## A Toolbox for Mechanised First-Order Logic

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We report on three tools developed for the evolving mechanisation of first-order logic [1, 2, 5, 4] as part of the Coq Library of Undecidability Proofs [3]. The employed deep embedding of first-order logic is based on a de Bruijn encoding of the syntax, an embedding into Coq's logic to define Tarski semantics, and inductive predicates representing deduction systems. While the de Bruijn approach is well-suited for the mechanisation of meta-theoretic concepts (parallel substitution, weakening, etc.), it is rather unhandy for working with concrete formulas, models, or derivations (which is why compromises have been proposed [7]).

Consider the commutativity of addition in Peano arithmetic (PA) as an example. Expressed in Coq's logic for the type  $\mathbb{N}$ , it states that n + m = m + n for all  $n, m : \mathbb{N}$  and is proven by an easy induction and a modest amount of rewriting. In first-order logic, however, some unwanted overhead is introduced:

- 1. Defining the first-order formula  $\varphi := \forall \forall x_1 + x_0 = x_0 + x_1$  is bearable but as soon as formulas feature more complex quantifier nesting, chasing de Bruin indices will become unfeasible and prone to error. Already formulating the induction scheme  $\lambda \psi$ .  $\psi[0] \rightarrow (\forall \psi \rightarrow \psi[Sx_0; \uparrow]) \rightarrow \forall \psi$  of PA is not trivial.
- 2. If we want to verify  $\varphi$  semantically, i.e. show  $\mathsf{PA} \vDash \varphi$ , we assume a model  $\mathcal{M}$  of  $\mathsf{PA}$  and show  $\mathcal{M} \vDash \varphi$ . Now at least the recursive definition of  $\mathcal{M} \vDash \varphi$  evaluates to a statement in Coq's logic, namely that  $x + \mathcal{M} y = y + \mathcal{M} x$  for all  $x, y : \mathcal{M}$ . But when applying the induction scheme to proceed with the proof, the user is still required to supply a concrete induction instance  $\psi$  by hand.
- 3. Even more cumbersome, when verifying  $\varphi$  deductively, i.e. showing  $\mathsf{PA} \vdash \varphi$ , no support from Coq's logic can be used and the proof rules defining  $\vdash$  need to be applied one by one. In particular the rules for quantifiers and rewriting are tedious to use by hand since they introduce substitutions.

Our tool support for these three problems is addressed in the following three sections, respectively.

Syntax Interface: HOAS Input Language In order to avoid the intricacies with de Bruijn indices when defining concrete formulas, we employ a special input language using higher order abstract syntax. The idea is to define quantified formulas through functions (for example  $\lambda xy : \mathbb{N} \cdot x + y = y + x$ ), which allows us to rely on the existing binder mechanism of Coq. Together with notations, this enables us to define formulas in a more natural way, namely by <<  $\forall' \mathbf{x} \mathbf{y}, \mathbf{x} + \mathbf{y} = \mathbf{y} + \mathbf{x}$ . The notation << translates the HOAS formula into the usual de Bruijn format, such that we end up with exactly the same  $\varphi$  as in (1) above.

Semantic Proofs: Reification Tactic Our reification tactic works by recursively inspecting the Coq term we want to reify, while matching known constructs to their reified representations. Along with this, we generate a proof that the reification is correct. Our tactic is extensible, so that users can add support for their own custom syntax by registering a type class, which is later invoked by the reification framework. MetaCoq [8] is used to deeply inspect Gallina's internal AST, which is necessary to properly reify bound variables, while not carrying the overhead involved with a custom Coq plugin written in OCaml.

In the example below, we employ a semantic reformulation of PA induction for a given model  $\mathcal{M}$ 

$$\forall P : \mathcal{M} \to \mathsf{Prop. reifiable} \ P \to P \ 0 \to (\forall n : \mathcal{M} . P \ n \to P \ (S \ n)) \to \forall n : \mathcal{M} . P \ n \to P \ (S \ n))$$

which is analogous to the induction scheme for  $\mathbb{N}$ , except for the precondition reifiable P expecting a formula  $\psi$  reifying P. For concrete P, this condition can be discharged using our **represent** tactic. This way, the user can perform inductive proofs in the first-order model  $\mathcal{M}$ , just like when working with  $\mathbb{N}$  in Coq's logic.

```
Lemma add_comm a b : a +^{\mathcal{M}} b = b +^{\mathcal{M}} a.
                                                              Lemma add_comm a b : a +^{\mathcal{M}} b = b +^{\mathcal{M}} a.
Proof.
                                                              Proof.
elim a using PA_induction.
                                                               elim a using PA_induction.
- represent.
                                                               - exists (\$0 + \$1 == \$1 + \$0).
  (* Goal here was: *)
                                                                 exists (fun _ => b). (* environment, s.t. 0 \mapsto b *)
  (* reifiable (fun a \Rightarrow a + M b = b + M a) *)
                                                                 intros d. cbn. rewrite D_eq_ext. now split.
- now rewrite add_zero_1, add_zero_r.
                                                               - now rewrite add_zero_1, add_zero_r.
- intros a' IH. now rewrite add_succ_1, add_succ_r.
                                                               - intros a' IH. now rewrite add_succ_1, add_succ_r.
Qed.
                                                               Qed.
```

The examples above are taken from the demo files. We also provide a detailed documentation.

**Deductive Proofs: Proof Mode** We observed three major obstacles that occur regularly when syntactically verifying first-order formulas in a deduction system:

- 1. Proving statements at the level of individual deduction rules by hand is very tedious. This gets even worse as soon as rules require substitutions that need to be handled and simplified.
- 2. While our HOAS input language hides the de Bruijn indices for definitions, during the proof, they are visible and can get confusing, especially for larger formulas.
- 3. It can get challenging to keep track of all the formulas in the context.

Inspired by the Iris proof mode [6], we developed a similar proof mode for first-order logic to alleviate those issues. By calling the tactic fstart a custom goal view is activated, where de Bruijn indices are replaced by named binders and the context is displayed in a Coq like fashion with hypothesis names. Thus, the underlying de Bruijn encoding is completely hidden from the user. We also developed custom tactics like fintro, fapply, or frewrite that behave just like their Coq counterparts, handling all of the substitutions and deduction rules internally. Hypotheses in the context can be specialized, applied to each other and destructed with introduction patterns. Below is a proof of commutativity of addition using the proof mode (on the left) as well as the custom goal view that is visible for the user at point  $\star$  (on the right):

```
Lemma add_comm : PA \vdash \langle \langle \forall' x y, x + y == y + x.

Proof.

fstart. fapply ((ax_induction (\langle \forall Free x, \forall' y, x+y == y+x))).

- fintros "x". frewrite (ax_add_zero x).

frewrite (add_zero_r x). fapply ax_refl.

- fintros "x" "IH" "y". (* * *) frewrite (add_succ_r y x).

frewrite <- ("IH" y). frewrite (ax_add_rec y x).

fapply ax_refl.

(1/1)

PA

"IH" : \forall x0, x[\uparrow] + x0 == x0 + x[\uparrow]

(\sigma x + y == y + \sigma x)
```

```
Qed.
```

We implemented the custom goal view by defining aliases for deduction, list cons and variables with names as extra arguments and printing them using notations. This way, we can control when to show the notations and maintain computational equality with the original goal, such that all deduction rules can still be applied. Due to the modular architecture, the proof mode should also scale to other variants of (first-order) logic. We provide demo files and a manual with all available tactics and further implementation details.

**Acknowledgements** The concrete approach to implement a separate HOAS input language was suggested by Cyril Cohen to Yannick Forster for a deep embedding of the lambda calculus and then adopted to firstorder logic. Although our library on first-order logic does not use Autosubst 2 [9] support anymore, the design in principle still follows earlier versions using this tool.

## References

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