

# Completeness Theorems for First-Order Logic Analysed in Constructive Type Theory

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COMPUTER SCIENCE

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# Is the completeness theorem of FOL algorithmic?

## Gödel 1930, Henkin 1949

Classical completeness proofs

## Veldman 1976

Modified semantics yield fully constructive completeness

## Kreisel 1962

Standard completeness requires Markov's principle

## Herbelin/Ilik 2016

Computational analysis in constructive type theory

# Constructive type theory as a formal meta-logic

## **Reification**

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Deductions  $\vdash \varphi$  can be extracted from meta-level proofs of  $\vDash \varphi$

## **Conservativity**

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Meta-logic and formal object-logic “agree” on first-order formulas

## **Admissibility**

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Particular instances of completeness may be provable

## **Computability**

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Internal notion of computation allows direct analysis

# Main Contributions

Constructive analysis of completeness theorems:

- **Model-theoretic semantics:** uniform analysis of standard, exploding, and minimal semantics of the  $\rightarrow, \forall, \perp$ -fragment; admissibility results
- **Algebraic semantics:** constructive completeness proofs for algebras with explicit atom interpretations based on Scott '08
- **Game semantics:** instantiation of a general isomorphism<sup>1</sup> between winning strategies and deductions to intuitionistic first-order logic; streamlined representation of dialogues as state transition systems

Reusable Coq library for first-order logic hyperlinked with the PDF:  
<https://www.ps.uni-saarland.de/extras/fol-completeness/>

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<sup>1</sup>Sørensen and Urzyczyn '08

# Constructive Type Theory

# Constructive Type Theory

Features as implemented in Coq's type theory:

- Inductive types:  $\mathbb{B}$ ,  $\mathbb{N}$ , lists  $\mathcal{L}(X)$ , vectors  $X^n$ , ...
- Standard type formers:  $X \rightarrow Y$ ,  $X \times Y$ ,  $X + Y$ ,  $\forall x. F x$ ,  $\Sigma x. F x$
- Propositional universe  $\mathbb{P}$  with logical connectives:  $\rightarrow$ ,  $\wedge$ ,  $\vee$ ,  $\forall$ ,  $\exists$

All definable functions are computable!

So standard notions from computability theory can be synthesised:

## Definition

Let  $X$  be a type and  $p : X \rightarrow \mathbb{P}$  be a predicate. We say that  $p$  is

- **decidable** if there is  $f : X \rightarrow \mathbb{B}$  with  $\forall x. p x \leftrightarrow f x = \text{tt}$
- **enumerable** if there is  $f : \mathbb{N} \rightarrow X$  with  $\forall x. p x \leftrightarrow \exists n. f n = \ulcorner x \urcorner$

# Markov's Principle: 2 Versions

"Termination is stable (under double negation)"

For the computation internal to constructive type theory:

$$\text{MP} := \forall f : \mathbb{N} \rightarrow \mathbb{B}. \neg\neg(\exists n. f n = \text{tt}) \rightarrow \exists n. f n = \text{tt}$$

For the cbv. lambda calculus  $L$  as a formal model of computation:<sup>2</sup>

$$\text{MP}_L := \forall s : L. \neg\neg \mathcal{E} s \rightarrow \mathcal{E} s \quad (\mathcal{E} s := \text{"}s \text{ terminates"})$$

- MP implies  $\text{MP}_L$  (since  $\mathcal{E}$  is enumerable)
- MP is independent but admissible in Coq's type theory<sup>3</sup>
- $\text{MP}_L$  is independent but admissible in Coq's type theory

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<sup>2</sup>Plotkin '75, Forster/Smolka '17

<sup>3</sup>Coquand/Manna '17, Pédrot/Tabareau '18

# First-order Logic

# Syntax

Represented as inductive type over signature  $\Sigma$  providing function symbols  $f : \mathcal{F}_\Sigma$  and relation symbols  $P : \mathcal{P}_\Sigma$  with arities  $|f|$  and  $|P|$ :

$$t : \mathbb{T} ::= x \mid f \vec{t} \quad (x : \mathbb{N})$$

$$\varphi, \psi : \mathbb{F} ::= \perp \mid P \vec{t} \mid \varphi \dot{\rightarrow} \psi \mid \varphi \dot{\wedge} \psi \mid \varphi \dot{\vee} \psi \mid \dot{\forall} \varphi \mid \dot{\exists} \varphi$$

We set  $\dot{\rightarrow} \varphi := \varphi \dot{\rightarrow} \perp$  and denote the  $\rightarrow, \forall, \perp$ -fragment by  $\mathbb{F}^*$ .

De Bruijn encoding of quantifiers to avoid naming conflicts:

$$"P x y \rightarrow \forall x. \exists y. P x y" \quad \rightsquigarrow \quad P 7 4 \dot{\rightarrow} \dot{\forall} \dot{\exists} P 1 0$$

The variables 7 and 4 in this example are **free** and variables that do not occur freely are **fresh**. A formula with no free variables is **closed**.

# Deduction Systems

Represented as inductive predicates of the form  $\mathcal{L}(\mathbb{F}) \rightarrow \mathbb{F} \rightarrow \mathbb{P}$ :

$$\frac{\uparrow\Gamma \vdash \varphi}{\Gamma \vdash \dot{\forall}\varphi} \text{ AI} \qquad \frac{\Gamma \vdash \dot{\forall}\varphi}{\Gamma \vdash \varphi[t]} \text{ AE}$$

$$\frac{\Gamma \vdash \varphi[t]}{\Gamma \vdash \dot{\exists}\varphi} \text{ EI} \qquad \frac{\Gamma \vdash \dot{\exists}\varphi \quad \uparrow\Gamma, \varphi \vdash \uparrow\psi}{\Gamma \vdash \psi} \text{ EE}$$

...

Given  $\Gamma$ ,  $\varphi$ , and  $\psi$  one can compute a fresh variable  $x$  such that

- 1**  $\uparrow\Gamma \vdash \varphi$  iff  $\Gamma \vdash \varphi[x]$  and **2**  $\uparrow\Gamma, \varphi \vdash \uparrow\psi$  iff  $\Gamma, \varphi[x] \vdash \psi$ .

**Classical variant**  $\Gamma \vdash_c \varphi$  obtained by adding  $\Gamma \vdash_c ((\varphi \dot{\rightarrow} \psi) \dot{\rightarrow} \varphi) \dot{\rightarrow} \varphi$ .

Natural generalisation to  $\mathcal{T} \vdash \varphi$  for **theories**  $\mathcal{T} : \mathbb{F} \rightarrow \mathbb{P}$ .

# Computational Properties

If  $\Sigma$  is a **data type** (enumerable and discrete), then:

- $\mathbb{T}$  and  $\mathbb{F}$  are data types
- $\Gamma \vdash_c \varphi$  is enumerable
- $\text{MP}_L$  is equivalent to the stability of  $\Gamma \vdash_c \varphi$
- Stability of  $\Gamma \vdash_c \varphi$  is independent but admissible
- $\mathcal{T} \vdash_c \varphi$  for enumerable  $\mathcal{T}$  behaves similarly wrt. MP

Strategic consequences:

- 1 Analysing completeness up to the stability of deduction suffices
- 2 Completeness can be analysed on two levels (MP and  $\text{MP}_L$ )
- 3 Some formulations of completeness may be admissible

# Model-Theoretic Semantics

(Considering enumerable  $\Sigma$  and the  $\mathbb{F}^*$ -fragment)

# Tarski Semantics

A (Tarski) model  $\mathcal{M}$  over a domain  $D$  is a family of functions

$$f^{\mathcal{M}} : D^{|f|} \rightarrow D \qquad P^{\mathcal{M}} : D^{|P|} \rightarrow \mathbb{P}.$$

Assignments  $\rho : \mathbb{N} \rightarrow D$  are extended to term evaluations  $\hat{\rho} : \mathbb{T} \rightarrow D$ .

The relation  $\mathcal{M} \models_{\rho}$  for formulas  $\varphi : \mathbb{F}^*$  is defined recursively by:

$$\begin{aligned} \mathcal{M} \models_{\rho} \perp &:= \perp & \mathcal{M} \models_{\rho} \varphi \dot{\rightarrow} \psi &:= \mathcal{M} \models_{\rho} \varphi \rightarrow \mathcal{M} \models_{\rho} \psi \\ \mathcal{M} \models_{\rho} P \vec{t} &:= P^{\mathcal{M}}(\hat{\rho} \vec{t}) & \mathcal{M} \models_{\rho} \dot{\forall} \varphi &:= \forall a : D. \mathcal{M} \models_{a; \rho} \varphi \end{aligned}$$

$\mathcal{M}$  is called **classical** if  $\mathcal{M} \models ((\varphi \dot{\rightarrow} \psi) \dot{\rightarrow} \varphi) \dot{\rightarrow} \varphi$  for all  $\varphi, \psi : \mathbb{F}^*$ .

We write  $\mathcal{T} \models \varphi$  if  $\mathcal{M} \models_{\rho} \varphi$  for every classical  $\mathcal{M}$  and  $\rho$  with  $\mathcal{M} \models_{\rho} \mathcal{T}$ .

# Tarski Semantics: Standard Completeness

The central model existence theorem has a constructive proof:<sup>4</sup>

## Theorem

*Every consistent (and closed) theory is satisfied in a classical model.*

Model existence yields **quasi-completeness**:  $\mathcal{T} \vDash \varphi$  implies  $\neg\neg(\mathcal{T} \vdash_c \varphi)$

$\Rightarrow$  Single applications of MP and  $MP_L$  yield completeness statements

$\Rightarrow$  These completeness statements are admissible

Leads to the following characterisations:

## Theorem

- *Completeness of  $\Gamma \vdash_c \varphi$  is equivalent to  $MP_L$ .*
- *Completeness of  $\mathcal{T} \vdash_c \varphi$  for enumerable  $\mathcal{T}$  is equivalent to MP.*
- *Completeness of  $\mathcal{T} \vdash_c \varphi$  for arbitrary  $\mathcal{T}$  is equivalent to EM.*

<sup>4</sup>Herbelin/Ilik '16

# Tarski Semantics: Constructive Completeness

Generalise semantics to admit exploding models:<sup>5</sup>

- Extend models with falsity interpretation  $\perp^{\mathcal{M}} : \mathbb{P}$
- $\mathcal{M}$  is **exploding** if  $\mathcal{M} \vDash \perp \rightarrow \varphi$  for all  $\varphi : \mathbb{F}^*$
- $\mathcal{T} \vDash_e \varphi$  if  $\mathcal{M} \vDash_\rho \varphi$  for all exploding classical  $\mathcal{M}$  and  $\rho$  with  $\mathcal{M} \vDash_\rho \mathcal{T}$

Generalised model existence yields constructive completeness:

## Theorem

*For every (closed) theory  $\mathcal{T}$  there is an exploding classical model  $\mathcal{M}$  and an assignment  $\rho$  such that (1)  $\mathcal{M} \vDash_\rho \mathcal{T}$  and (2)  $\mathcal{M} \vDash_\rho \perp$  implies  $\mathcal{T} \vdash_c \perp$ .*

## Corollary

*$\mathcal{T} \vDash_e \varphi$  implies  $\mathcal{T} \vdash_c \varphi$  (for closed  $\mathcal{T}$  and  $\varphi$ ).*

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<sup>5</sup>Veldman '76

# Kripke Semantics (cf. Herbelin/Lee '09)

Analogous properties hold for Kripke entailment  $\Gamma \Vdash \varphi$ .

Universal Kripke models yield completeness as follows:

- $\Gamma \Vdash_e \varphi$  implies  $\Gamma \vdash \varphi$
- $\Gamma \Vdash \varphi$  implies  $\neg\neg(\Gamma \vdash \varphi)$

These in fact hold for a cut-free sequent calculus  $\Gamma \Rightarrow \varphi$ , therefore establishing a normalisation-by-evaluation procedure.

Again, the stability assumptions are necessary, so we conclude:

## Theorem

- *Completeness of  $\Gamma \vdash \varphi$  is equivalent to  $\text{MP}_L$ .*
- *Completeness of  $\mathcal{T} \vdash \varphi$  for enumerable  $\mathcal{T}$  implies  $\text{MP}$ .*
- *Completeness of  $\mathcal{T} \vdash \varphi$  for arbitrary  $\mathcal{T}$  implies  $\text{EM}$ .*

# Algebraic Semantics

# Algebraic Semantics (cf. Scott '08)

Given a complete Heyting algebra  $(\mathcal{H}, \leq, 0, \sqcap, \sqcup, \Rightarrow)$  we extend atom interpretations  $\llbracket P \vec{t} \rrbracket : \mathcal{H}$  to all formulas in  $\mathbb{F}$ :

$$\begin{aligned} \llbracket \perp \rrbracket &:= 0 & \llbracket \varphi \wedge \psi \rrbracket &:= \llbracket \varphi \rrbracket \sqcap \llbracket \psi \rrbracket & \llbracket \forall \varphi \rrbracket &:= \sqcap_t \llbracket \varphi[t] \rrbracket \\ \llbracket \varphi \rightarrow \psi \rrbracket &:= \llbracket \varphi \rrbracket \Rightarrow \llbracket \psi \rrbracket & \llbracket \varphi \vee \psi \rrbracket &:= \llbracket \varphi \rrbracket \sqcup \llbracket \psi \rrbracket & \llbracket \exists \varphi \rrbracket &:= \sqcup_t \llbracket \varphi[t] \rrbracket \end{aligned}$$

A formula  $\varphi$  is **valid** whenever  $x \leq \llbracket \varphi \rrbracket$  for all  $x$  in every  $\mathcal{H}$ .

The Lindenbaum algebra  $\mathcal{L} = (\mathbb{F}, \varphi \vdash \psi, \perp, \wedge, \vee, \rightarrow)$  can be completed via a standard construction which then witnesses  $\llbracket \varphi \rrbracket \equiv \lambda \psi. \psi \vdash \varphi$ , so:

## Theorem

*If  $\varphi$  is valid in every complete Heyting algebra, then  $\vdash \varphi$ .*

This generalises to  $\Gamma \vdash_c \varphi$  and complete Boolean algebras.

# Game Semantics

## Game Semantics (cf. Sørensen/Urzyczyn '08)

We represent intuitionistic E-dialogues parametrised over  $(\mathbb{F}', \mathbb{F}^0, \mathcal{A}, \triangleright, \mathcal{D})$ .

The attacks and defenses for the concrete instance of FOL are as follows:

$$\begin{array}{llll} a_{\perp} \triangleright \perp & \mathcal{D}_{\perp} = \{\} & a_{\rightarrow} \mid \varphi \triangleright \varphi \rightarrow \psi & \mathcal{D}_{\rightarrow} = \{\psi\} \\ a_t \triangleright \forall \varphi & \mathcal{D}_t = \{\varphi[t]\} & a_{\dot{\vee}} \triangleright \varphi \dot{\vee} \psi & \mathcal{D}_{\dot{\vee}} = \{\varphi, \psi\} \\ a_{\exists} \triangleright \exists \varphi & \mathcal{D}_{\exists} = \{\varphi[t] \mid t : \mathbb{T}\} & a_L \triangleright \varphi \wedge \psi & \mathcal{D}_L = \{\varphi\} \end{array}$$

- The player and opponent take turns in manipulating the game state  $(A, a)$  containing the opponent's current admissions  $A$  and attack  $a$
- The player may **defend** against  $a$  or **attack** any formula from  $A$
- The opponent reacts by **attacking** the player's defense or by **defending/countering** against the player's attack
- A state is **winning** if for all allowed player moves every possible opponent reaction leads to a winning state
- A formula is **E-valid** if  $([\varphi], a)$  is winning for all initial attacks  $a$  on  $\varphi$

# Game Semantics (cf. Sørensen and Urzyczyn '08)

A sequent calculus LJD of type  $\mathcal{L}(\mathbb{F}') \rightarrow (\mathbb{F}' \rightarrow \mathbb{P}) \rightarrow \mathbb{P}$  is defined by:

$$\frac{\varphi \in \mathcal{S} \quad \text{justified } \Gamma \varphi \quad \forall a | \psi \triangleright \varphi. \Gamma, \psi \Rightarrow_D \mathcal{D}_a}{\Gamma \Rightarrow_D \mathcal{S}} \text{R}$$

$$\frac{\varphi \in \Gamma \quad \text{justified } \Gamma \psi \quad a | \psi \triangleright \varphi \quad \forall \theta \in \mathcal{D}_a. \Gamma, \theta \Rightarrow_D \mathcal{S} \quad \forall a' | \tau \triangleright \psi. \Gamma, \tau \Rightarrow_D \mathcal{D}_{a'}}{\Gamma \Rightarrow_D \mathcal{S}} \text{L}$$

Winning strategies and LJD derivations are easily shown isomorphic, so:

## Theorem

*Any formula  $\varphi$  is E-valid if and only if one can derive  $[] \Rightarrow_D \{\varphi\}$ .*

The concrete instance of LJD for FOL is equivalent to the standard sequent calculus LJ defined by judgements  $\Gamma \Rightarrow_J \varphi$ :

## Theorem

*Any formula  $\varphi$  is E-valid if and only if one can derive  $[] \Rightarrow_J \varphi$ .*

# Discussion

# What else is in the paper?

- Constructive completeness proofs for minimal logic ( $\rightarrow, \forall$ -fragment)
  - ▶ by a generalised model existence theorem for Tarski semantics
  - ▶ by the same exploding universal model for Kripke semantics
- Completeness of  $\mathcal{T} \vdash_c \varphi$  for formulas with free variables
- Independence of  $\text{MP}_L$  as special case of Pédrot/Tabareau '18:  
 $\text{MP}_L$  with independence of premise rule IP yields a decider for  $\mathcal{E}$
- Reductions establishing the equivalence of  $\text{MP}_L$  and stability of ND:
  - ▶  $\mathcal{E}$  reduces to  $\vdash_c$  (chaining reductions from previous work<sup>6</sup>)
  - ▶  $\vdash$  reduces to  $\mathcal{E}$  (by showing that  $\vdash$  is L-enumerable<sup>7</sup>)
  - ▶  $\vdash_c$  reduces to  $\vdash$  (double negation translation)

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<sup>6</sup>Wuttke '18, Forster et al. '18, and Forster et al. '19.

<sup>7</sup>Using the extraction framework from Forster/Kunze '19.

# Coq Formalisation

<https://www.ps.uni-saarland.de/extras/fol-completeness/>

- About 7500 lines of code
- All main results parametric in the signature  $\Sigma$
- De Bruijn encoding of binders supported by Autosubst<sup>8</sup>
- Usage of type classes, parametric deduction systems, Equations package, special ND tactics, etc. to ease mechanisation
- Part of a growing Coq library of undecidability proofs<sup>9</sup>

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<sup>8</sup><https://www.ps.uni-saarland.de/~kstark/autosubst2/>

<sup>9</sup><https://github.com/uds-psl/coq-library-undecidability>

# Future Work

Extend the completeness library:

- Model-theoretic semantics including  $\forall$  and  $\exists$
- Strong completeness ( $\mathcal{T} \models \varphi \rightarrow \mathcal{T} \vdash \varphi$ ) for more semantics
- Hybrid semantics such as mix of model-theoretic and algebraic parts
- Second-order and higher-order logic

Other related ideas:

- Analyse the (upward) Löwenheim-Skolem theorem
- Study first-order axiom systems (undecidability, incompleteness, ...)
- Implement a proof extraction procedure
- Separate MP from  $MP_L$
- Find an effective model with classical reasoning in  $\mathbb{P}$

# Related Work I

 Walter Felscher.  
Dialogues, strategies, and intuitionistic provability.  
*Annals of pure and applied logic*, 28(3):217–254, 1985.

 Georg Kreisel.  
On weak completeness of intuitionistic predicate logic.  
*The Journal of Symbolic Logic*, 27(2):139–158, 1962.

 Wim Veldman.  
An intuitionistic completeness theorem for intuitionistic predicate logic 1.  
*The Journal of Symbolic Logic*, 41(1):159–166, 1976.

 Danko Ilik.  
*Constructive completeness proofs and delimited control*.  
PhD thesis, Ecole Polytechnique X, 2010.

# Related Work II



Hugo Herbelin and Danko Ilik.

An analysis of the constructive content of Henkins proof of Gödels completeness theorem.

Draft, 2016.



Hugo Herbelin and Gyesik Lee.

Forcing-based cut-elimination for Gentzen-style intuitionistic sequent calculus.

In *International Workshop on Logic, Language, Information, and Computation*, pages 209–217. Springer, 2009.



Victor N. Krivtsov.

Semantical completeness of first-order predicate logic and the weak fan theorem.

*Studia Logica*, 103(3):623–638, 2015.



Yannick Forster and Gert Smolka.

Weak call-by-value lambda calculus as a model of computation in Coq.

In *International Conference on Interactive Theorem Proving*, pages 189–206. Springer, 2017.

## Related Work III

 Yannick Forster, Dominik Kirst, and Gert Smolka.

On synthetic undecidability in Coq, with an application to the Entscheidungsproblem.

In *International Conference on Certified Programs and Proofs*, pages 38–51. ACM, 2019.

 Yannick Forster and Fabian Kunze.

A Certifying Extraction with Time Bounds from Coq to Call-By-Value Lambda Calculus.

In John Harrison, John O’Leary, and Andrew Tolmach, editors, *10th International Conference on Interactive Theorem Proving*, volume 141 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 17:1–17:19, Dagstuhl, Germany, 2019. Schloss Dagstuhl–Leibniz-Zentrum fuer Informatik.

 Yannick Forster, Edith Heiter, and Gert Smolka.

Verification of PCP-related computational reductions in Coq.

In *International Conference on Interactive Theorem Proving*, pages 253–269. Springer, 2018.

# Related Work IV



Morten Heine Sørensen and Pawel Urzyczyn.

Sequent calculus, dialogues, and cut elimination.

*Reflections on Type Theory,  $\lambda$ -Calculus, and the Mind*, pages 253–261, 2007.



Dana Scott.

The algebraic interpretation of quantifiers: Intuitionistic and classical.

In V.W. Marek A. Ehrenfeucht and M. Srebrny, editors, *Andrzej Mostowski and Foundational Studies*. IOS Press, 2008.



Dominik Wehr.

A Constructive Analysis of First-Order Completeness Theorems in Coq, 2019.

Bachelor's thesis, Saarland University.



Maximilian Wuttke.

Verified Programming Of Turing Machines In Coq, 2018.

Bachelor's thesis, Saarland University.

# Details on the Coq Formalisation

Section	Specification	Proofs
Preliminaries Autosubst	169	53
Preliminaries for $\mathbb{F}^*$	680	599
Tarski Semantics	655	682
Kripke Semantics	342	255
On Markov's Principle	593	978
Preliminaries for $\mathbb{F}$	523	430
Heyting Semantics	297	456
Dialogue Semantics	312	488
<b>Total</b>	<b>3571</b>	<b>3941</b>

# Completeness implies stability

## Fact

$$(\forall \Gamma \varphi. \Gamma \vDash \varphi \rightarrow \Gamma \vdash_c \varphi) \rightarrow \forall \Gamma \varphi. (\neg \neg \Gamma \vdash_c \varphi) \rightarrow \Gamma \vdash_c \varphi$$

## Proof.

Assume completeness and let  $\neg \neg(\Gamma \vdash_c \varphi)$ . To conclude  $\Gamma \vdash_c \varphi$  it suffices to show  $\Gamma, \dot{\varphi} \vdash_c \perp$  and by completeness  $\Gamma, \dot{\varphi} \vDash \perp$ . So for  $\mathcal{M} \vDash_\rho \Gamma, \dot{\varphi}$  we have to prove  $\perp$ , meaning that we may use  $\neg \neg(\Gamma \vdash_c \varphi)$  positively as  $\Gamma \vdash_c \varphi$ . But then  $\Gamma \vDash \varphi$  by soundness, contradicting  $\mathcal{M} \vDash_\rho \Gamma, \dot{\varphi}$ .  $\square$

# Tarski Semantics: Model Existence

## Lemma

For every closed theory  $\mathcal{T}$  there is an extension  $\mathcal{T}' \supseteq \mathcal{T}$  s.t.:

- $\mathcal{T}'$  maintains consistency, i.e.  $\mathcal{T} \vdash_c \perp$  whenever  $\mathcal{T}' \vdash_c \perp$ .
- $\mathcal{T}'$  is deductively closed, i.e.  $\varphi \in \mathcal{T}'$  whenever  $\mathcal{T}' \vdash_c \varphi$ .
- $\mathcal{T}'$  respects implication, i.e.  $\varphi \rightarrow \psi \in \mathcal{T}'$  iff  $\varphi \in \mathcal{T}' \rightarrow \psi \in \mathcal{T}'$ .
- $\mathcal{T}'$  respects universal quantification, i.e.  $\forall \varphi \in \mathcal{T}'$  iff  $\forall t. \varphi[t] \in \mathcal{T}'$ .

Extension in two subsequent steps:

1.  $\mathcal{H} := \mathcal{T} \cup \{\varphi_n[n] \rightarrow \forall \varphi_n \mid n : \mathbb{N}\}$  is **Henkin** and maintains consistency
2.  $\Omega \supseteq \mathcal{H}$  which is **maximal**, i.e.  $\varphi \in \Omega$  if  $\Omega, \varphi \vdash_c \perp$  implies  $\Omega \vdash_c \perp$ :

$$\Omega_0 := \mathcal{H} \quad \Omega_{n+1} := \Omega_n \cup \{\varphi_n \mid \Omega_n, \varphi_n \vdash_c \varphi_\perp \rightarrow \Omega_n \vdash_c \varphi_\perp\} \quad \Omega := \bigcup_{n:\mathbb{N}} \Omega_n$$

$\Rightarrow$  If  $\mathcal{T}$  is consistent, then its extension  $\mathcal{T}'$  yields a syntactic model of  $\mathcal{T}$ .

# Tarski Semantics: Model Existence Revisited

## Lemma

For every closed formula  $\varphi_{\perp}$  and closed  $\mathcal{T}$  there is  $\mathcal{T}' \supseteq \mathcal{T}$  s.t.:

- $\mathcal{T}'$  maintains  $\varphi_{\perp}$ -consistency, i.e.  $\mathcal{T} \vdash_c \varphi_{\perp}$  whenever  $\mathcal{T}' \vdash_c \varphi_{\perp}$ .
- $\mathcal{T}'$  is deductively closed, i.e.  $\varphi \in \mathcal{T}'$  whenever  $\mathcal{T}' \vdash_c \varphi$ .
- $\mathcal{T}'$  respects implication, i.e.  $\varphi \dot{\rightarrow} \psi \in \mathcal{T}'$  iff  $\varphi \in \mathcal{T}' \rightarrow \psi \in \mathcal{T}'$ .
- $\mathcal{T}'$  respects universal quantification, i.e.  $\dot{\forall} \varphi \in \mathcal{T}'$  iff  $\forall t. \varphi[t] \in \mathcal{T}'$ .

Extension in three subsequent steps:

0.  $\mathcal{E} \supseteq \mathcal{T}$  which is **exploding**, i.e.  $(\varphi_{\perp} \dot{\rightarrow} \varphi) \in \mathcal{E}$  for all closed  $\varphi$ :

$$\mathcal{E} := \mathcal{T} \cup \{\varphi_{\perp} \dot{\rightarrow} \varphi \mid \varphi \text{ closed}\}$$

1.  $\mathcal{H} \supseteq \mathcal{E}$  which is **Henkin**, i.e.  $(\varphi_n[n] \dot{\rightarrow} \dot{\forall} \varphi_n) \in \mathcal{H}$  for all  $n$ .

2.  $\Omega \supseteq \mathcal{H}$  which is **maximal**, i.e.  $\varphi \in \Omega$  if  $\Omega, \varphi \vdash_c \varphi_{\perp}$  implies  $\Omega \vdash_c \varphi_{\perp}$ .

$\Rightarrow$  If  $\mathcal{T} \not\vdash_c \varphi_{\perp}$ , then its extension  $\mathcal{T}'$  resembles a syntactic model of  $\mathcal{T}$ .

# Kripke Semantics

A **Kripke model**  $\mathcal{K}$  over a domain  $D$  is a preorder  $(\mathcal{W}, \preceq)$  with

$$f^{\mathcal{K}} : D^{|f|} \rightarrow D \quad P^{\mathcal{K}} : \mathcal{W} \rightarrow D^{|P|} \rightarrow \mathbb{P} \quad \perp^{\mathcal{K}} : \mathcal{W} \rightarrow \mathbb{P}$$

where we require  $P_v^{\mathcal{K}} \vec{a} \rightarrow P_w^{\mathcal{K}} \vec{a}$  and  $\perp_v^{\mathcal{K}} \rightarrow \perp_w^{\mathcal{K}}$  whenever  $v \preceq w$ .

Assignments  $\rho$  are extended to formulas  $\mathbb{F}^*$ :

$$\begin{aligned} w \Vdash_{\rho} \dot{\perp} &:= \perp_w^{\mathcal{K}} & w \Vdash_{\rho} \varphi \dot{\rightarrow} \psi &:= \forall v \succeq w. v \Vdash_{\rho} \varphi \rightarrow v \Vdash_{\rho} \psi \\ w \Vdash_{\rho} P \vec{t} &:= P_w^{\mathcal{K}}(\hat{\rho} \vec{t}) & w \Vdash_{\rho} \dot{\forall} \varphi &:= \forall a : D. w \Vdash_{a;\rho} \varphi \end{aligned}$$

$\mathcal{K}$  is **standard** if  $\perp_w^{\mathcal{K}} \rightarrow \perp$  for all  $w$  and **exploding** if  $\mathcal{K} \Vdash \dot{\perp} \dot{\rightarrow} \varphi$  for all  $\varphi$ .

We write  $\mathcal{T} \Vdash \varphi$  if  $\mathcal{K} \Vdash_{\rho} \varphi$  for all standard  $\mathcal{K}$  and  $\rho$  with  $\mathcal{K} \Vdash_{\rho} \mathcal{T}$ , and  $\mathcal{T} \Vdash_e \varphi$  when relaxing to exploding models.

# Kripke Semantics: Semantic Cut-Elimination<sup>10</sup>

Introduce the cut-free sequent calculus LJ<sub>T</sub> with focusing  $\Gamma ; \varphi \Rightarrow \psi$ :

$$\begin{array}{c}
 \frac{}{\Gamma ; \varphi \Rightarrow \varphi} \text{ A} \\
 \frac{\Gamma ; \varphi \Rightarrow \psi \quad \varphi \in \Gamma}{\Gamma \Rightarrow \psi} \text{ C} \\
 \frac{\Gamma \Rightarrow \varphi \quad \Gamma ; \psi \Rightarrow \theta}{\Gamma ; \varphi \dot{\rightarrow} \psi \Rightarrow \theta} \text{ IL} \\
 \frac{\Gamma, \varphi \Rightarrow \psi}{\Gamma \Rightarrow \varphi \dot{\rightarrow} \psi} \text{ IR} \\
 \frac{\Gamma ; \varphi[t] \Rightarrow \psi}{\Gamma ; \dot{\forall} \varphi \Rightarrow \psi} \text{ AL} \\
 \frac{\uparrow \Gamma \Rightarrow \varphi}{\Gamma \Rightarrow \dot{\forall} \varphi} \text{ AR} \\
 \frac{\Gamma \Rightarrow \dot{\perp}}{\Gamma \Rightarrow \varphi} \text{ E}
 \end{array}$$

The following ingredients yield a cut-elimination procedure:

- $\Gamma \vdash \varphi$  implies  $\Gamma \Vdash_e \varphi$  (straightforward by induction)
- $\Gamma \Vdash_e \varphi$  implies  $\Gamma \Rightarrow \varphi$  (exploiting a universal model)
- Proofs  $\Gamma \Rightarrow \varphi$  translate to normal proofs  $\Gamma \vdash \varphi$  (by induction)

<sup>10</sup>Herbelin/Lee '09

# Kripke Semantics: Constructive Completeness

The exploding model  $\mathcal{U}$  over the domain  $\mathbb{T}$  of terms is defined on the type of contexts  $\Gamma$  preordered by inclusion  $\subseteq$ . Further, we set:

$$f^{\mathcal{U}} \vec{d} := f \vec{d} \qquad P_{\Gamma}^{\mathcal{U}} \vec{d} := \Gamma \Rightarrow P \vec{d} \qquad \perp_{\Gamma}^{\mathcal{U}} := \Gamma \Rightarrow \perp$$

We verify  $\mathcal{U}$  following the normalisation-by-evaluation structure.<sup>11</sup>

## Lemma

*In the universal Kripke model  $\mathcal{U}$  the following hold.*

- 1  $\Gamma \Vdash_{\sigma} \varphi \rightarrow \Gamma \Rightarrow \varphi[\sigma]$
- 2  $(\forall \Gamma' \psi. \Gamma \subseteq \Gamma' \rightarrow \Gamma' \Rightarrow \psi \rightarrow \Gamma \Rightarrow \psi) \rightarrow \Gamma \Vdash_{\sigma} \varphi$

## Corollary

$\Gamma \Vdash_e \varphi$  implies  $\Gamma \Rightarrow \varphi$ .

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<sup>11</sup>Berger/Schwichtenberg '91

# Kripke Semantics: Standard Completeness

The standard model  $\mathcal{C}$  over the domain  $\mathbb{T}$  of terms is defined on the type of consistent contexts  $\Gamma \not\vdash \perp$  preordered by inclusion  $\subseteq$ . Further, we set:

$$f^{\mathcal{C}} \vec{d} := f \vec{d} \qquad P_f^{\mathcal{C}} \vec{d} := \neg\neg(\Gamma \Rightarrow P \vec{d}) \qquad \perp_f^{\mathcal{C}} := \perp$$

## Lemma

*In the universal Kripke model  $\mathcal{C}$  the following hold.*

- 1  $\Gamma \Vdash_{\sigma} \varphi \rightarrow \neg\neg(\Gamma \Rightarrow \varphi[\sigma])$
- 2  $(\forall \Gamma' \psi. \Gamma \subseteq \Gamma' \rightarrow \Gamma' ; \varphi[\sigma] \Rightarrow \psi \rightarrow \neg\neg(\Gamma' \Rightarrow \psi)) \rightarrow \Gamma \Vdash_{\sigma} \varphi$

## Corollary

$\Gamma \Vdash \varphi$  *implies*  $\neg\neg(\Gamma \Rightarrow \varphi)$ .

As for  $\Gamma \vdash_c \varphi$ , completeness of  $\Gamma \vdash \varphi$  is admissible and equivalent to  $\text{MP}_{\perp}$ !