

# Mechanised Constructive Reverse Mathematics

Completeness, Löwenheim-Skolem Theorem, Post's Problem

Dominik Kirst

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# Constructive Reverse Mathematics

Classical reverse mathematics studies classically detectable equivalences:

- Which theorems are equivalent to the axiom of choice or similar principles?
- Which theorems are equivalent to which comprehension principles?
- Many more, see Friedman (1976) and Simpson (2009)

Constructive reverse mathematics studies constructively detectable equivalences:

- Which theorems are equivalent to excluded middle (LEM) or weaker principles?
- Which theorems are equivalent to which specific formulation of the axiom of choice?
- Many more, see Ishihara (2006) and Diener (2018)

Characterises the computational content of analysed theorems

## Some Typical Principles

Fragments of the excluded middle:

$$\text{LEM} := \forall P : \mathbb{P}. P \vee \neg P$$

$$\text{LPO} := \forall f : \mathbb{N} \rightarrow \mathbb{B}. (\exists n. f n = \text{true}) \vee (\forall n. f n = \text{false})$$

$$\text{MP} := \forall f : \mathbb{N} \rightarrow \mathbb{B}. \neg \neg (\exists n. f n = \text{true}) \rightarrow (\exists n. f n = \text{true})$$

Fragments of the axioms of choice:

$$\text{AC} := \forall A B. \forall R : A \rightarrow B \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : A \rightarrow B. \forall x. R x (f x)$$

$$\text{DC} := \forall A. \text{inhab}(A) \rightarrow \forall R : A \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. R (f n) (f (n + 1))$$

$$\text{CC} := \forall A. \forall R : \mathbb{N} \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. R n (f n)$$

To unveil fine distinctions, we use CIC as a modest base system

## Some Typical Connections

- LEM: Every non-empty set of numbers has a minimum
- LPO: Every sequence in a compact set has a convergent subsequence
- MP: Every bi-enumerable set of numbers is decidable
- AC: Every set can be well-ordered
- DC: Every partial order without infinite descending chains is well-founded
- CC: Every sequentially continuous real-valued function is continuous

Often very subtle, we use Coq to systematically track dependencies

# Example 1: Completeness

(jww. Yannick Forster, Christian Hagemeyer, Hugo Herbelin, Ian Shillito, Dominik Wehr)

# Analysing Completeness Theorems in Constructive Meta-Theory

Does  $\mathcal{T} \vDash \varphi$  imply  $\mathcal{T} \vdash \varphi$  constructively?

Confusing situation in the literature on first-order logic:

- Completeness equivalent to Boolean Prime Ideal Theorem (Henkin, 1954)
- Completeness requires Markov's Principle (Kreisel, 1962)
- Completeness equivalent to Weak König's Lemma (Simpson, 2009)
- Completeness equivalent to Weak Fan Theorem (Krivtsov, 2015)
- Completeness holds fully constructively (Krivine, 1996)

# Working Towards an Explanation

There are multiple dimensions at play:

- Syntax fragment (e.g., propositional, minimal, negative, full)
- Complexity of the context (e.g., finite, decidable, enumerable, arbitrary)
- Cardinality of the signature (e.g., countable, uncountable)
- Representation of the semantics (e.g., Boolean, decidable, propositional)

Ongoing systematic investigation:

- Started by Herbelin and Ilik (2016) and Forster, Kirst, and Wehr (2021)
- New observations by Hagemeyer and Kirst (2022) and Kirst (2022)
- Comprehensive overview of current landscape by Herbelin (2022)
- Today: syntactic disjunction, arbitrary contexts, countable signature, prop. semantics

# Classical Outline for Intuitionistic Propositional Logic

Employing prime theories ( $\varphi \vee \psi \in \mathcal{T} \rightarrow \varphi \in \mathcal{T} \vee \psi \in \mathcal{T}$ ):

- Lindenbaum Extension: if  $\mathcal{T} \not\vdash \varphi$  then there is prime  $\mathcal{T}'$  with  $\mathcal{T}' \not\vdash \varphi$
- Universal Model  $\mathcal{U}$ : consistent prime theories related by inclusion
- Truth Lemma for  $\mathcal{T}$  in  $\mathcal{U}$ :  $\varphi \in \mathcal{T} \iff \mathcal{T} \Vdash \varphi$
- Model Existence: if  $\mathcal{T} \not\vdash \varphi$  then there is  $\mathcal{M}$  with  $\mathcal{M} \Vdash \mathcal{T}$  and  $\mathcal{M} \not\vdash \varphi$
- Quasi Completeness: if  $\mathcal{T} \Vdash \varphi$  then  $\neg\neg(\mathcal{T} \vdash \varphi)$
- Completeness: if  $\mathcal{T} \Vdash \varphi$  then  $\mathcal{T} \vdash \varphi$



# Constructive Completeness Proof?

For  $\mathcal{T}$  **quasi-prime** ( $\varphi \vee \psi \in \mathcal{T} \rightarrow \neg\neg(\varphi \in \mathcal{T} \vee \psi \in \mathcal{T})$ ) and **stable** ( $\neg\neg(\varphi \in \mathcal{T}) \rightarrow \varphi \in \mathcal{T}$ ):

- Lindenbaum Extension: if  $\mathcal{T} \not\vdash \varphi$  then there is **stable quasi-prime**  $\mathcal{T}'$  with  $\mathcal{T}' \not\vdash \varphi$
- Universal Model: consistent **stable quasi-prime** theories related by inclusion
- Truth Lemma: **fails for disjunction**
- Model Existence: **fails**
- Quasi Completeness: **fails**
- Completeness: needs MP/LEM depending on theory complexity and syntax fragment

# The Issue with Disjunction

Truth Lemma case for disjunctions  $\varphi \vee \psi$ :

$$\begin{aligned}\varphi \vee \psi \in \mathcal{T} &\stackrel{?}{\iff} \mathcal{T} \Vdash \varphi \vee \psi \\ &\stackrel{\text{def}}{\iff} \mathcal{T} \Vdash \varphi \vee \mathcal{T} \Vdash \psi \\ &\stackrel{IH}{\iff} \varphi \in \mathcal{T} \vee \psi \in \mathcal{T}\end{aligned}$$

- So we really need prime theories to interpret disjunctions
- Primeness from Lindenbaum Extension is constructive no-go

# Quasi Completeness via WLEM

Weak law of excluded middle WLEM :=  $\forall P : \mathbb{P}. \neg P \vee \neg\neg P$

## Lemma

Assuming WLEM, every *stable quasi-prime* theory is *prime*.

## Proof.

Assume  $\varphi \vee \psi \in \mathcal{T}$ . Using WLEM, decide whether  $\neg(\varphi \in \mathcal{T})$  or  $\neg\neg(\varphi \in \mathcal{T})$ . In the latter case, conclude  $\varphi \in \mathcal{T}$  directly by stability. In the former case, derive  $\psi \in \mathcal{T}$  using stability, since assuming  $\neg(\psi \in \mathcal{T})$  on top of  $\neg(\varphi \in \mathcal{T})$  contradicts quasi-primeness for  $\varphi \vee \psi \in \mathcal{T}$ .  $\square$

Classical proof outline works again up to Quasi Completeness!

# Backwards Analysis

Is that the best we can get?

Fact

*Model Existence implies WLEM.*

Proof.

Given  $P$ , use model existence on  $\mathcal{T} := \{x_0 \vee \neg x_0\} \cup \{x_0 \mid P\} \cup \{\neg x_0 \mid \neg P\}$ . We have  $\mathcal{T} \not\vdash \perp$  so if  $\mathcal{M} \models \mathcal{T}$ , then either  $\mathcal{M} \models x_0$  or  $\mathcal{M} \models \neg x_0$ , so either  $\neg\neg P$  or  $\neg P$ , respectively.  $\square$

Fact

*Quasi Completeness implies the following principle:  $\forall p : \mathbb{N} \rightarrow \mathbb{P}. \neg\neg(\forall n. \neg p n \vee \neg\neg p n)$*

Proof.

Using similar tricks for  $\mathcal{T} := \{x_n \vee \neg x_n\} \cup \{x_n \mid p n\} \cup \{\neg x_n \mid \neg p n\}$ .  $\square$

Obvious consequence both from WLEM and DNS, maybe enough for Quasi Completeness?

# Countable Weak Excluded-Middle Shift<sup>1</sup>

$$\begin{aligned} \text{WLEMS}_{\mathbb{N}} &:= \forall p : \mathbb{N} \rightarrow \mathbb{P}. (\forall n. \neg(\neg p n \vee \neg\neg p n)) \rightarrow \neg(\forall n. \neg p n \vee \neg\neg p n) \\ &\Leftrightarrow \forall pq : \mathbb{N} \rightarrow \mathbb{P}. (\forall n. \neg(\neg p n \vee \neg q n)) \rightarrow \neg(\forall n. \neg p n \vee \neg q n) \end{aligned}$$

## Lemma

Assuming  $\text{WLEMS}_{\mathbb{N}}$ , every *stable quasi-prime* theory is *not not prime*.

## Proof.

Assume  $\mathcal{T}$  not prime and derive a contradiction. Given the negative goal, from  $\text{WLEMS}_{\mathbb{N}}$  we obtain  $\forall \varphi. \neg(\varphi \in \mathcal{T}) \vee \neg\neg(\varphi \in \mathcal{T})$ . This yields exactly the instances of WLEM needed to derive that  $\mathcal{T}$  is prime, contradiction. □

Already this lemma turns out to be enough for Quasi Completeness!

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<sup>1</sup>Mentioned in systematic study by Umezawa (1959) but absent from the literature otherwise

# Quasi Completeness via $WLEMS_{\mathbb{N}}$

Refined proof outline using  $WLEMS_{\mathbb{N}}$ :

- Lindenbaum Extension: if  $\mathcal{T} \not\vdash \varphi$  then there is **stable not not prime**  $\mathcal{T}'$  with  $\mathcal{T}' \not\vdash \varphi$
- Universal Model  $\mathcal{U}$ : consistent **stable prime** theories related by inclusion
- Truth Lemma for  $\mathcal{T}$  in  $\mathcal{U}$ :  $\varphi \in \mathcal{T} \iff \mathcal{T} \Vdash \varphi$
- **Quasi** Model Existence: if  $\mathcal{T} \not\vdash \varphi$  then there **not not** is  $\mathcal{M}$  with  $\mathcal{M} \Vdash \mathcal{T}$  and  $\mathcal{M} \not\vdash \varphi$
- Quasi Completeness: if  $\mathcal{T} \Vdash \varphi$  then  $\neg\neg(\mathcal{T} \vdash \varphi)$
- Completeness: needs MP/LEM depending on theory complexity and syntax fragment

# Consequences and Ongoing Work

## Consequences:

- WLEM and Model Existence are equivalent
- $WLEMS_{\mathbb{N}}$ , Quasi Model Existence, and Quasi Completeness are equivalent
- Completeness for enumerable  $\mathcal{T}$  follows from  $WLEMS_{\mathbb{N}} + MP$

## Generalisation:

- Classical propositional logic
- Classical first-order logic, maybe intuitionistic first-order logic
- Classical and intuitionistic modal logics
- Bi-intuitionistic logic (depending on exclusion semantics)

# Example 2: Löwenheim-Skolem Theorems

(jww. Haoyi Zeng)



# The Downwards Löwenheim-Skolem Theorem<sup>2</sup>

## Definition (Elementary Submodels)

Given first-order models  $\mathcal{M}$  and  $\mathcal{N}$ , we call  $h : \mathcal{M} \rightarrow \mathcal{N}$  an **elementary embedding** if

$$\forall \rho : \mathbb{N} \rightarrow \mathcal{M}. \forall \varphi. \mathcal{M} \models_{\rho} \varphi \leftrightarrow \mathcal{N} \models_{h \circ \rho} \varphi.$$

If such an elementary embedding  $h$  exists, we call  $\mathcal{M}$  an **elementary submodel** of  $\mathcal{N}$ .

## Theorem (DLS)

*Every model has a countable elementary submodel.*

What is the constructive status of the DLS theorem?

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<sup>2</sup>Löwenheim (1915); Skolem (1920)

# Classical Reverse Mathematics of DLS<sup>3</sup>

$$DC_A := \forall R : A \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. R(f\ n)(f\ (n+1))$$

$$CC_A := \forall R : \mathbb{N} \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. R\ n\ (f\ n)$$

## Theorem

*The DLS theorem is equivalent to DC.*

## Sketch.

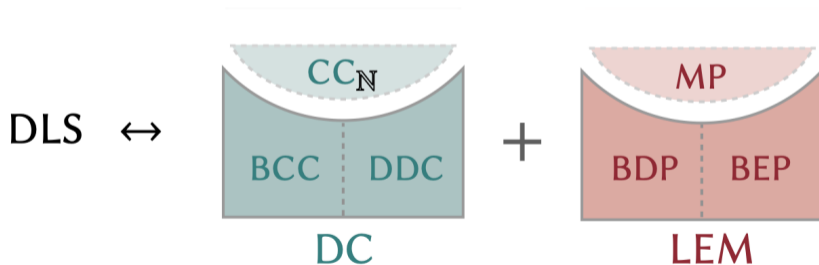
- To prove DLS from DC, arrange the iterative construction such that a single application of DC yields a path through all possible extensions that induces the resulting submodel.
- Starting with a total relation  $R : A \rightarrow A \rightarrow \mathbb{P}$ , consider  $(A, R)$  a model. Applying DLS, obtain an elementary submodel  $(\mathbb{N}, R')$  so in particular  $R'$  is still total. Apply  $CC_{\mathbb{N}}$  to obtain a choice function for  $R'$  that is reflected back to  $A$  as a path through  $R$ . □

<sup>3</sup>Boolos et al. (2002); Espíndola (2012); Karagila (2014)

# Constructive Reverse Mathematics of DLS?

Over a base theory like the Calculus of Inductive Constructions of the Coq Proof Assistant:

- 1 Does the DLS theorem still follow from DC alone or is there some contribution of LEM?
- 2 Does the DLS theorem still imply DC or is there some contribution of CC?



# DLS using Henkin Environments

## Definition (Henkin Environment)

Given a model  $\mathcal{M}$ , we call  $\rho : \mathbb{N} \rightarrow \mathcal{M}$  a **Henkin environment** if for all  $\varphi$ :

$$\begin{aligned}\exists n. \mathcal{M} \vDash_{\rho} \varphi[\rho n] &\rightarrow \mathcal{M} \vDash_{\rho} \dot{\forall} \varphi \\ \exists n. \mathcal{M} \vDash_{\rho} \dot{\exists} \varphi &\rightarrow \mathcal{M} \vDash_{\rho} \varphi[\rho n]\end{aligned}$$

## Lemma

*Every model with a Henkin environment has a countable elementary submodel.*

## Proof.

Given a model  $\mathcal{M}$  and a Henkin environment  $\rho$ , we obtain a countable elementary submodel as the syntactic model  $\mathcal{N}$  constructed over the domain  $\mathbb{T}$  of terms by setting

$$f^{\mathcal{N}} \vec{t} := f \vec{t} \quad \text{and} \quad P^{\mathcal{N}} \vec{t} := P^{\mathcal{M}}(\hat{\rho} \vec{t}). \quad \square$$

# The Drinker Paradox

In every bar, one can identify a person such that,  
if they drink, then the whole bar drinks

$$DP_A := \forall P : A \rightarrow \mathbb{P}. \exists x. P x \rightarrow \forall y. P y$$

$$EP_A := \forall P : A \rightarrow \mathbb{P}. \exists x. (\exists y. P y) \rightarrow P x$$

Fact (contrasting Warren and Diener (2018))

*DP and EP are equivalent to LEM.*

Proof.

To derive LEM from DP, given  $p : \mathbb{P}$  use DP for  $A := \{b : \mathbb{B} \mid b = \text{false} \vee (p \vee \neg p)\}$  and  $P : A \rightarrow \mathbb{P}$  defined by  $P(\text{true}, \_) := \neg p$  and  $P(\text{false}, \_) := \top$ . □

# DLS assuming DC and LEM

## Theorem

*Assuming DC and LEM, the DLS theorem holds.*

## Proof.

Construct a Henkin environment in three steps:

- 1 Given some environment  $\rho$ , we know by **DP** and **EP** that, relative to  $\rho$ , Henkin witnesses for all formulas exist.
- 2 Applying **CC** we can simultaneously choose from these witnesses at once and therefore extend to some environment  $\rho'$ .
- 3 This describes a total relation on environments, through which **DC** yields a path that can be merged into a single environment, and that then must be Henkin.  $\square$

# Reverse Analysis

## Theorem

*Assuming  $CC_{\mathbb{N}}$ , the DLS theorem implies DC.*

## Proof.

Following the outline from the beginning, using the assumption of  $CC_{\mathbb{N}}$  to obtain a choice function in the countable elementary submodel. □

So over  $CC_{\mathbb{N}}$  and LEM, the DLS theorem is equivalent to DC.

# DLS using Blurred Henkin Environments

## Definition (Henkin Environment)

Given a model  $\mathcal{M}$ , we call  $\rho : \mathbb{N} \rightarrow \mathcal{M}$  a **blurred Henkin environment** if for all  $\varphi$ :

$$\begin{aligned}(\forall n. \mathcal{M} \vDash_{\rho} \varphi[\rho n]) &\rightarrow \mathcal{M} \vDash_{\rho} \dot{\forall} \varphi \\ \mathcal{M} \vDash_{\rho} \dot{\exists} \varphi &\rightarrow (\exists n. \mathcal{M} \vDash_{\rho} \varphi[\rho n])\end{aligned}$$

## Lemma

*Every model with a blurred Henkin environment has a countable elementary submodel.*

## Proof.

Given a model  $\mathcal{M}$  and a blurred Henkin environment  $\rho$ , we obtain a countable elementary submodel as the same syntactic model  $\mathcal{N}$  constructed over the domain  $\mathbb{T}$  from before.  $\square$



# The Blurred Drinker Paradox (BDP)

In every bar, there is an at most countable group such that,  
if all of them drink, the the whole bar drinks

$$\text{BDP}_A := \forall P : A \rightarrow \mathbb{P}. \exists f : \mathbb{N} \rightarrow A. (\forall n. P (f n)) \rightarrow \forall x. P x$$

$$\text{BEP}_A := \forall P : A \rightarrow \mathbb{P}. \exists f : \mathbb{N} \rightarrow A. (\exists x. P x) \rightarrow \exists n. P (f n)$$

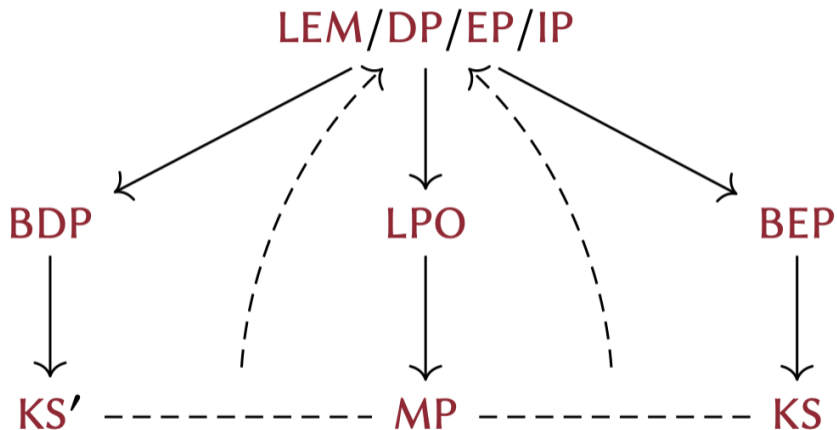
## Fact

LEM decomposes into  $\text{BDP} + \text{DP}_{\mathbb{N}}$  and even  $\text{BDP} + \text{MP}$ , similarly for BEP.

## Proof.

The first decomposition is trivial. The latter follows since BDP implies Kripke's schema (KS) which is known to imply LEM in connection to MP.  $\square$

# Classification of BDP



# DLS assuming DC and BDP

## Theorem

*Assuming DC and BDP/BEP, the DLS theorem holds.*

## Proof.

Construct a blurred Henkin environment in three steps:

- 1** Given some environment  $\rho$ , we know by **BDP/BEP** that, relative to  $\rho$ , blurred Henkin witnesses for all formulas exist.
- 2** Applying **CC** we can simultaneously choose from these witnesses at once and therefore extend to some environment  $\rho'$ .
- 3** This describes a total relation on environments through which **DC** yields a path, that can be merged into a single environment, and that then must be blurred Henkin.  $\square$

# Reverse Analysis

## Theorem

*The DLS theorem implies BDP and BEP.*

## Proof.

Using the same pattern as in the previous analysis, basically DLS reduces BDP to the trivially provable  $\text{BDP}_{\mathbb{N}}$ , respectively BEP to the trivially provable  $\text{BEP}_{\mathbb{N}}$ .  $\square$

So over  $\text{CC}_{\mathbb{N}}$ , the DLS theorem decomposes into  $\text{DC} + \text{BDP} + \text{BEP}$ .

## Blurred Choice Axioms

$$\text{BCC}_A := \forall R : \mathbb{N} \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. \exists m. R n (f m)$$

$$\text{DDC}_A := \forall R : A \rightarrow A \rightarrow \mathbb{P}. \text{dir}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \text{dir}(R \circ f)$$

### Lemma

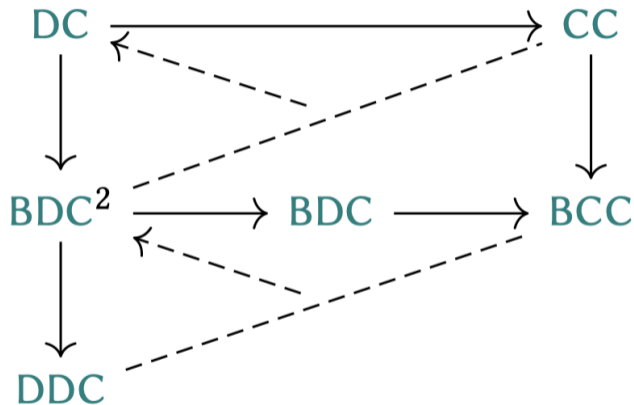
*CC decomposes into  $\text{BCC} + \text{CC}_{\mathbb{N}}$  and DC decomposes into  $\text{DDC} + \text{CC}$ .*

$$\text{BDC}_A^2 := \forall R : A^2 \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \text{tot}(R \circ f)$$

### Lemma

*$\text{BDC}^2$  decomposes into  $\text{BCC} + \text{DDC}$ .*

# Classification of Blurred Choice Axioms



# DLS assuming BDC and BDP

## Theorem

*Assuming BDC<sup>2</sup> and BDP/BEP, the DLS theorem holds.*

## Proof.

Construct a blurred Henkin environment in three steps:

- 1 Given some environment  $\rho$ , we know by BDP/BEP that, relative to  $\rho$ , blurred Henkin witnesses for all formulas exist.
- 2 Applying BCC we can simultaneously choose from these witnesses at once and therefore extend to some environment  $\rho'$ .
- 3 This describes a directed relation on environments, through which DDC yields a path that can be merged into a single environment, and that then must be blurred Henkin.  $\square$

# Reverse Analysis

## Theorem

*The DLS theorem implies  $BDC^2$  and therefore also BCC and DDC.*

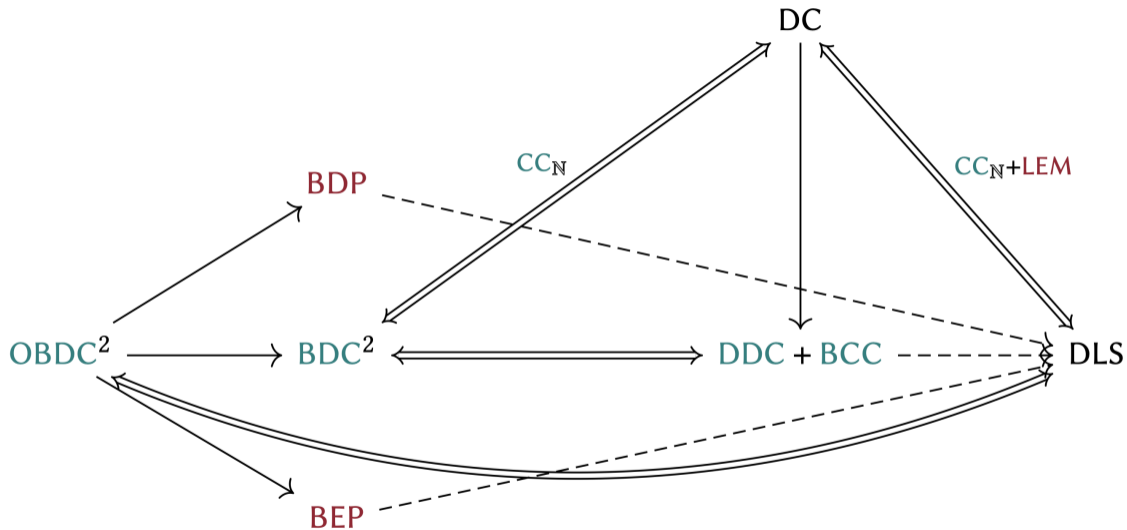
## Proof.

Using the same pattern as in the previous analyses. □

So the DLS theorem decomposes into  $BDC^2 + BDP + BEP$ .



# Overview



# Ongoing Work

- What happens with uncountable cardinalities?
  - ▶ Weaker forms of blurred drinker paradoxes, stronger forms of blurred choice principles
- Are the blurred principles weaker than the original?
  - ▶ We expect  $\text{BDP} \not\rightarrow \text{LEM}$ ,  $\text{BCC} \not\rightarrow \text{CC}$ , and  $\text{DDC} \not\rightarrow \text{BCC}$
- What is the constructive status of the upwards Löwenheim-Skolem theorem?
  - ▶ Usual proof strategy uses compactness which is as non-constructive as completeness

# Example 3: Post's Problem

(jww. Yannick Forster, Niklas Mück, Takako Nemoto, Haoyi Zeng)

# Synthetic Computability<sup>4</sup>

Exploit that in constructive foundations, every definable function is computable:

$P : X \rightarrow \mathbb{P}$  is **decidable**  $:= \exists d : X \rightarrow \mathbb{B}. \forall x. P x \leftrightarrow d x = \text{true}$

$P : X \rightarrow \mathbb{P}$  is **semi-decidable**  $:= \exists s : X \rightarrow \mathbb{N} \rightarrow \mathbb{B}. \forall x. P x \leftrightarrow (\exists n. s x n = \text{true})$

## Pros:

- Avoid manipulating Turing machines or equivalent model of computation
- Elegant formalisation (e.g. in CIC), feasible mechanisation (e.g. in Coq)

## Cons:

- Finding a correct synthetic rendering of Turing reductions not so straightforward
- Some attempts: Bauer (2021); Forster (2021); Forster and Kirst (2022); Mück (2022)

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<sup>4</sup>Richman (1983); Bauer (2006); Forster, Kirst and Smolka (2019)

# Synthetic Oracle Computability

## Definition (Forster, Kirst and Mück (2023))

An **oracle computation** is a functional  $F: (Q \rightarrow A \rightarrow \mathbb{P}) \rightarrow I \rightarrow O \rightarrow \mathbb{P}$  captured by a computation tree  $\tau: I \rightarrow A^* \rightarrow Q + O$  and its induced interrogation relation  $\tau i; R \vdash qs; as$  as follows:

$$\frac{}{\sigma; R \vdash []; []} \qquad \frac{\sigma; R \vdash qs; as \quad \sigma as \triangleright ask \ q \quad Rqa}{\sigma; R \vdash qs \# [q]; as \# [a]}$$

$$FRiO \leftrightarrow \exists qs \ as. \tau i; R \vdash qs; as \wedge \tau i \ as \triangleright out \ o$$

$P \preceq_T Q :=$  there is an oracle computation  $F: (\mathbb{N} \rightarrow \mathbb{B} \rightarrow \mathbb{P}) \rightarrow \mathbb{N} \rightarrow \mathbb{B} \rightarrow \mathbb{P}$  with  $F Q = P$

$\mathcal{S}_Q(P) :=$  there is an oracle computation  $F: (\mathbb{N} \rightarrow \mathbb{B} \rightarrow \mathbb{P}) \rightarrow \mathbb{N} \rightarrow \mathbb{1} \rightarrow \mathbb{P}$  with  $\text{dom}(F Q) = P$

# Enumerating Oracle Computations

We need an enumeration of oracle computations for diagonalisations / Turing jump...

For consistency (with LEM), we start from a standard axiom (Kreisel (1965); Forster (2021)):

$$\text{EPF} := \exists \theta : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N}). \forall f : \mathbb{N} \rightarrow \mathbb{N}. \exists e : \mathbb{N}. \forall x v. \theta_e x \downarrow v \leftrightarrow f x \downarrow v$$

## Theorem (Forster, Kirst and Mück (2024))

*There is an enumerator of functionals  $\Phi : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{B} \rightarrow \mathbb{P}) \rightarrow \mathbb{N} \rightarrow \mathbb{B} \rightarrow \mathbb{P}$  such that*

- 1  $\Phi_e$  is an oracle computation for all  $e : \mathbb{N}$
- 2 Given an oracle computation  $F$  there is  $e : \mathbb{N}$  such that  $\forall R x b. \Phi_e^R(x) \downarrow b \leftrightarrow F R x b$
- 3 The *Turing jump*  $P' x := \Phi_x^P(x) \downarrow \text{true}$  of  $P$  is strictly harder than  $P$
- 4 The *halting problem*  $H := \emptyset'$  is undecidable

# Post's Problem

Is there a semi-decidable yet undecidable set  $S$  with  $H \not\leq_T S$ ?

- Left as an open problem by Post (1944)
- Positive solution by Friedberg (1957) and Muchnik (1956)
- Low simple set construction by Lerman and Soare (1980)
- Synthetic proof mechanised in Coq by Zeng et al. (2024), relying on  $\Sigma_2$ -LEM
- Analytic proof given by Nemoto (2024), relying only on  $\Sigma_1$ -LEM / LPO
- Combination yields a synthetic and mechanised proof using LPO

# Low Simple Sets and Limit Computability

Definition (Lerman and Soare (1980) and Post (1944))

$P : X \rightarrow \mathbb{P}$  is **low** if  $P' \preceq_T H$  and **simple** if it is co-infinite, semi-decidable, and for  $W_e$  being the  $e$ -th enumerable set we have  $W_e \cap P \neq \emptyset$  whenever  $W_e$  is infinite.

$\Rightarrow$  Every low simple set is a solution to Post's problem!

Definition (Shoenfield (1959) and Gold (1965))

$P : X \rightarrow \mathbb{P}$  is **limit-computable** if there exists a function  $f : X \rightarrow \mathbb{N} \rightarrow \mathbb{B}$  with

$$Px \leftrightarrow \exists n. \forall m > n. f(x, m) = \text{true} \quad \wedge \quad \neg Px \leftrightarrow \exists n. \forall m > n. f(x, m) = \text{false}.$$

$\Rightarrow$  Limit-computability provides easy way to prove lowness...



# Limit Lemma

## Lemma (1)

If  $\mathcal{S}_Q(P)$  and  $\mathcal{S}_Q(\overline{P})$  then  $P \preceq_T Q$ .

## Lemma (2)

Assuming  $\Sigma_n$ -LEM, if  $P$  is  $\Sigma_{n+1}$  and  $Q$  is  $\Sigma_n$ , then  $\mathcal{S}_Q(P)$ .

## Lemma (Limit Lemma)

Assuming LPO, if  $P$  is limit computable, then  $P \preceq_T H$ .

## Proof.

If  $P$  is limit computable, then immediately by definition both  $P$  and  $\overline{P}$  are  $\Sigma_2$ . Moreover, since the halting problem  $H$  is  $\Sigma_1$ , Lemma 2 together with LPO yields both  $\mathcal{S}_H(P)$  and  $\mathcal{S}_H(\overline{P})$ .

From there we conclude  $P \preceq_T H$  with Lemma 1. □

# The Priority Method

Fix step function  $\gamma : \mathbb{N}^* \rightarrow \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{P}$ , approximate  $S$  inductively:

$$\overline{0 \rightsquigarrow []} \quad \frac{n \rightsquigarrow L \quad \gamma_n^L x}{n+1 \rightsquigarrow x :: L} \quad \frac{n \rightsquigarrow L \quad \forall x. \neg \gamma_n^L x}{n+1 \rightsquigarrow L}$$

Depending on properties of  $\gamma$  we obtain for  $Sx := \exists n, L. n \rightsquigarrow L \wedge x \in L$  that:

- $\gamma$  is computable  $\Rightarrow S$  is semi-decidable
- $S$  satisfies  $P_e := W_e$  is infinite  $\rightarrow W_e \cap S \neq \emptyset \Rightarrow S$  is simple
- $S$  satisfies  $N_e := (\exists^\infty n. \Phi_e^S(e)[n] \downarrow) \rightarrow \Phi_e^S(e) \downarrow \Rightarrow S'$  is limit computable (using LPO)

# Wall Functions

## Definition

The use function  $U_e^P(x)$  approximates the continuity information of the oracle computation  $\Phi_e^P(x)$  in a step-indexed way.

Define suitable  $\gamma$  again relative to a wall function  $\omega$  of same type:

- $\omega_n^L(e) \geq 2 \cdot e \Rightarrow S$  satisfies the requirements  $P_e$
- $\omega_n^L(e) \geq \max_{e' \leq e} U_{e'}^L(e')[n] \Rightarrow S$  satisfies the requirements  $N_e$  (using LPO)

## Theorem

*Assuming LPO, a low simple set exists.*

## Proof.

Choose the wall function  $\omega := \max(2 \cdot e, \max_{e' \leq e} U_{e'}^L(e')[n])$ . □

# Ongoing Work

## Reverse analysis:

- LPO needed for limit lemma?
- LPO needed to show that  $S'$  is limit computable?
- LPO needed to construct a low simple set?

## Generalisation:

- Friedberg-Muchnik theorem
- Low basis theorem
- Connections to true second-order arithmetic

# Conclusion

# Topics we can discuss

- Constructive reverse mathematics
  - ▶ Analyse more theorems, identify robust base systems...
- Synthetic computability theory
  - ▶ Translate more theorems, analyse constellations of axioms...
- Development of Coq libraries
  - ▶ Extend library of first-order logic, implement more tool support...
- Models of constructive type theory
  - ▶ Study effectful realisability models, establish consistency of CIC+CT+LEM...
- Formalised numerical analysis
  - ▶ Mechanise singular Euler-Maclaurin expansion, explore use of proof assistants in physics...

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