

# Dependency Grammar as Multigraph Description

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# Overview

- 1 Introduction
- 2 Multigraphs
- 3 A Description Language for Multigraphs
- 4 Dependency Grammar as Multigraph Description
- 5 Conclusions

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# Two Trends

## Two trends in computational linguistics

- 1 dependency grammar
- 2 multi-layered linguistic description

# Dependency Grammar

- collection of ideas, often attributed to (Tesnière 1959)
  - 1:1-mapping words:nodes
  - head-dependent asymmetry
  - lexicalization
  - valency
- grammar formalisms: have already incorporated most of the ideas (e.g. CCG, HPSG, LFG, TAG)
- statistical parsing: often crucially relies on some of the ideas
- treebanks: some already dependency-based (PDT, Danish DTB), some even being converted (TiGer TB → TiGer DTB)

# Multi-layered Linguistic Description

- **modular** approach to linguistics
- largely **independent of syntax**: research on e.g.
  - **predicate-argument structure**
  - **quantifier scope**
  - **prosodic structure**
  - **information structure**
  - **discourse structure**
- **treebanks: additional layers**, e.g.
  - **PDT**: predicate-argument structure, information structure
  - **TiGer**: predicate-argument structure (**SALSA**) (Erk et al. 2003)
  - **Penn TB**: predicate-argument structure (**PropBank**), discourse structure (**Penn DTB**)

# Multi-layered Dependency Grammar

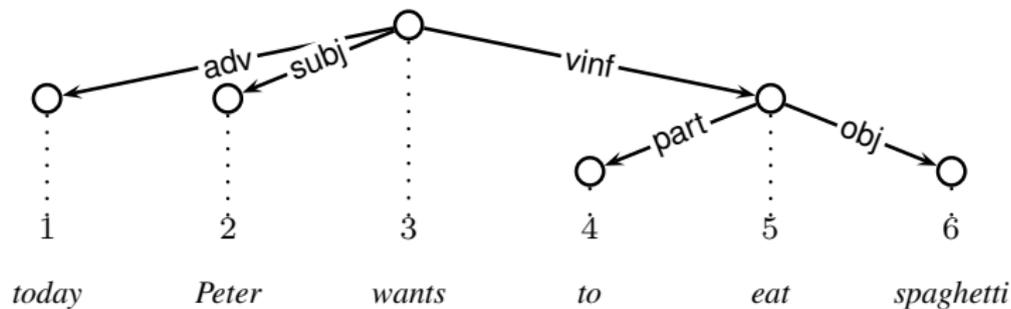
- brings the two trends together
- result: **Extensible Dependency Grammar (XDG)** (Debusmann et al. 2004 COLING), **XDG Development Kit (XDK)** (Debusmann et al. 2004 Mozart)
- main problems:
  - 1 no complete formalization
  - 2 no efficient large-scale parsing
- this talk: **first complete formalization** of XDG
- how: as a **description language** for **multigraphs**, based on **simply typed lambda calculus** (Church40)

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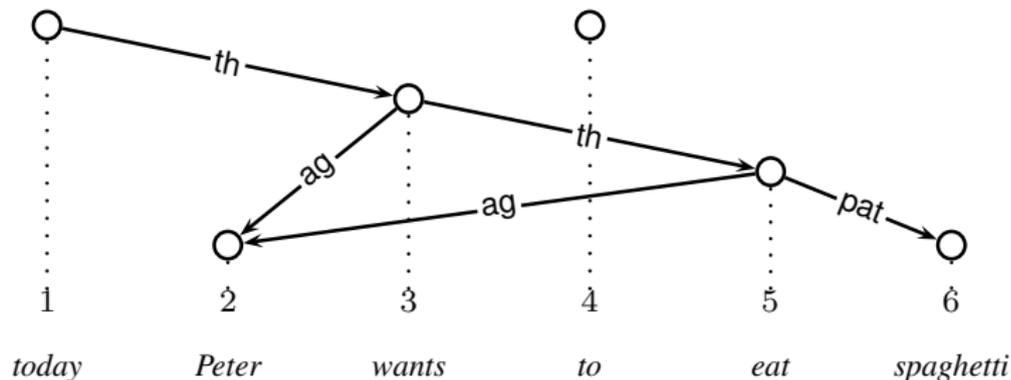
# Dependency Graphs

- example dependency graph:



## Dependency Graphs (2)

- not restricted to syntactic structure alone:

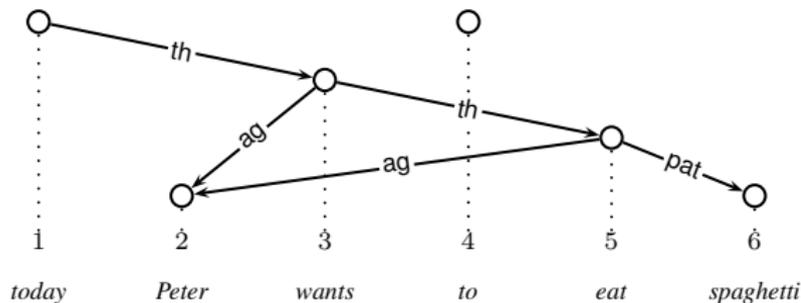
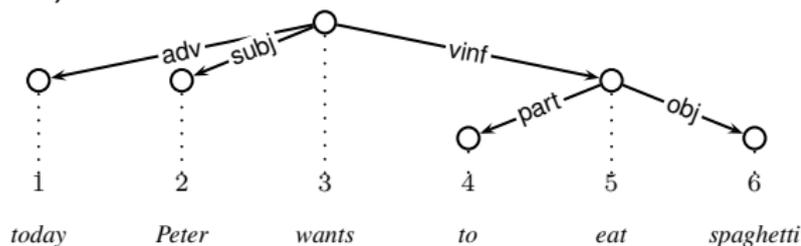


# Multigraphs

- multi-layered/multi-dimensional dependency graph:
- consists of an arbitrary number of dependency graphs called dimensions
- all dimensions share the same set of nodes

# Multigraphs (2)

- example **two-dimensional multigraph** (syntax and semantics):



# Formalization

- a multigraph is a tuple  $(V, Dim, Word, W, Lab, E, Attr, A)$

## Components

- 1 finite set  $V$  of **nodes** (finite interval of the natural numbers starting with 1, therefore **totally ordered**)
- 2 finite set  $Word$  of **words**
- 3 the **node-word mapping**  $W \in V \rightarrow Word$
- 4 a finite set  $Lab$  of **edge labels**
- 5 a set  $E \subseteq V \times V \times Dim \times Lab$  of **labeled directed edges**
- 6 a finite set  $Attr$  of **attributes**
- 7 the **node-attributes mapping**  $A \in V \rightarrow Dim \rightarrow Attr$

# Relations

- each dimension  $d \in Dim$  associated with **four relations**:

## Four Relations

- 1 **labeled edge**:  $\overset{\cdot}{\rightarrow}_d$
- 2 **edge**:  $\rightarrow_d$
- 3 **dominance (strict)**:  $\rightarrow_d^+$
- 4 **precedence**:  $v \prec v'$

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## Types

- $T \in Ty$  given a set  $At$  of atoms (arbitrary symbols):

## Types

$T ::=$	B	boolean
	V	node
	$T_1 \rightarrow T_2$	function
	$\{a_1, \dots, a_n\}$	finite domain
	$\{a_1 : T_1, \dots, a_n : T_n\}$	record

# Interpretation

- B as  $\{0, 1\}$
- V as (finite interval of the natural numbers starting with 1)
- $T_1 \rightarrow T_2$  as the set of all functions from the interpretation of  $T_1$  to the interpretation of  $T_2$
- $\{a_1, \dots, a_n\}$  as the set  $\{a_1, \dots, a_n\}$
- $\{a_1 : T_1, \dots, a_n : T_n\}$  as the set of all functions  $f$  with
  - 1  $Dom f = \{a_1, \dots, a_n\}$
  - 2 for all  $1 \leq i \leq n$ ,  $f a_i$  is an element of the interpretation of  $T_i$

# Multigraph Type

- multigraphs can be distinguished by their: **dimensions**, **words**, **labels**, **attributes**
- **multigraph type**: tuple  $M = (dim, word, lab, attr)$ , where:

## Multigraph Type

- 1  $dim \in Ty$  is a finite domain of **dimensions**
- 2  $word \in Ty$  is a finite domain of **words**
- 3  $lab \in dim \rightarrow Ty$  is a function from dimensions to **label types**, i.e. the **finite domain** of the **edge labels** on that dimension
- 4  $attr \in dim \rightarrow Ty$  is a function from dimensions to **attributes types**, i.e. the (arbitrary) type of the **attributes** on that dimension

# Multigraphs and Multigraph Types

- $\mathcal{M} T$ : the interpretation of type  $T$  over  $\mathcal{M}$
- a multigraph  $\mathcal{M} = (V, Dim, Word, W, Lab, E, Attr, A)$  has multigraph type  $M = (dim, word, lab, attr)$  iff

## Conditions

- 1 The dimensions are the same
- 2 The words are the same
- 3 The edges in  $E$  have the right edge labels for their dimension according to  $lab$
- 4 The nodes have the right attributes for their dimension according to  $attr$

# Terms

- defined given set  $At$  of atoms and  $Con$  of constants:

## Terms

$t ::=$	$x$	variable
	$c$	constant
	$\lambda x : T.t$	abstraction
	$t_1 t_2$	application
	$a$	atom
	$\{a_1 = t_1, \dots, a_n = t_n\}$	record
	$t.a$	record selection

# Signature

- determined by a **multigraph type**  $M = (dim, word, lab, attr)$
- two parts:
  - 1 the **logical constants**
  - 2 the **multigraph constants**

# Logical Constants

- include the type constant B and the following term constants:

## Logical Constants

$0$	$:$	$B$	false
$1$	$:$	$B$	true
$\neg$	$:$	$B \rightarrow B$	negation
$\vee, \wedge, \Rightarrow, \Leftrightarrow$	$:$	$B \rightarrow B \rightarrow B$	disjunction, conjunction etc.
$\doteq_T, \neq$	$:$	$T \rightarrow T \rightarrow B$	equality, inequality
$\exists_T, \exists_T^1, \forall_T$	$:$	$(T \rightarrow B) \rightarrow B$	quantification

# Multigraph Constants

- include the type constant  $V$  and the following term constants:

## Multigraph Constants

$\overset{\cdot}{\rightarrow}_d$	:	$V \rightarrow V \rightarrow \text{lab } d \rightarrow B$	labeled edge
$\rightarrow_d$	:	$V \rightarrow V \rightarrow B$	edge
$\rightarrow_d^+$	:	$V \rightarrow V \rightarrow B$	dominance
$\prec_d$	:	$V \rightarrow V \rightarrow B$	precedence
$(\text{word } \cdot)$	:	$V \rightarrow \text{word}$	word
$(d \cdot)$	:	$V \rightarrow \text{attr } d$	attributes

## Multigraph Constants (2)

- where we interpret:

### Interpretation

- $\overset{\cdot}{\rightarrow}_d$  as the **labeled edge relation** on dimension  $d$ .
- $\rightarrow_d$  as the **edge relation** on  $d$ .
- $\rightarrow_d^+$  as the **dominance relation** on  $d$ .
- $\prec$  as the **precedence relation**
- $(word \cdot)$  as the **word**
- $(d \cdot)$  as the **attributes** on  $d$ .

# Grammar

- an XDG **grammar**  $G = (M, P)$  is defined by:
  - 1 a **multigraph type**  $M$
  - 2 a set  $P$  of formulas called **principles**
- each **principle** must be formulated according to the **signature**  $M$

# Models

- the **models** of a grammar  $G = (M, P)$  are all multigraphs that:
  - 1 have multigraph type  $M$
  - 2 satisfy all principles  $P$

# String Language

- given a grammar  $G = (M, P)$ , the **string language**  $L(G)$  is the set of strings  $s = w_1 \dots w_n$  such that:
  - there is a **model** of  $G$  with **equally many nodes as words**:

$$V = \{1, \dots, n\}$$

- the **concatenation of the words** of the nodes of this model **yields  $s$** :

$$(word\ 1) \dots (word\ n) = s$$

# Recognition Problem

- two kinds (Trautwein 1995):

## Recognition Problem

- 1 **universal recognition problem**: given a pair  $(G, s)$  where  $G$  is a grammar and  $s$  a string, is  $s$  in the language generated by  $G$ ?
- 2 **fixed recognition problem**: let there be a **fixed** grammar  $G$ . Given a string  $s$ , is  $s$  in the language generated by  $G$ ?

# Complexity of the Recognition Problems

- **fixed recognition problem**: NP-hard
- proof: reduction of the **SAT** problem
- **universal recognition problem**: also NP-hard
- proof: **implied** by the above proof, individually: by reduction of the **Hamiltonian Path** problem, inspired by (Koller and Striegnitz 2002)
- **no upper bound** proven yet, with this formalization: probably worse than NP for both, **with reasonable restrictions** on the principles, **conjecture**: in NP

# Parsing

- **constraint-based parser** in the **XDK** implementation already **efficient** for **handcrafted grammars** despite the **intractable complexity**
- but **not** suitable for **large-scale** parsing
- finding **polynomial fragments** of XDG and **improving the efficiency** of the parser: **after my thesis**

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# Dependency Grammar

- collection of ideas, often attributed to (Tesnière 1959)
  - 1:1-mapping words:nodes
  - head-dependent asymmetry
  - lexicalization
  - valency
- in addition for XDG:
  - order
  - projectivity
  - multi-dimensionality

# 1:1-mapping words:nodes

- node-word mapping
- recall: multigraphs are tuples  
( $V, Dim, Word, W, Lab, E, Attr, A$ )

## Components

- 1 ...
- 2 the node-word mapping  $W \in V \rightarrow Word$
- 3 ...

# Head-Dependent Asymmetry

- **labeled directed edges**
- again recall: multigraphs are tuples  
( $V, Dim, Word, W, Lab, E, Attr, A$ )

## Components

- 1 ...
- 2 a set  $E \subseteq V \times V \times Dim \times Lab$  of **labeled directed edges**
- 3 ...

# Lexicalization

- idea: behavior of the nodes depends on the associated words
- to model lexicalization in XDG, we split the attributes into:
  - 1 lexical attributes
  - 2 non-lexical attributes

# Attributes

- formally, the **attributes**  $attr\ d$  of each dimension  $d$  must be a **record** of the type:

$$attr\ d = \left\{ \begin{array}{l} lex : L \\ a_1 : \dots \\ \dots \\ a_n : \dots \end{array} \right\}$$

- where  $lex$  harbors the **lexical attributes**, which have type  $L$ , and  $a_1, \dots, a_n$  the **non-lexical attributes**

# Lexicon

- the **lexicon** is a set of **lexical entries** of type  $E$ :

$$E = \left\{ \begin{array}{l} \textit{word} : \textit{word} \\ d_1 : L_1 \\ \dots \\ d_m : L_m \end{array} \right\}$$

- where each lexical entry is associated with a **word** by feature  $\textit{word}$ , and specifies the **lexical attributes** of the dimensions  $d_1, \dots, d_m$

# Lexicalization Principle

- realizes lexicalization:

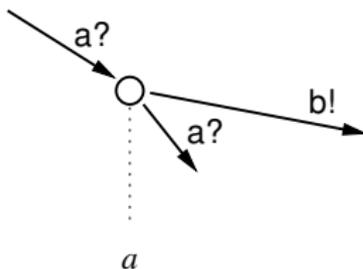
- 1 for each node, a **lexical entry**  $e$  must be **selected** from the **lexicon**  $lexicon$
- 2  $e$  must be **associated** with **same word** with which the **node** is **associated**.
- 3  $e$  **determines** the **lexical attributes**

## Lexicalization in XDG

1.  $\exists e \in lexicon \quad \wedge$
2.  $e.word \doteq (word \ v) \quad \wedge$
3.  $(d_1 \ v).lex \doteq e.d_1 \quad \wedge$
- ...
- $(d_m \ v).lex \doteq e.d_m$

# Valency

- idea: **lexically** specify for each node its **licensed incoming and outgoing edges**
- leads to notion of **configuration** of **fragments** which need to be **assembled** to yield analyses
- example fragment:

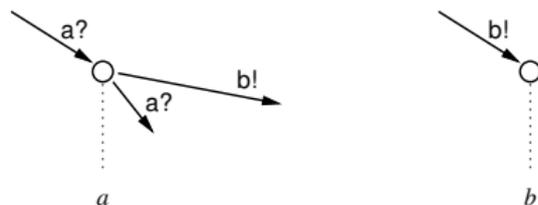


# Example Grammar

- example grammar:

$$L_1 = \{w \in (a \cup b)^+ \mid |w|_a = |w|_b\}$$

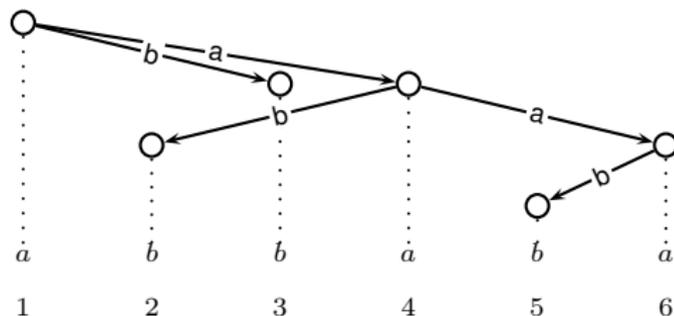
- idea: use the following **fragments**:



- models must be **trees**

# Example Analysis

- example analysis:



- intuitively: *as* arranged in a **chain**, each *a* must have **one outgoing edge to a *b***
- this ensures that there are **equally many** *as* and *bs*

# Valency in XDG

- no incoming edges labeled  $l$  for node  $v$  on dimension  $d$ :

$$in0 \langle d \rangle v l = \neg \exists v' : v' \xrightarrow{l}_d v$$

- precisely one incoming edge labeled  $l$ :

$$in1 \langle d \rangle v l = \exists^1 v' : v' \xrightarrow{l}_d v$$

- at most one incoming edge labeled  $l$ :

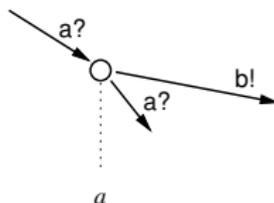
$$in0or1 \langle d \rangle v l = (in0 \langle d \rangle v l) \vee (in1 \langle d \rangle v l)$$

# Lexicalization of Valency

- idea: express **fragments** by **lexical entries**
- two **lexical attributes** *in* and *out*
- map **edge labels** to **cardinalities**:
  - ! (precisely one edge)
  - ? (at most one edge)
  - \* (arbitrary many edges)
  - 0 (no edges)

## Lexicalization of Valency (2)

- example **fragment**:



- corresponding **lexical entry**:

$$\left\{ \begin{array}{l} \text{word} = a \\ \text{ID} = \left\{ \begin{array}{l} \text{in} = \{a = ?, b = 0\} \\ \text{out} = \{a = ?, b = !\} \end{array} \right\} \end{array} \right\}$$

# Valency Principle

- realizes lexicalized valency:

$$valency\langle d \rangle =$$

$$\forall l :$$

$$(d v).lex.in.l \doteq 0 \Rightarrow in0 v l$$

$$(d v).lex.in.l \doteq ! \Rightarrow in1 v l$$

$$(d v).lex.in.l \doteq ? \Rightarrow in0or1 v l$$

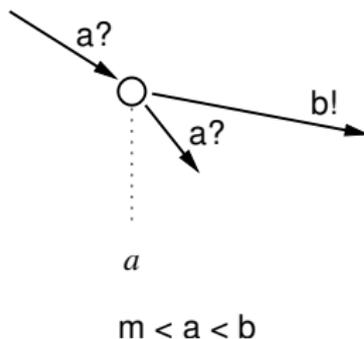
$$(d v).lex.in.l \doteq 0 \Rightarrow out0 v l$$

$$(d v).lex.in.l \doteq ! \Rightarrow out1 v l$$

$$(d v).lex.in.l \doteq ? \Rightarrow out0or1 v l$$

# Order

- idea: fragments so far **unordered**, now we make them **ordered**
- **lexical order** on the **dependents** of each node, in addition, the **mother** is ordered with respect to its **dependents**:
- **additional edge label  $m$**  (“mother”): **position of the mother** with respect to its **dependents**

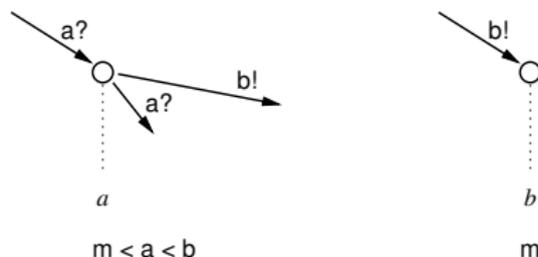


# Example Grammar

- example grammar:

$$L_2 = \{w \in a^n b^n \mid n \geq 1\}$$

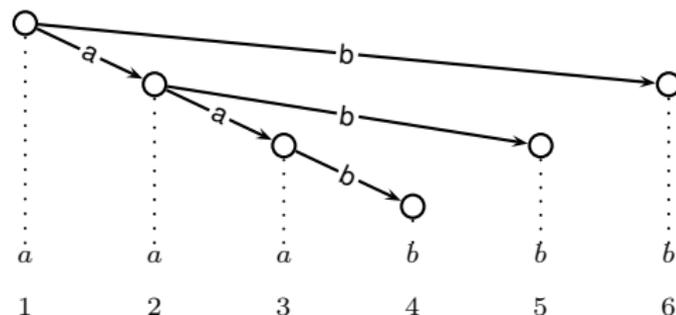
- idea: use the following **ordered fragments**:



- models must be **trees**

# Example Analysis

- example analysis:



- like before: *as* arranged in a **chain**, and **each *a*** must have **one outgoing edge to a *b*** (equally many *as* and *bs*)
- in addition: **all *as* precede all *bs***, and **all mothers precede their dependents**

# Order in XDG

- make the **daughters** with incoming edge label  $a$  precede those with incoming edge label  $b$ :

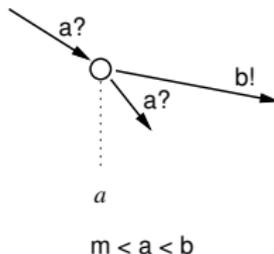
$$v \xrightarrow{a}_d v' \wedge v \xrightarrow{b}_d v'' \Rightarrow v' \prec v''$$

- make all **mothers** precede their daughters:

$$v \rightarrow_d v' \Rightarrow v \prec v'$$

# Lexicalization of Order

- lexical attribute *order*, type: set of pairs of edge labels (including *m*) representing a strict partial order
- e.g. ordered fragment:



- corresponding lexical entry:

$$\left\{ \begin{array}{l} \text{word} = a \\ d = \left\{ \begin{array}{l} \text{in} = \{a = ?, b = 0\} \\ \text{out} = \{a = ?, b = !\} \\ \text{order} = \{(m, a), (m, b), (a, b)\} \end{array} \right\} \end{array} \right\}$$

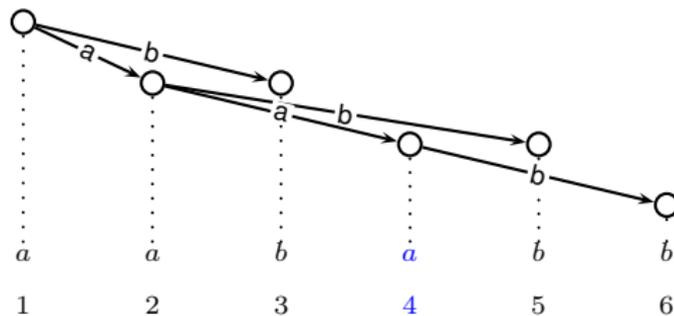
# Order Principle

- realizes lexicalized order:

$$\begin{aligned}
 & \text{order}\langle d \rangle = \\
 & \forall (l, l') \in (d v). \text{lex.order} : \\
 & v \xrightarrow{l}_d v' \wedge v \xrightarrow{l'}_d v'' \Rightarrow v' \prec v'' \wedge \\
 & \quad l \doteq m \wedge v \xrightarrow{l'}_d v' \Rightarrow v \prec v' \wedge \\
 & v \xrightarrow{l}_d v' \wedge l' \doteq m \Rightarrow v' \prec v
 \end{aligned}$$

# Projectivity

- problem: locally ordering the daughters does not suffice to model  $L_2$
- counter example: all  $a$ -daughters precede the  $b$ -daughters, and all mothers precede their daughters, yet not all  $a$ s precede all  $b$ s:



## Projectivity (2)

- problem: we need to ensure that we do not only order the daughters but **entire subtrees**
- idea: **forbid edges to cross projection edges** of nodes higher up in the graph, i.e. enforce **projectivity**

# Projectivity Principle

- realizes projectivity:

$projectivity \langle d \rangle =$

$$v \rightarrow_d v' \wedge v \prec v' \Rightarrow \forall v'' : v \prec v'' \wedge v'' \prec v' \Rightarrow v \rightarrow_d^+ v'' \wedge$$

$$v \rightarrow_d v' \wedge v' \prec v \Rightarrow \forall v'' : v' \prec v'' \wedge v'' \prec v \Rightarrow v \rightarrow_d^+ v''$$

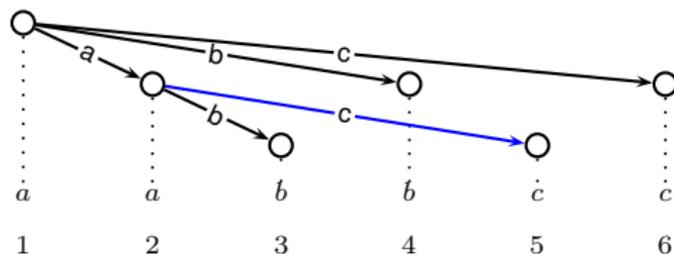
# Multi-dimensionality

- additional **expressivity** and **modularity**, e.g. to model other layers of linguistic description
- example here: **two dimensions** to model the **non-context-free language**  $L_3$ :

$$L_3 = \{w \in a^n b^n c^n \mid n \geq 1\}$$

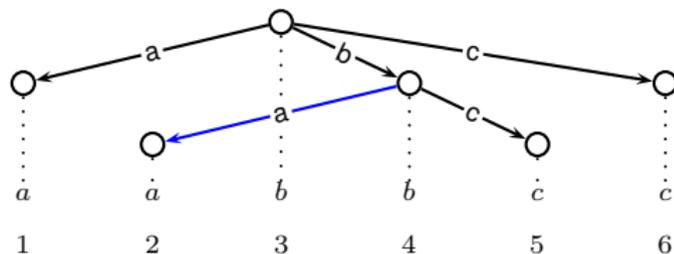
# One Dimension is Not Enough

- impossible to find one-dimensional analyses for blocks  $> 1$  which are projective
- if the root is an  $a$  or a  $c$ , there is no way to connect  $as$  and  $cs$  of depth  $> 1$  without crossing the projection edges of the  $bs$  higher up:



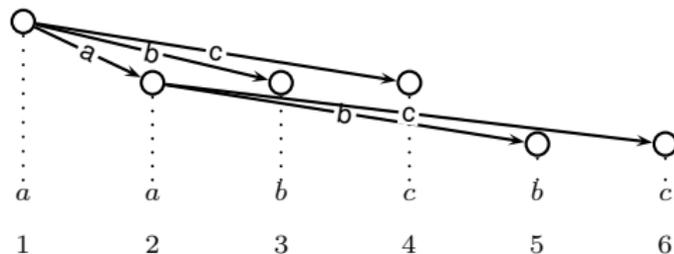
# One Dimension is Not Enough (2)

- if the **root** is a **b**, there is **no way** to **connect** the **bs** with depth  $> 1$  to **both** the corresponding **as** and **cs** **without crossing** projection edges of the **bs** higher up:



# One Dimension is Not Enough (3)

- cannot drop projectivity, because this would inevitably lead to overgeneration, e.g.:

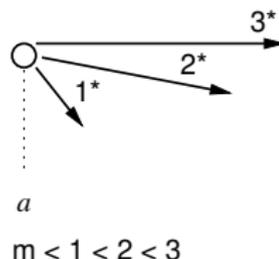
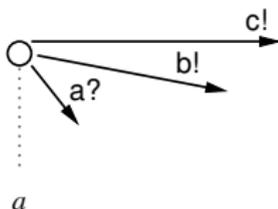


# Multi-dimensionality to the Rescue

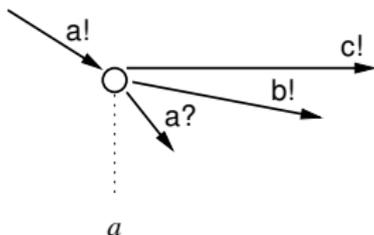
- idea: disentangle **counting** and **ordering** using **two dimensions**:
  - ① the **Immediate Dominance (ID)** dimension for **counting**, i.e. to ensure that for each  $a$ , there is precisely one  $b$  and one  $c$
  - ② the **Linear Precedence (LP)** dimension for **ordering**, i.e. to ensure that all  $a$ s precede all  $b$ s which precede all  $c$ s
- **ID** dimension: **unordered tree**
- **LP** dimension: **ordered tree**

# Grammar

- $a$  as the **root** (left: ID, right: LP):

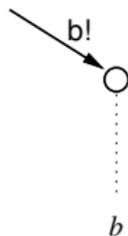


- $a$  as a **dependent**:

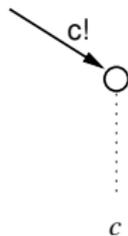


# Grammar (2)

• *b*:

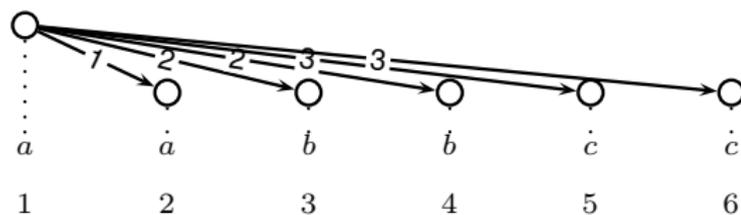
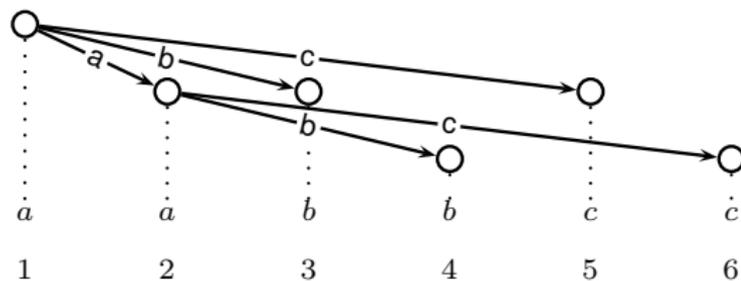


• *c*:



# Example Analysis

- top: ID, bottom: LP:



# Possible Extensions

- interesting: grammar can be **straightforwardly extended** to handle languages like e.g.  $a^n b^n c^n d^n$  and  $a^n b^n c^n d^n e^n$
- **cannot** be **handled** by e.g. **TAG** (Joshi 1987) and **CCG** (Steedman 2000) (mildly context-sensitive)

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# Conclusions

- XDG: very **expressive** and **modular** grammar formalism, brings together two recent trends in computational linguistics
- presented **first complete formalization**
- showed how to **realize** the **ideas** of **dependency grammar**
- **basis** for future work on multi-dimensional dependency grammar, in particular:
  - finding **fragments** with **tractable complexity**
  - developing more **efficient parsers**

Thanks for your attention!

# References



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