

Using LEO-II to Prove Properties of an Explicit Substitution M-set Model

Bachelor Thesis - Final Talk

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 - Conclusion
 - Future Work

We used LEO-II [3] to verify properties of an M-set model

M-set Model [4]

M-sets

A monoid is a triple $\langle M, op, e \rangle$

- We write $m \cdot n$ for $op(m, n)$
- $(m \cdot (n \cdot k)) = ((m \cdot n) \cdot k)$
- $m \cdot e = m = e \cdot m$

An M -set is a pair $\langle A, \alpha \rangle$

- We write $a * m$ for $\alpha(a, m)$
- $(a * m) * n = a * (m \cdot n)$
- $a * e = a$

Explicit Substitution [1, 5]

Terms $(a, b \dots) := 1 | (ab) | (\lambda a) | (a[s])$

Explicit Substitution $(s, t \dots) := id | \uparrow | (s \circ t) | (a.s)$

Representation I

Let T be the set of σ -normal terms and M be the set of σ -normal substitutions. In the syntax [2] we can represent these sets as constants of type ι .

- `in` is a constant of type $\iota \rightarrow \iota \rightarrow o$
- `term` is a constant of type ι
- `subst` is a constant of type ι

- $\text{one} := 1$
- $(\text{ap } a \ b) := (ab)^{\downarrow\sigma}$
- $(\text{lam } a) := \lambda a^{\downarrow\sigma}$
- $(\text{sub } a \ m) := a[m]^{\downarrow\sigma}$ where $a \in T$ and $m \in M$
- $\text{id} := \text{id}$
- $\text{sh} := \uparrow$
- $(\text{push } a \ m) := (a.m)^{\downarrow\sigma}$
- $(\text{comp } m \ n) := (m \circ n)^{\downarrow\sigma}$

one is a constant of type ι

one_p is an abbreviation defined by

$$\text{in one term}$$

ap is a constant of type $\iota \rightarrow \iota \rightarrow \iota$

ap_p is an abbreviation defined by

$$\forall A_\iota. \text{in } A \text{ term} \Rightarrow \forall B_\iota. \text{in } B \text{ term} \Rightarrow \text{in } (\text{ap } A B) \text{ term}$$

lam is a constant of type $\iota \rightarrow \iota$

lam_p is an abbreviation defined by

$$\forall A_\iota. \text{in } A \text{ term} \Rightarrow \text{in } (\text{lam } A) \text{ term}$$

...

Representation II

We declared the base type `term` and `subst`.

- `one` is a constant of type `term`
- `ap` is a constant of type `term → term → term`
- `lam` is a constant of type `term → term`
- `sub` is a constant of type `term → subst → term`
- `id` is a constant of type `subst`
- `sh` is a constant of type `subst`
- `push` is a constant of type `term → subst → subst`
- `comp` is a constant of type `subst → subst → subst`

axapp: $((ab)[s])^{\downarrow\sigma} = ((a[s])^{\downarrow\sigma} (b[s])^{\downarrow\sigma})$ for $a, b \in T$ and $s \in M$.

- *Rep I*

$$\forall A_\ell. \text{in } A \text{ term} \Rightarrow \forall B_\ell. \text{in } B \text{ term} \Rightarrow$$

$$\forall M_\ell. \text{in } M \text{ subst} \Rightarrow$$

$$\text{sub}(\text{ap } AB) M = \text{ap}(\text{sub } AM) (\text{sub } BM)$$

- *Rep II*

$$\forall A_{\text{term}} B_{\text{term}} M_{\text{subst}}.$$

$$\text{sub}(\text{ap } AB) M = \text{ap}(\text{sub } AM) (\text{sub } BM)$$

axidl, axmap, axvarcons...

Name	Rep I		Rep II		
	gthm	lthm	gthm	lthm	lthm with lemmas
Substmonoid	11.589s	5.165s	1.324s	0.521s	NA
Termmset	3.299s	0.564s	1.354s	0.505s	NA
Hoasapinj1	3.573s	0.481s	1.411s	0.515s	NA
Hoasapinj2	3.680s	0.479s	1.452s	0.509s	NA
Hoaslamnotap	6.194s	0.778s	1.622s	0.508s	NA
Hoaslamnotvar	6.495s	0.760s	1.685s	0.509s	NA
Hoasapnotvar	6.671s	0.575s	1.762s	0.503s	NA
Hoasap	3.317s	0.437s	NA	NA	NA
Hoaslam	3.343s	0.636s	NA	NA	NA
Hoaslaminj	-	-	1.556s	0.533s	NA
Induction2	-	-	-	0.581s	NA
Pushprop	-	-	-	-	0.655s
Hoasinduction	-	-	-	-	0.807s
Induction2lem	-	-	-	-	-

Theorem

(hoasap) For $m, n \in M$ and $a, b \in T$, we have
 $\text{hoasap}(m, a)(n, b) \in T$.

We encoded this theorem in LEO with Representation I:

- hoasap

$$\lambda M_t A_t N_t B_t. \text{ap} (\text{sub } A N) B$$

- hoasap_p

$$\begin{aligned} & \forall M_t. \text{in } M \text{ subst} \Rightarrow \forall A_t. \text{in } A \text{ term} \Rightarrow \\ & \forall N_t. \text{in } N \text{ subst} \Rightarrow \forall B_t. \text{in } B \text{ term} \Rightarrow \\ & \text{in } (\text{hoasap } M A N B) \text{ term} \end{aligned}$$

We encoded the definition of hoasap in LEO with Representation II:

$$\lambda M_{\text{subst}} A_{\text{term}} N_{\text{subst}} B_{\text{term}}. \text{ap} (\text{sub } A N) B$$

We want our model to satisfy this axiom:

$$\forall f \forall g (((Lam f) = (Lam g)) \Rightarrow (f = g))$$

We interpret this property in our model as:

Theorem

(hoaslaminj) *Let $f, g : M \times T \rightarrow T$ be functions such that*

$$f(m, a)n = f(mn, an)$$

and

$$g(m, a)n = g(mn, an)$$

for all $a \in T$ and $m, n \in M$. If

hoaslam(id, f) = hoaslam(id, g), then $f = g$.

We encoded this theorem in LEO with Representation I as follows:

$$\begin{aligned}
 & \forall F_{\iota \rightarrow \iota \rightarrow \iota}. (\forall M_{\iota}. \text{in } M \text{ subst} \Rightarrow \forall A_{\iota}. \text{in } A \text{ term} \Rightarrow \text{in } (F M A) \text{ term}) \Rightarrow \\
 & \quad (\forall M_{\iota}. \text{in } M \text{ subst} \Rightarrow \forall A_{\iota}. \text{in } A \text{ term} \Rightarrow \forall N_{\iota}. \text{in } N \text{ subst} \Rightarrow \\
 & \quad \quad \text{sub } (F M A) N = F (\text{comp } M N) (\text{sub } A N)) \Rightarrow \\
 & \forall G_{\iota \rightarrow \iota \rightarrow \iota}. (\forall M_{\iota}. \text{in } M \text{ subst} \Rightarrow \forall A_{\iota}. \text{in } A \text{ term} \Rightarrow \text{in } (G M A) \text{ term}) \Rightarrow \\
 & \quad (\forall M_{\iota}. \text{in } M \text{ subst} \Rightarrow \forall A_{\iota}. \text{in } A \text{ term} \Rightarrow \forall N_{\iota}. \text{in } N \text{ subst} \Rightarrow \\
 & \quad \quad \text{sub } (G M A) N = G (\text{comp } M N) (\text{sub } A N)) \Rightarrow \\
 & \text{hoaslamid } (\lambda M_{\iota} A_{\iota}. F M A) = \text{hoaslamid } (\lambda M_{\iota} A_{\iota}. G M A) \Rightarrow \\
 & \quad \forall M_{\iota}. \text{in } M \text{ subst} \Rightarrow \forall A_{\iota}. \text{in } A \text{ term} \Rightarrow F M A = G M A
 \end{aligned}$$

We encoded this theorem in LEO with Representation II as follow:

$$\forall F_{\text{subst} \rightarrow \text{term} \rightarrow \text{term}}.$$

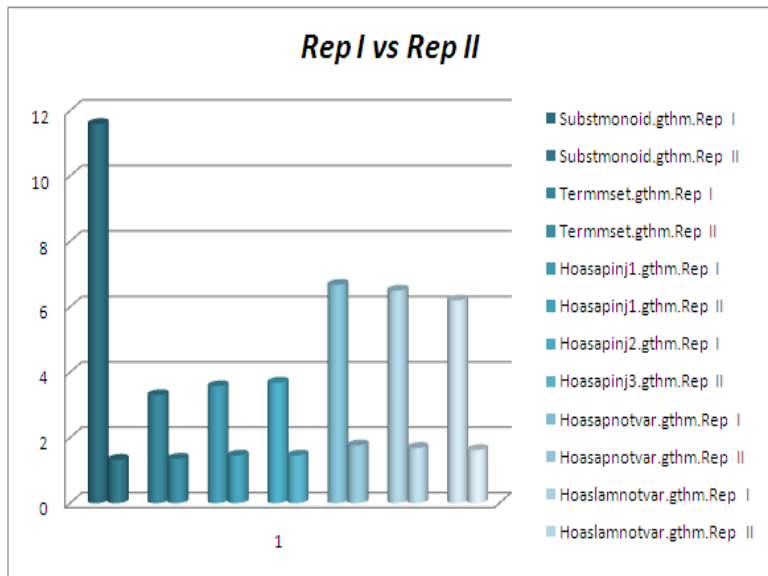
$$(\forall M_{\text{subst}} A_{\text{term}} N_{\text{subst}}. \text{sub}(F M A) N = F(\text{comp } M N)(\text{sub } A N)) \Rightarrow$$

$$\forall G_{\text{subst} \rightarrow \text{term} \rightarrow \text{term}}.$$

$$(\forall M_{\text{subst}} A_{\text{term}} N_{\text{subst}}. \text{sub}(G M A) N = G(\text{comp } M N)(\text{sub } A N)) \Rightarrow$$

$$\text{hoaslam id}(\lambda M_{\text{subst}} A_{\text{term}}. F M A) = \text{hoaslam id}(\lambda M_{\text{subst}} A_{\text{term}}. G M A) \Rightarrow$$

$$\forall M_{\text{subst}} A_{\text{term}}. F M A = G M A$$



We want to prove this property in our model.

Theorem

(induction2) *Let Φ be a property such that the following hold:*

- 1 *For all $x \in \text{Var}$, x satisfies Φ .*
- 2 *For all $a, b \in T$, if a and b satisfy Φ , then $(ab)^{\downarrow\sigma}$ satisfies Φ .*
- 3 *For all $a \in T$, if $(a[b.id])^{\downarrow\sigma}$ satisfies Φ whenever $b \in T$ satisfies Φ , then $(\lambda a)^{\downarrow\sigma}$ satisfies Φ .*

Then for all $a \in T$, a satisfies Φ .

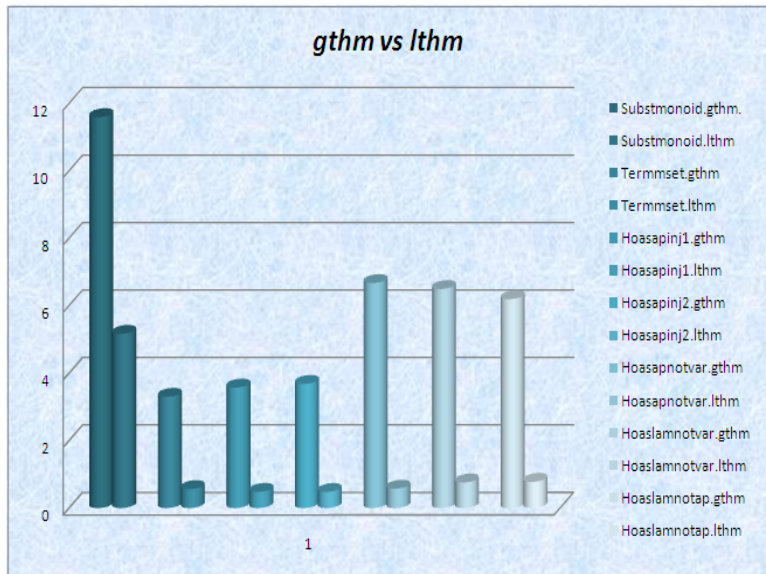
Global and Local Theorems

The `induction2_gthm` is:

$$\begin{aligned}
 & \text{axapp} \Rightarrow \text{axvarcons} \Rightarrow \text{axvarid} \Rightarrow \text{axabs} \Rightarrow \text{axclos} \Rightarrow \text{axidl} \Rightarrow \\
 & \text{axshiftcons} \Rightarrow \text{axassoc} \Rightarrow \text{axmap} \Rightarrow \text{axidr} \Rightarrow \text{axvarshift} \Rightarrow \text{xscons} \Rightarrow \\
 & \text{ulamvar1} \Rightarrow \text{ulamvarsh} \Rightarrow \text{ulamvarind} \Rightarrow \text{apinj1} \Rightarrow \text{apinj2} \Rightarrow \text{laminj} \Rightarrow \\
 & \text{shinj} \Rightarrow \text{lamnotap} \Rightarrow \text{apnotvar} \Rightarrow \text{lamnotvar} \Rightarrow \text{induction} \\
 & \Rightarrow \text{pushprop} \Rightarrow \text{induction2lem} \Rightarrow \text{induction2}
 \end{aligned}$$

The `induction2_lthm` is:

$$\text{axvarid} \Rightarrow \text{induction2lem} \Rightarrow \text{induction2}$$



Hoasinduction

In the HOAS [6] theory we have the following induction axiom

$$\begin{aligned} & \forall p((\forall x(\text{Var } x \Rightarrow (px))) \\ & \wedge (\forall x \forall y (px \wedge py \Rightarrow p(\text{Ap } xy))) \\ & \wedge (\forall f((\forall x(px \Rightarrow p(fx))) \Rightarrow p(\text{Lam } f))) \Rightarrow (\forall x(px)))) \end{aligned}$$

Hoasinduction

Theorem

(hoasinduction) Let $\Psi : M \times T \rightarrow \mathcal{P}(M)$ be a function such that

$$kn \in \Psi(m, a) \text{ iff } n \in \Psi(mk, ak)$$

for all $a \in T$ and $m, n, k \in M$. Suppose we have the following:

- 1 For all $x \in T$, if $id \in \text{hoasvar}(id, x)$, then $id \in \Psi(id, x)$.
- 2 For all $a, b \in T$, if $id \in \Psi(id, a)$ and $id \in \Psi(id, b)$, then $id \in \Psi(id, \text{hoasap}(id, a)(id, b))$
- 3 For all $f : M \times T \rightarrow T$ such that $f(m, a)n = f(mn, an)$ for all $a \in T$ and $m, n \in M$, if $id \in \Psi(id, a)$ implies $id \in \Psi(id, f(id, a))$ for all $a \in T$, then $id \in \Psi(id, \text{hoaslam}(id, f))$.

Then for all $a \in T$, $id \in \Psi(id, a)$.

hoasinduction_lthm_1 is:

$$\text{induction2} \Rightarrow \text{axvarid} \Rightarrow \text{axclos} \Rightarrow \text{axvarshift} \Rightarrow \\ \text{axmap} \Rightarrow \text{axidl} \Rightarrow \text{hoasinduction}$$

Proof

Use Induction2 with Φx iff $id \in \Psi(id, a)$.

Theorem

(hoasinduction) Let $\Psi : M \times T \rightarrow \mathcal{P}(M)$ be a function such that

$$kn \in \Psi(m, a) \text{ iff } n \in \Psi(mk, ak)$$

for all $a \in T$ and $m, n, k \in M$. Suppose we have the following:

- 1 For all $x \in T$, if $id \in \text{hoasvar}(id, x)$, then $id \in \Psi(id, x)$.
- 2 For all $a, b \in T$, if $id \in \Psi(id, a)$ and $id \in \Psi(id, b)$, then $id \in \Psi(id, \text{hoasap}(id, a)(id, b))$
- 3 For all $f : M \times T \rightarrow T$ such that $f(m, a)n = f(mn, an)$ for all $a \in T$ and $m, n \in M$, if $id \in \Psi(id, a)$ implies $id \in \Psi(id, f(id, a))$ for all $a \in T$, then $id \in \Psi(id, \text{hoaslam}(id, f))$.

Then for all $a \in T$, $id \in \Psi(id, a)$.

Theorem

(induction2) Let Φ be a property such that the following hold:

- 1 For all $x \in \text{Var}$, x satisfies Φ .
- 2 For all $a, b \in T$, if a and b satisfy Φ , then $(ab)^{\downarrow\sigma}$ satisfies Φ .
- 3 For all $a \in T$, if $(a[b.id])^{\downarrow\sigma}$ satisfies Φ whenever $b \in T$ satisfies Φ , then $(\lambda a)^{\downarrow\sigma}$ satisfies Φ .

Then for all $a \in T$, a satisfies Φ .

We define the `hoasinduction_p_and_p_prime` and `hoasinduction_lem0`.

	Induction2	Hoasinduction
Property	$Q_{\text{term} \rightarrow o}$	$P_{\text{subst} \rightarrow \text{term} \rightarrow \text{subst} \rightarrow o}$

- `hoasinduction_p_and_p_prime`

$$\lambda P Q. \forall X_{\text{term}}. Q X \Leftrightarrow P \text{id } X \text{id}$$

- `hoasinduction_lem0`

$$\forall P. \exists Q. \text{hoasinduction_p_and_p_prime } P Q$$

we match three condition of `hoasinduction` with three condition of `induction2`

- `hoasinduction_lem1v2`
- `hoasinduction_lem2v2`
- `hoasinduction_lem3v2`

`hoasinduction_lthm_2` should be:

$$\begin{aligned} & \text{hoasinduction_lem0} \Rightarrow \text{hoasinduction_lem1v2} \Rightarrow \\ & \text{hoasinduction_lem2v2} \Rightarrow \text{hoasinduction_lem3v2} \\ & \Rightarrow \text{induction2} \Rightarrow \text{hoasinduction} \end{aligned}$$

LEO could prove this and also following version:

$$\begin{aligned} & \text{hoasinduction_lem0} \Rightarrow \text{hoasinduction_lem3v2} \Rightarrow \\ & \text{induction2} \Rightarrow \text{axvarid} \Rightarrow \text{hoasinduction} \end{aligned}$$

We want to prove this result in LEO.

Theorem

(pushprop) *Let Φ be a property, $a \in T$ and $m \in M$. Assume for all $x \in \text{Var}$, $(x[m])^{\downarrow\sigma}$ satisfies Φ . Assume a satisfies Φ . Then $(x[a.m])^{\downarrow\sigma}$ satisfies Φ for all $x \in \text{Var}$.*

Theorem

(pushprop_lem0) *For every property ϕ , term a and substitution m , there is a property ϕ' such that for every term x , x satisfies ϕ' iff $(x[a.m])^{\downarrow\sigma}$ satisfies ϕ .*

Proof: Just define ϕ' in this way.

Also LEO could prove the following version of
pushprop_lthm:

$$\text{pushprop_lem0} \Rightarrow \text{ulamvar1} \Rightarrow \text{axvarcons} \Rightarrow \text{axclos} \Rightarrow$$
$$\text{axshiftcons} \Rightarrow \text{ulamvarind} \Rightarrow \text{pushprop}$$

- LEO is sensitive to the representation.
- LEO is sensitive to how many assumptions are given.
- Instantiation of higher order variables is hard.

- Prove some intermediate lemmas for `hoasinduction`
- Prove `induction2lem` by creating intermediate lemma.

Build induction into LEO

Theorem

(`induction2`) Let Φ be a property such that the following hold:

- 1 For all $x \in \text{Var}$, x satisfies Φ .
- 2 For all $a, b \in T$, if a and b satisfy Φ , then $(ab)^{\downarrow\sigma}$ satisfies Φ .
- 3 For all $a \in T$, if $(a[b.\text{id}])^{\downarrow\sigma}$ satisfies Φ whenever $b \in T$ satisfies Φ , then $(\lambda a)^{\downarrow\sigma}$ satisfies Φ .

Then for all $a \in T$, a satisfies Φ .

To use `induction2`, a theorem prover should:

- 1 Recognize `induction2` is an induction principle.
- 2 Choose an appropriate Φ .
- 3 Prove each of 1, 2 and 3 for this Φ .

Thank you



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