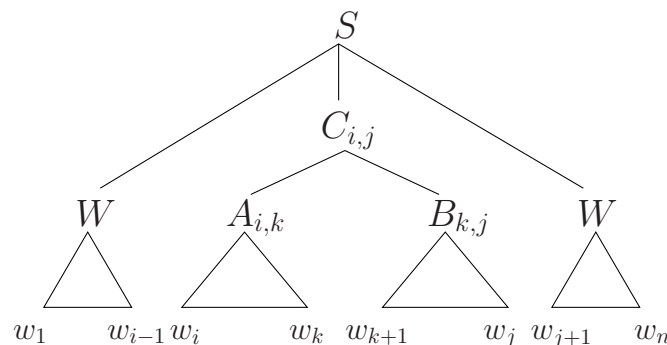
## Reduction: $(A, B) \rightarrow (G, w)$

- $A, B$  are  $m \times m$  boolean matrices
- All information about  $A$  and  $B$  is coded in the grammar  
→ string  $w$  does not depend on  $A$  and  $B$ .
- Recall:  $C = A * B$  is defined as  $c_{ij} = \bigvee_{k=1}^m (a_{ik} \wedge b_{kj})$   
→  $c_{ij} = 1 \leftrightarrow \exists k. a_{ik} = b_{kj} = 1$

# Reduction: $(A, B) \rightarrow (G, w)$

- General Idea:

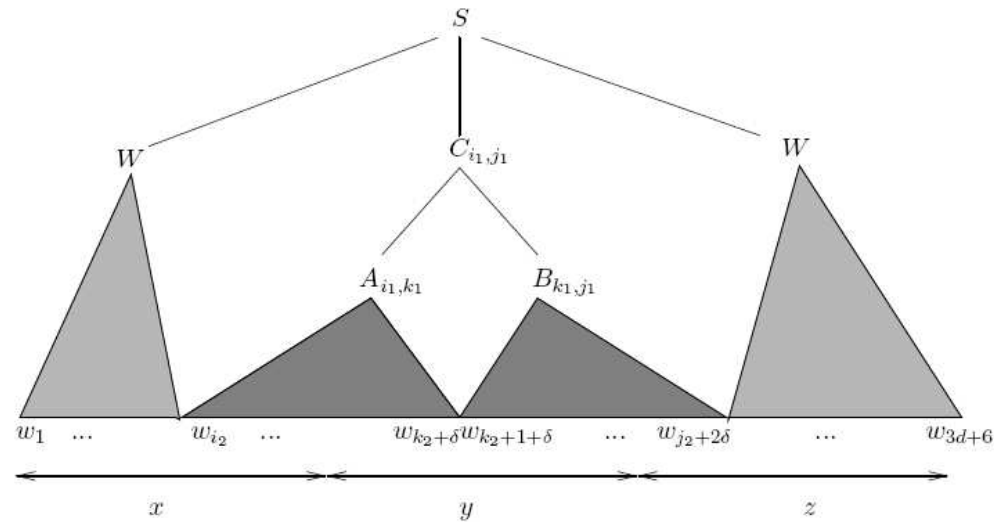
- For each  $a_{ij} = 1$  introduce production  $A_{i,j} \rightarrow w_i W w_j$
- For each  $b_{ij} = 1$  introduce production  $B_{i,j} \rightarrow w_{i+1} W w_j$
- For each  $c_{ij}$  introduce production  $C_{i,j} \rightarrow A_{i,k} B_{k,j} \forall 1 \leq k \leq m$
- $c_{ij} = 1$  iff  $C_{i,j}$  c-derives  $w_i^j$



## Reduction: $(A, B) \rightarrow (G, w)$

- To obtain smaller complexity:
  - Keep grammar size small
  - Split indices, e.g.  $i = (i_1, i_2)$
- $i_1 = \lfloor i/d \rfloor$  and  $i_2 = (i \bmod d) + 2$ , with  $d = \lceil m^{1/3} \rceil$ 
  - $0 \leq i_1 \leq d^2$  and  $2 \leq i_2 \leq d + 1$
  - $i$  can be computed uniquely by  $i_1$  and  $i_2$

# Reduction: $(A, B) \rightarrow (G, w)$



- $A_{i_1, j_1} \rightarrow w_{i_2} W w_{j_2+\delta}$ , with  $\delta := d + 2$
- String  $w = w_1 \dots w_\delta w_{\delta+1} \dots w_{2\delta} w_{2\delta+1} \dots w_{3\delta}$   
 $\rightarrow \Sigma = \{w_l \mid 1 \leq l \leq 3\delta = 3d + 6\}$

## Reduction: $(A, B) \rightarrow (G, w)$

- W-rules:  $W \rightarrow w_l W \mid w_r$
- A-rules:  $a_{ij} = 1 \Rightarrow A_{i_1, j_1} \rightarrow w_{i_2} W w_{j_2 + \delta}$
- B-rules:  $b_{ij} = 1 \Rightarrow B_{i_1, j_1} \rightarrow w_{i_2 + 1 + \delta} W w_{j_2 + 2\delta}$
- C-rules:  $C_{i_1, j_1} \rightarrow A_{i_1, k_1} B_{k_1, j_1}$
- S-rules:  $S \rightarrow W C_{i_1, j_1} W$

## Reduction: $(A, B) \rightarrow (G, w)$

### Theorem:

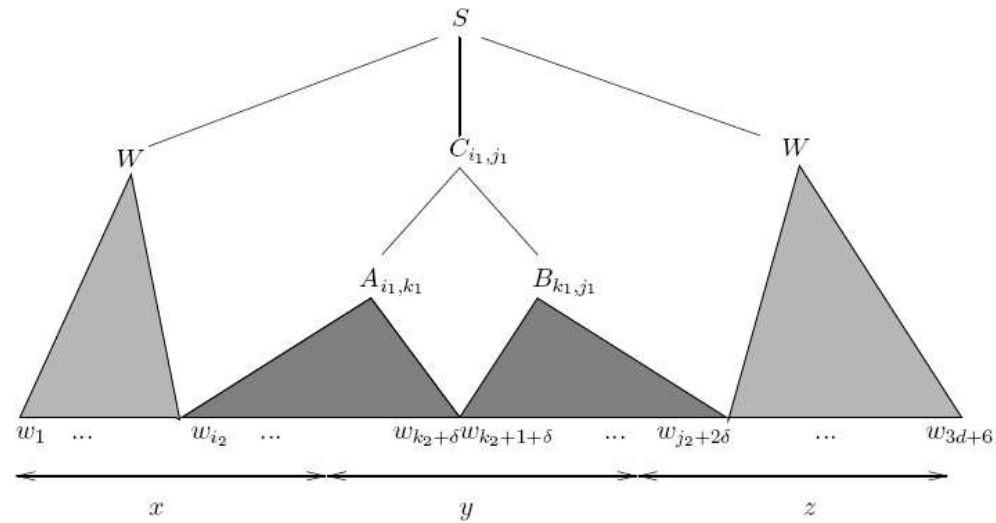
For  $1 \leq i, j \leq m$ , the entry  $c_{ij}$  is 1 iff  $C_{i_1, j_1}$  c-derives  $w_{i_2}^{j_2+2\delta}$ .

Proof: " $\Rightarrow$ "

to show:  $C_{i_1, j_1}$  c-derives  $w_{i_2}^{j_2+2\delta}$

$$\begin{array}{ll}
 C_{i_1, j_1} & \rightarrow A_{i_1, k_1} B_{k_1, j_1} & \text{C-rule} \\
 & \rightarrow^* w_{i_2} W w_{k_2+\delta} w_{k_2+\delta+1} W w_{j_2+2\delta} & \exists k. a_{ik} = b_{kj} = 1 \\
 & \rightarrow^* w_{i_2}^{j_2+2\delta} & \text{W-rule}
 \end{array}$$





- $C_{i_1, j_1} \xrightarrow{*} w_{i_2}^{j_2+2\delta}$
  - S-rule:  $S \rightarrow WC_{i_1, j_1}W$
- $\Rightarrow C_{i_1, j_1}$  c-derives  $w_{i_2}^{j_2+2\delta}$

## Reduction: $(A, B) \rightarrow (G, w)$

### Theorem:

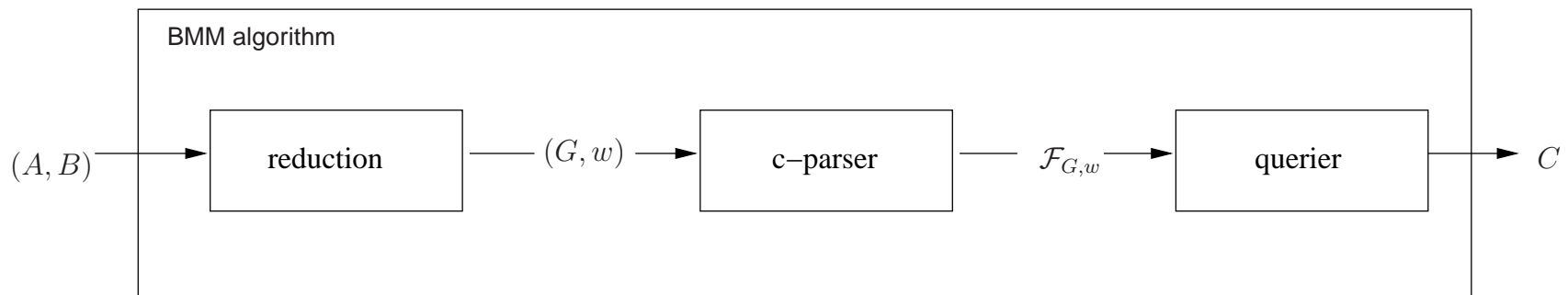
For  $1 \leq i, j \leq m$ , the entry  $c_{ij}$  is 1 iff  $C_{i_1, j_1}$  c-derives  $w_{i_2}^{j_2+2\delta}$ .

Proof: " $\Leftarrow$ "

to show:  $c_{ij} = 1$

$$\begin{aligned} C_{i_1, j_1} &\rightarrow^* w_{i_2}^{j_2+2\delta} \\ \Rightarrow \exists k_1. C_{i_1, j_1} &\rightarrow A_{i_1, k_1} B_{k_1, j_1} \\ &\rightarrow w_{i_2} W w_{k_2+\delta} w_{k_2+\delta+1} W w_{j_2+2\delta} \rightarrow^* w_{i_2}^{j_2+2\delta} \\ \Rightarrow \exists k = (k_1, k_2). a_{ik} &= b_{kj} = 1 \\ \Rightarrow c_{ij} &= 1 \end{aligned}$$

# An Algorithm for BMM



- Querier: for all  $c_{ij}$  ask  $\mathcal{F}_{G,w}$  whether  $C_{i_1, j_1}$  c-derives  $w_{i_2}^{j_2+2\delta}$

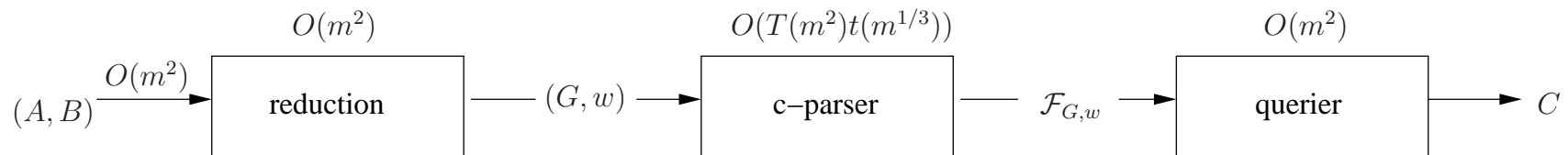
Conclusion: For two boolean matrices A and B we can compute  $A*B$  with a c-parser.

# Time bounds

## Theorem:

Any c-parser  $P$  with running time  $O(T(g)t(n))$  can be converted into a BMM algorithm  $M_P$  that runs in time  $O(\max(m^2, T(m^2)t(m^{1/3})))$ . In particular, if  $P$  takes time  $O(gn^{3-\epsilon})$ , then  $M_P$  runs in time  $O(m^{3-\epsilon/3})$ .

Proof:



- with  $|G| = O(m^2)$ ,  $|w| = O(m^{1/3})$
- if  $T(g) = g$  and  $t(n) = n^{3-\epsilon}$   
 $\rightarrow O(m^2(m^{1/3})^{3-\epsilon}) = O(m^{3-\epsilon/3})$

# Conclusion

- Reduction: CFG parsing to BMM
- Reverse direction: Using a CFG parser for BMM
- No faster CFG parsing without finding a faster BMM algorithm
- No faster BMM without finding a faster CFG parsing algorithm
- Related Work: Improvement of TAG parsing yields sub-cubic BMM (Satta, 1994)

# References

- Leslie G. Valiant, 1975. General context-free recognition in less than cubic time. *Journal of Computer Science*, 10:308-315
- Lillian Lee, 2002. Fast context-free grammar parsing requires fast boolean matrix multiplication. *Journal of the ACM* 49(1):1-15
- Giorgio Satta, 1994. Tree-adjointing grammar parsing and Boolean matrix multiplication. *Computational Linguistics*, 20(2):173-191