# Synthetic Incompleteness and Undecidability of Second-Order Logic

First Bachelor Seminar Talk

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Many first-order undecidability results formalized in the library of undecidability proofs [Forster et al., 2020]. For example:

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Satisfiability

• Peano arithmetic

• Zermelo-Fraenkel set theory

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All results in this talk are formalized in Coq.

We follow the first-order mechanization that is part of the library of undecidability proofs [Forster et al., 2020, Kirst and Hermes, 2021].

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 $\begin{array}{l} \hline \textbf{Definition (Syntax)} \\ \varphi, \psi ::= \dot{\bot} \mid P \ t \mid pvar_{x} \ t \mid \varphi \rightarrow \psi \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid (P : \Sigma_{P}) \\ & \forall \varphi \mid \dot{\exists} \varphi \mid \forall_{f}^{n} \varphi \mid \dot{\exists}_{f}^{n} \varphi \mid \dot{\forall}_{p}^{n} \varphi \mid \dot{\exists}_{p}^{n} \varphi \quad (n : \mathbb{N}) \\ & t ::= var_{x} \mid f \ t \mid fvar_{x} \ t \quad (x : \mathbb{V}, f : \Sigma_{f}) \end{array}$ 

Consider axiomatisation of Peano/Heyting arithmetic over signature  $(0, S, +, \cdot, \equiv)$ :

Zero Addition :  $\forall x. 0 + x \equiv x$ Addition Recursion :  $\forall xy. (Sx) + y \equiv S(x + y)$ Disjointness :  $\forall x. 0 \equiv Sx \rightarrow \bot$ Equilty Reflexive :  $\forall x. x \equiv x$  Zero Multiplication :  $\dot{\forall}x. 0 \cdot x \equiv 0$ Multiplication Recursion :  $\dot{\forall}xy. (Sx) \cdot y \equiv y + x \cdot y$ Successor Injective :  $\dot{\forall}xy. Sx \equiv Sy \rightarrow x \equiv y$ Equility Symmetric :  $\dot{\forall}xy. x \equiv y \rightarrow y \equiv x$  Consider axiomatisation of Peano/Heyting arithmetic over signature  $(0, S, +, \cdot, \equiv)$ :

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**PA<sub>2</sub>-Induction** :  $\forall_p^1 P. P 0 \rightarrow (\forall x. P x \rightarrow P(Sx)) \rightarrow \forall x. P x$ 

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 $\begin{aligned} \mathsf{PA_2-Induction} : & \dot{\forall}_p^1 P. \ P \ 0 \rightarrow (\dot{\forall} x. \ P \ x \rightarrow P \ (Sx)) \rightarrow \dot{\forall} x. \ P \ x \\ & \mathsf{vs.} \end{aligned} \\ \\ \mathsf{PA_1-Induction \ scheme} : & \varphi[0] \rightarrow (\dot{\forall} x. \ \varphi[x] \rightarrow \varphi[Sx]) \rightarrow \dot{\forall} x. \ \varphi[x] \quad (\text{for all } \varphi) \end{aligned}$ 

# Definition (Model)

A model  $\mathcal{M}$  consists of a domain D and interpretation  $\mathcal{I}$  for function and relation symbols:  $f^{\mathcal{I}}: D^{|f|} \to D$ ,  $P^{\mathcal{I}}: D^{|P|} \to \mathbb{P}$ .

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# Models of PA

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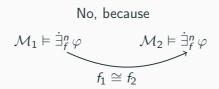
Using the induction axiom, we can easily show that  $\cong$  is bijective and a homomorphism. Thus  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are isomorphic.

$$\mathcal{M}_1 \vDash \varphi \quad \leftrightarrow \quad \mathcal{M}_2 \vDash \varphi \quad ?$$

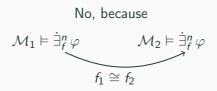
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No, because  $\mathcal{M}_1 \vDash \dot{\exists}_f^n \, \varphi$ 

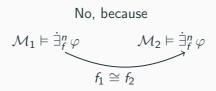
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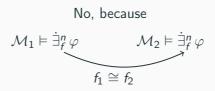


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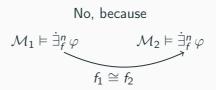
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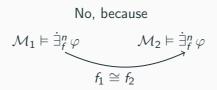
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• Under Unique Choice both semantics are equivalent.

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- Under Unique Choice both semantics are equivalent.
- Luckily, our forthcoming reduction does not use function quantifiers, so it does not matter.

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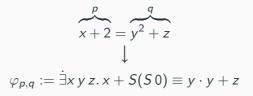
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$$\varphi_{p,q} := \dot{\exists} x \, y \, z \, x + S(S \, 0) \equiv y \cdot y + z$$

p = q has a solution iff  $\mathbb{N} \vDash \varphi_{p,q}$ .

## Lemma

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 $\bullet$  PA<sub>2</sub> is undecidable.

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- PA<sub>2</sub> is undecidable.
- Validity in SOL is already undecidable in the empty signature.

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- p = q has a solution iff  $\forall \mathcal{M}. \mathcal{M} \vDash \dot{\forall} f_0 f_S f_+ f_{\times} P_{\equiv}. \mathsf{PA}'_2 \rightarrow \varphi'_{p,q}.$
- p = q has a solution iff  $\exists \mathcal{M}\rho. \mathcal{M} \vDash_{\rho} \dot{\exists} f_0 f_S f_+ f_{\times} P_{\equiv}. \mathsf{PA}'_2 \dot{\land} \varphi'_{p,q}.$

### Theorem (Undecidability)

- PA<sub>2</sub> is undecidable.
- Validity in SOL is already undecidable in the empty signature.
- Satisfiablilty in SOL is already undecidable in the empty signature.

Suppose  $\vdash$  is a sound, complete and enumerable deduction system for SOL.

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$$\uparrow$$
By Post's theorem [Bauer, 2006, Forster et al., 2019] it suffices  
1. enumerable ( $\lambda pq$ . PA<sub>2</sub>  $\vDash \varphi_{p,q}$ )  
2. enumerable ( $\lambda pq$ .  $\neg$ PA<sub>2</sub>  $\vDash \varphi_{p,q}$ )

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1. enumerable  $(\lambda pq. PA_2 \vDash \varphi_{p,q})$ 
2. enumerable  $(\lambda pq. \neg PA_2 \vDash \varphi_{p,q})$ 

<sup>1</sup>This requires Markov's principle: MP :=  $\forall f : \mathbb{N} \to \mathbb{B}$ .  $\neg \neg (\exists n. f \ n = true) \to \exists n. f \ n = true$ 

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decidable  $H_{10}$ decidable ( $\lambda pq$ . PA<sub>2</sub>  $\models \varphi_{p,q}$ ) By Post's theorem [Bauer, 2006, Forster et al., 2019]<sup>1</sup> it suffices 1. enumerable ( $\lambda pq$ . PA<sub>2</sub>  $\models \varphi_{p,q}$ ) 2. enumerable  $(\lambda pq. \neg \mathsf{PA}_2 \vDash \varphi_{p,q})$  $\rightarrow$  follows from enumerability of  $\vdash$ enumerable ( $\lambda pq$ . PA<sub>2</sub>  $\models \neg \varphi_{p,q}$ )

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$$\begin{array}{c} \text{decidable } \mathsf{H}_{10} \\ & \uparrow \\ \text{decidable } (\lambda pq. \mathsf{PA}_2 \vDash \varphi_{p,q}) \\ & \uparrow \\ \text{By Post's theorem [Bauer, 2006, Forster et al., 2019]^1 it suffices} \\ 1. \text{ enumerable } (\lambda pq. \mathsf{PA}_2 \vDash \varphi_{p,q}) \\ & \rightarrow \text{ follows from enumerability of } \vdash \\ & \uparrow \\ \text{by Categoricity} \\ & \text{enumerable } (\lambda pq. \mathsf{PA}_2 \vDash \dot{\neg} \varphi_{p,q}) \end{array}$$

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# Theorem (Incompleteness)

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- Further work on PA<sub>2</sub> or ZF<sub>2</sub> (incompleteness, conservativity, etc.)
- Connection between SOL and meta logic (e.g. inheritance of AC)

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$$\rho_{f} : \mathbb{N} \to \forall n. D^{n} \to D$$

$$(f \cdot \rho_{f}) \mid 0 \mid n := \begin{cases} f & \text{if } f \text{ has arity } n \\ \rho_{f} \mid 0 \mid n & \text{otherwise} \end{cases}$$

$$f \cdot \rho_{f} \mid (Sx) \mid n := \begin{cases} \rho_{f} \times n & \text{if } f \text{ has arity } n \\ \rho_{f} \mid (Sx) \mid n & \text{otherwise} \end{cases}$$

# Undecidability of Validity

#### Lemma

p = q has a solution iff  $\mathcal{M} \vDash \varphi_{p,q}$  for all models with  $\mathcal{M} \vDash \mathsf{PA}_2$ .

#### Proof.

- $\rightarrow$ : Two possible proofs:
  - If p = q has a solution, then N ⊨ φ<sub>p,q</sub>. By categoricity it holds for all models of PA<sub>2</sub>.

• Translate p = q solution to  $\mathcal{M}$  using a homomorphism  $\mu : \mathbb{N} \to \mathcal{M}$ .

 $\leftarrow: \text{ Instantiate } \mathcal{M} \text{ with standard model } \mathbb{N} \text{ to obtain } \mathbb{N} \vDash \varphi_{p,q}.$ 

## Undecidability of Satisfiability

#### Lemma

p = q has a solution iff there is a model  $\mathcal{M} \models \mathsf{PA}_2$  and  $\rho$  such that  $\mathcal{M} \models_{\rho} \varphi_{p,q}$ .

### Proof.

 $\rightarrow$ : If p = q has a solution, then the standard model  $\mathbb{N}$  fulfils  $\mathbb{N} \vDash \varphi_{p,q}$ .

 $\leftarrow: \text{ If } \mathcal{M} \vDash_{\rho} \varphi_{p,q} \text{ then also } \mathbb{N} \vDash \varphi_{p,q} \text{ by categoricity.}$ 

Note that categoricity was required here, whereas it is optional for validity.

$$\frac{A[\uparrow_{f}^{n}]\vdash\varphi}{A\vdash\dot{\forall}_{f}^{n}\varphi}\operatorname{Al}_{f} \qquad \frac{A\vdash\dot{\forall}_{f}^{n}\varphi}{A\vdash\varphi[f]}\operatorname{AE}_{f}$$

$$\frac{A\vdash\varphi[f]}{A\vdash\dot{\exists}_{f}^{n}\varphi}\operatorname{El}_{f} \qquad \frac{A\vdash\dot{\exists}_{f}^{n}\varphi}{A\vdash\psi} \qquad A[\uparrow_{f}^{n}],\varphi\vdash\psi[\uparrow_{n}]_{f}}{A\vdash\psi}\operatorname{EE}_{f}$$

$$\frac{\dot{\exists}_{n}^{n}P.\dot{\forall}x_{1}...x_{n}.P(x_{1},...,x_{2})\leftrightarrow\varphi[\uparrow_{n}^{n}]}{\Box\varphi}\operatorname{Compr}$$