

A Syntactic Theory Of Finitary Sets

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31.7.15

Overview

- 1 Model
- 2 Extensionality
- 3 Transitive closure
- 4 A type for non-well-founded sets
- 5 Choice

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Non-well-founded sets

We give a constructive model for non-well-founded sets.

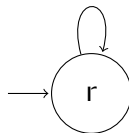
- Our Model : ZFC - Regularity - Infinity + AFA
- Non-well-founded sets can be represented by rooted graphs up to bisimulation

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Simplest example: $\Omega = \{\Omega\}$



Graphs

Definition

A (rooted) graph is a 4-tuple $(X, \text{edgeRel}, \text{dom}, \text{root})$, where

- X is a type with decidable equality
- $\text{edgeRel} : X \rightarrow X \rightarrow \mathbb{B}$ is the transition relation
- $\text{dom} : [X]$ is the domain of the graph
- $\text{root} : X$ denotes the root of the graph

We denote the type associated with a graph g by $t\ g$ (or simply g) and the type of graphs by \mathbb{G} .

Edges

We always only consider the subgraph induced by the domain, hence the following definition:

Definition

$$E : g \rightarrow g \rightarrow \mathbb{B}$$

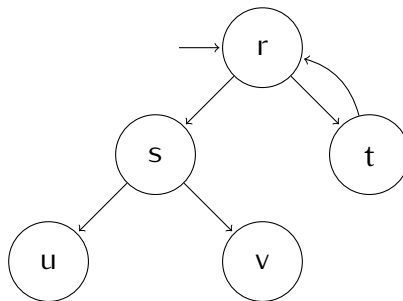
$$E \ x \ y := \begin{cases} \text{edgeRel } x \ y & x \in \text{dom}(g) \wedge y \in \text{dom}(g) \\ \text{false} & \text{otherwise} \end{cases}$$

Child nodes

Child nodes : reachable from the root in one step.

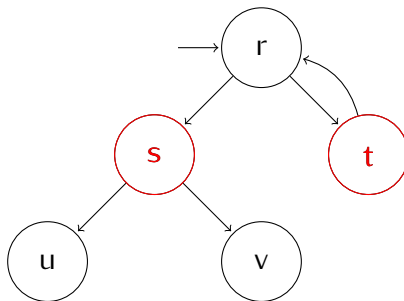
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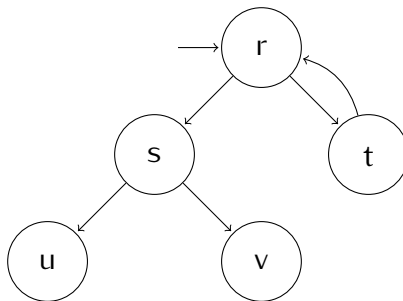


Subgraphs

The subgraph for a vertex x is obtained by setting the root to x :

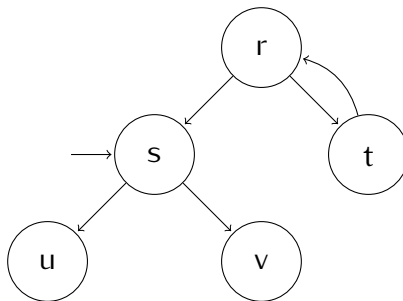
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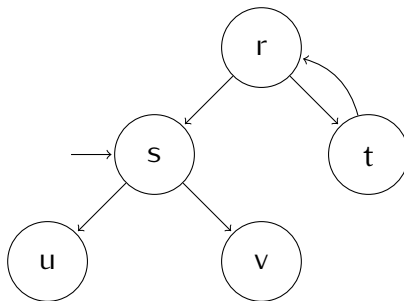
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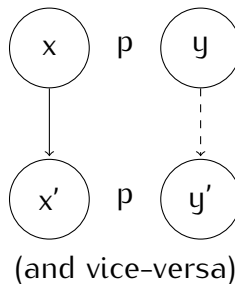
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The children of a graph denote the subgraphs starting from its child nodes.

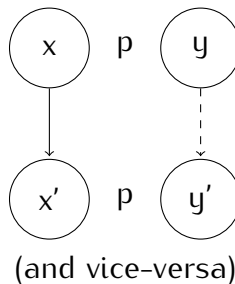
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Two graphs g_1, g_2 are bisimilar ($g_1 \approx g_2$) if
 $\exists p. \text{bisim } p \wedge p(\text{root } g_1)(\text{root } g_2)$.

Elements and subsets

Based on \approx and the children of a graph, we can define an element relation:

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$$g_1 \dot{\subseteq} g_2 := \forall g. g \dot{\in} g_1 \implies g \dot{\in} g_2.$$

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$$g_1 \equiv g_2 := g_1 \dot{\subseteq} g_2 \wedge g_2 \dot{\subseteq} g_1.$$

Outline

Already known:

- How to decide $g_1 \approx g_2$, $g_1 \in g_2$, $g_1 \subsetneq g_2$, $g_1 \equiv g_2$.
- How to decide reachability in a graph, i.e. $x \rightarrow^* y$.
- Constructions for all ZF axioms except Infinity, Regularity and Extensionality.

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Today:

- Extensionality
- Transitive closure
- Quotient type
- Choice function

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Extensionality

The only missing ZF axiom that is admissible for our model is extensionality:

Theorem (Extensionality)

$$\forall g_1 g_2. g_1 \approx g_2 \iff g_1 \equiv g_2.$$

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- To show $g \approx \text{subgraph } y$, it suffices to show $\text{subgraph } x \approx \text{subgraph } y$. It is easy to see that the relation p is also a bisimulation for $\text{subgraph } x$ and $\text{subgraph } y$.

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- Due to the direction already proven, we know that $\text{subgraph } x' \equiv \text{subgraph } y'$.

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Transitive closure

The transitive closure of a set is basically the set of all its successors w.r.t. the element relation.

Its usual definition relies on the axiom of infinity:

$$tc\ M := \bigcup_{n \in \mathbb{N}} (\bigcup^n M)$$

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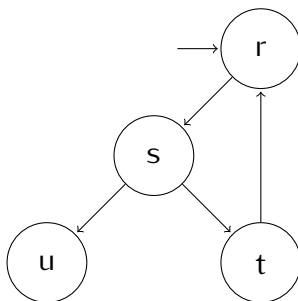
$x \rightarrow^+ y$ is obviously decidable.

Transitive closure

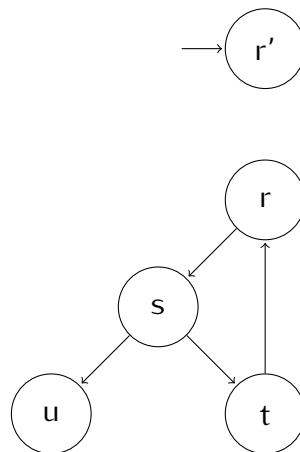
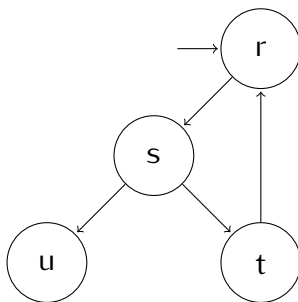
The construction works as follows:

- add a new root
- make the new root adjacent to every vertex v such that $\text{root } g \rightarrow^+ v$

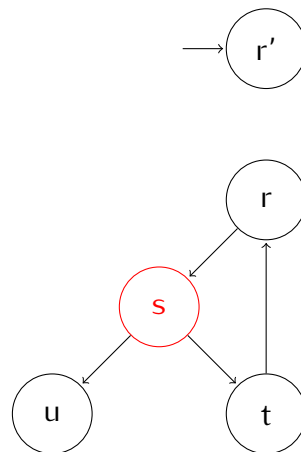
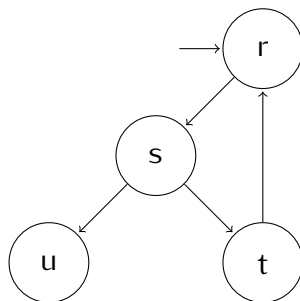
Example



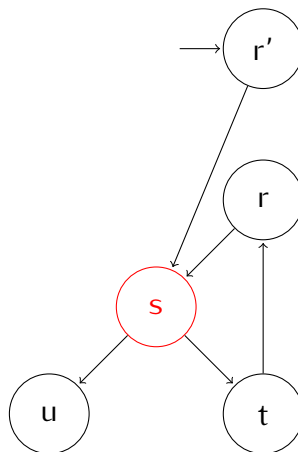
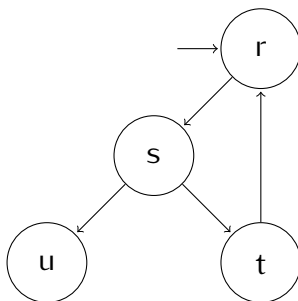
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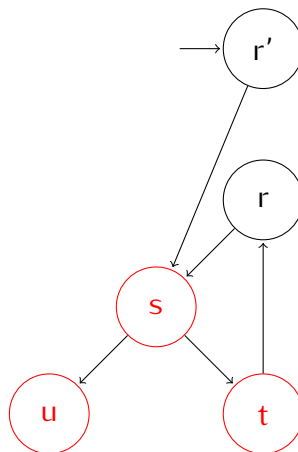
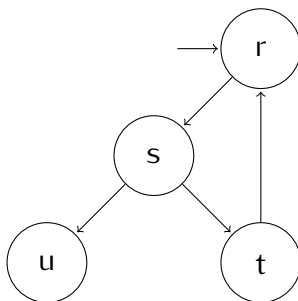
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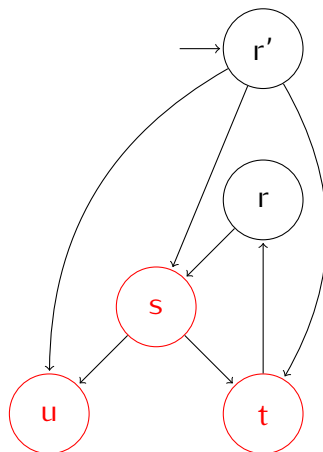
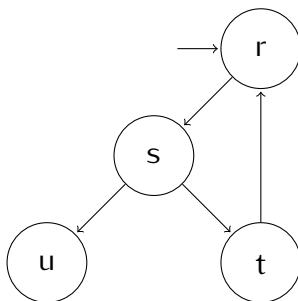
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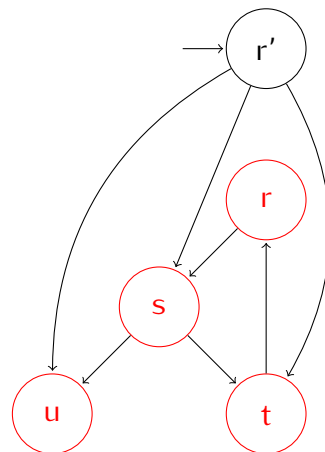
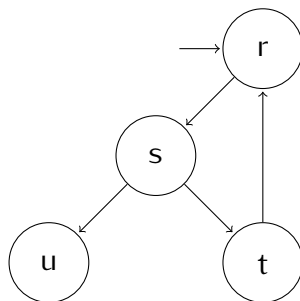
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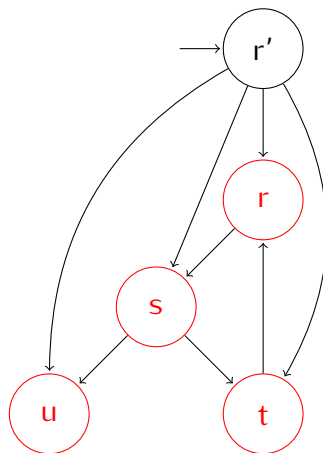
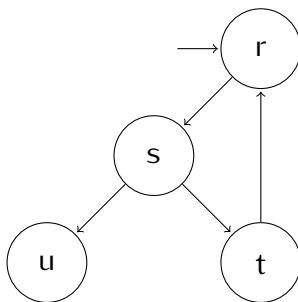
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Classical characterization of tc

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The classical characterization of tc states that $tc\ M$ is the least transitive superset of M , which is easy to prove from the above characterization.

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Basic idea

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- Graphs have such conversion functions
- Lift constructions for \mathbb{G} to \mathbb{G}/\approx

Construction for the quotient type

Given any type X , a decidable equivalence relation R and conversion functions $f : X \rightarrow \mathbb{N}$, $f^{-1} : \mathbb{N} \rightarrow X$ such that

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we can construct the quotient type X/R as follows:

Definition

$$X/R := \{n \mid f(f^{-1}\ n) = n\}$$

Equivalence classes

Note that for any x , $f(f^{-1}(f x)) = f x$ holds, due to the properties of f and f^{-1} .

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Definition (representative elements)

$repr\ (n, _) := f^{-1}\ n$

Properties of repr and norm

Equivalence classes and their representative elements work as expected, i.e.:

- $\forall x y. R x y \iff \text{norm } x = \text{norm } y$
- $\forall a b. a = b \iff R(\text{repr } a)(\text{repr } b)$

Conversion between \mathbb{G} and \mathbb{N}

Goal: construct suitable conversion functions $\mathbb{G} \leftrightarrow \mathbb{N}$.

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- Every graph is bisimilar to a graph over \mathbb{N}
- We can construct a list of all such graphs up to any size
- Use the indices of such a list to convert between \mathbb{G} and \mathbb{N}

Well-formed graphs

Definition (well-formed)

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Lemma (Reordering lemma)

Every graph is bisimilar to a well-formed graph. We call such a graph well-formed.

Graphs over \mathbb{N}

Given a well-formed graph g , construct a graph \bar{g} over natural numbers such that $g \approx \bar{g}$.

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- Note that 0 is the root of \bar{g} .

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- For every graph g that is well-formed and has $|dom\ g| = n$, \bar{g} has this form.
- We can then proceed to enumerate all such graphs up to a fixed domain size.

$$G \leftrightarrow N$$

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- $\forall g. g \approx f^{-1}(f\ g).$
- $\forall g\ g'. g \approx g' \iff f\ g = f\ g'.$



We know that \mathbb{G} with the decidable equivalence relation \approx has suitable conversion functions f, f^{-1} .

Hence, we can construct the quotient type $\mathcal{N} := \mathbb{G} / \approx$.

We can lift the definitions and constructions we have for \mathbb{G} to \mathcal{N} by using the conversion functions `norm` and `repr`.

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$$\gamma M := \begin{cases} \emptyset & \text{child_nodes}(\text{repr } M) = [] \\ \text{norm}(\text{subgraph } x) & \text{child_nodes}(\text{repr } M) = x :: xs \end{cases}$$

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Definition





$$\gamma M := \begin{cases} \emptyset & \text{child_nodes}(\text{repr } M) = [] \\ \text{norm}(\text{subgraph } x) & \text{child_nodes}(\text{repr } M) = x :: xs \end{cases}$$

It is easy to see that $\forall M \neq \emptyset. \gamma M \in M$.




Future Work

- Enumerability of \mathbb{T} using Ackermann's encoding
- Quotient type \mathbb{T} / \equiv
- ZF(C) constructions for \mathbb{T}
- Relation between CCS with recursion and \mathcal{N}

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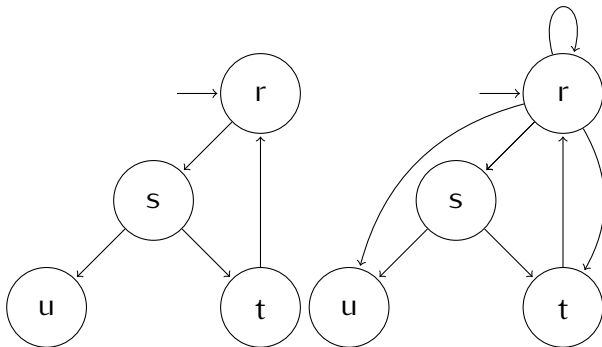
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Faulty construction for tc

Not adding a new root, but changing the edges going out from the old root does not work:



On the right hand side, the original graph structure is lost!

Children and Subgraphs Definition

We can use E to define the children of a graph, which are basically its elements (modulo bisimulation).

Definition

$$\begin{aligned} \text{child_nodes } g &:= \text{filter } (\lambda x. E(\text{root}g)x = \text{true}) (\text{dom } g) \\ \text{subgraph } (x : g) &:= G (\text{edgeRel } g) (\text{dom } g) x \\ \text{children } g &:= \text{map subgraph } (\text{child_nodes } g) \end{aligned}$$

The notion of successors w.r.t. $\dot{\in}$ can be captured inductively:

Definition ($\dot{\in}^n$)

$$\frac{g_1 \approx g_2}{g_1 \dot{\in}^0 g_2}$$

$$\frac{g_1 \dot{\in} g_2 \quad g_2 \dot{\in}^n g_3}{g_1 \dot{\in}^{S^n} g_3}$$

Correspondence between \rightarrow^* and $\dot{\in}^n$

- $r \rightarrow^* x \implies g_1 \approx \text{subgraph } x \implies \exists n. g_1 \dot{\in}^n (\text{sugraph } r).$
- $g_1 \dot{\in}^n g_2 \implies \text{root } g_2 \in \text{dom } g_2 \implies \exists x. \text{root } g_2 \rightarrow^* x \wedge g_1 \approx \text{subgraph } x$
- $r \rightarrow^+ x \implies g_1 \approx \text{subgraph } x \implies \exists n > 0. g_1 \dot{\in}^n (\text{sugraph } r).$
- $n > 0 \implies g_1 \dot{\in}^n g_2 \implies \exists x. (\text{root } g_2) \rightarrow^+ x \wedge g_1 \approx \text{subgraph } x.$

Transitive closure

Definition (transitive closure)

$tc\ g := G\ f\ (None :: (map\ Some\ (dom\ g)))\ (None)$ where
 $f\ (Some\ x)\ (Some\ y) := edgeRel\ x\ y$
 $f\ None\ (Some\ y) := (root\ g) \rightarrow^+ y.$
 $f\ _ _ := false$

Due to the correspondence between \rightarrow^n and $\dot{\in}^n$, it is easy to see that

$$\forall g\ g'.\ g' \dot{\in} (tc\ g) \iff \exists n > 0.\ g' \dot{\in}^n g.$$

proof tc transitive

Definition (transitive graph)

g_1 is transitive $:= \forall g_2 g_3. g_3 \in g_2 \implies g_2 \in g_1 \implies g_3 \in g_1$.

Lemma

tc g is transitive.

proof tc transitive

Definition (transitive graph)

g_1 is transitive $:= \forall g_2 g_3. g_3 \in g_2 \implies g_2 \in g_1 \implies g_3 \in g_1$.

Lemma

$tc\ g$ is transitive.

Let $g'' \in g' \in tc\ g$.

We know that $g' \in^n g$ for some $n > 0$.

Hence, $g'' \in^{S^n} g$, which in turn implies $g'' \in tc\ g$.

proof tc superset

Lemma

$$g \subseteq tc\ g.$$

Let $g' \in g$, i.e. there is

$x : g$ such that $E(\text{root } g)\ x = \text{true}$ and $g' \approx \text{subgraph } g$.

Note that $\text{root } g \rightarrow^+ x$, hence $E\ \text{None}\ (\text{Some } x) = \text{true}$.

$g' \in tc\ g$ follows from the fact that $\text{subgraph } x \approx \text{subgraph } (\text{Some } x)$.

proof tc least such set

Lemma

$$\forall g^*. \text{transitive } g^* \implies g \dot{\subseteq} g^* \implies tc\ g \dot{\subseteq} g^*$$

Let g^* be transitive and $g \dot{\subseteq} g^*$.

proof tc least such set

Lemma

$$\forall g^*. \text{transitive } g^* \implies g \dot{\subseteq} g^* \implies tc\ g \dot{\subseteq} g^*$$

Let g^* be transitive and $g \dot{\subseteq} g^*$.

We show $\forall n > 0 \forall g'. g' \dot{\in}^n g \implies g' \dot{\in} g^*$

by induction on n . The base case is trivial.

In the inductive case, $n = S\ n'$ and $g' \dot{\in}^{S\ n'} g$.

proof tc least such set

Lemma

$$\forall g^*. \text{transitive } g^* \implies g \dot{\subseteq} g^* \implies tc\ g \dot{\subseteq} g^*$$

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We show $\forall n > 0 \forall g'. g' \dot{\in}^n g \implies g' \dot{\in} g^*$

by induction on n . The base case is trivial.

In the inductive case, $n = S\ n'$ and $g' \dot{\in}^{S\ n'} g$.

- If $n' = 0$, i.e. $n = 1$, $g' \dot{\in}^1 g \iff g' \dot{\in} g$, which immediately gives us that $g' \dot{\in} g^*$, since $g \dot{\subseteq} g^*$.

proof tc least such set

Lemma

$$\forall g^*. \text{transitive } g^* \implies g \dot{\subseteq} g^* \implies tc\ g \dot{\subseteq} g^*$$

Let g^* be transitive and $g \dot{\subseteq} g^*$.

We show $\forall n > 0 \forall g'. g' \dot{\in}^n g \implies g' \dot{\in} g^*$

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In the inductive case, $n = S\ n'$ and $g' \dot{\in}^{S\ n'} g$.

- If $n' = 0$, i.e. $n = 1$, $g' \dot{\in}^1 g \iff g' \dot{\in} g$, which immediately gives us that $g' \dot{\in} g^*$, since $g \dot{\subseteq} g^*$.
- Otherwise, $n' = S\ m$. Since $g' \dot{\in}^{S\ n'} g$, there is some graph h such that $g' \dot{\in} h$ and $h \dot{\in}^{S\ m} g$.

proof tc least such set

Lemma

$$\forall g^*. \text{transitive } g^* \implies g \subseteq g^* \implies tc\ g \subseteq g^*$$

Let g^* be transitive and $g \subseteq g^*$.

We show $\forall n > 0 \forall g'. g' \dot{\in}^n g \implies g' \dot{\in} g^*$

by induction on n . The base case is trivial.

In the inductive case, $n = S\ n'$ and $g' \dot{\in}^{S\ n'} g$.

- If $n' = 0$, i.e. $n = 1$, $g' \dot{\in}^1 g \iff g' \dot{\in} g$, which immediately gives us that $g' \dot{\in} g^*$, since $g \subseteq g^*$.
- Otherwise, $n' = S\ m$. Since $g' \dot{\in}^{S\ n'} g$, there is some graph h such that $g' \dot{\in} h$ and $h \dot{\in}^{S\ m} g$.
- By IH, we know that $h \dot{\in} g^*$, hence $h \subseteq g^*$.

Reordering lemma proof

Lemma (Reordering lemma)

Every graph is bisimilar to a well-formed graph.

- If $\text{dom } g = []$, we know that $g \approx \emptyset$.
(Recall that $\emptyset := G \text{ true } [tt] tt$, hence we now have one more element in the domain).
- Otherwise, reorder as follows:
 $\text{dom } g' = \text{root } (\text{dom } g) :: \text{rem } (\text{root } g) (\text{undup } \text{dom } g)$
(In this step, the domain size can only decrease, not increase)

This gives us even more: Every graph is bisimilar to a well-formed graph whose domain contains at most one more vertex.

Transition Functions

We know that

$\text{allFuns } xs \ ys := \text{map}(\lambda A. \lambda x \ y. (x, y) \in A)(\mathcal{P}(xs \times ys))$
contains all possible relations on xs and ys .

We can use this to construct all transition functions for a given graph.

Definition

$\text{range } n := [0, 1, \dots, n-1]$

Note that for any well-formed graph g with $|\text{dom } g| = n$,
 $\text{dom } \bar{g} = \text{range } n$.

Enumerating graphs

Definition

$$\alpha n := \text{map } (\lambda f. G f (\text{range } n) 0) \\ (\text{allFuns } (\text{range } n) (\text{range } n))$$

αn yields a list of all graphs over natural numbers with domain $\text{range } n$ and 0 as the root.

Definition

$$\beta n := \text{mapcat } \alpha [1..n]$$

βn contains a list of all such graphs whose domain has size at most n .

Properties of α and β

There are a few important properties of α and β :

- Note that $\forall g. \bar{g} \in \beta(S|dom\ g|)$.
- Likewise, since $|\alpha n| \geq 1$ for any n ,
 $|\beta n| \geq n$.
- This means that for any n , $\beta(Sn)$ supports indices from 0 to at least n .

Conversion functions with exact numbers:

- f : Given a graph g , we find the index of the first graph g' in $\beta(S \mid \text{dom } g)$ such that $g \approx g'$.
- f^{-1} : Given $n \in \mathbb{N}$, we return the n th graph in $\beta(S \mid n)$.
- $\forall g. g \approx f^{-1}(f g)$.
- $\forall g g'. g \approx g' \iff f g = f g'$.

Properties of repr and norm

Note the following important properties of repr and norm:

- $\forall x y. R x y \iff \text{repr}(\text{norm } x) = \text{repr}(\text{norm } y)$
- $\forall x y. \text{repr}(\text{norm } x) = \text{repr}(\text{norm } y) \iff \text{norm } x = \text{norm } y$

Second point rather technical:

Lemma eq_dep_dec_sig (x y : X) (h : P x)
(h' : P y) (p : x = y)
(q : match p with eq_refl \Rightarrow h end = h') :
exist P x h = exist P y h'.

Proof. now destruct p,q. Qed.